



# **AR 15-6 INVESTIGATION**

## **Death of SPECIALIST SHAWN HENSEL**

**14 AUGUST 2007**

**4BCT, 1ID**

**MND-B**





REPLY TO  
ATTENTION OF

**HEADQUARTERS**  
MULTI-NATIONAL CORPS - IRAQ  
BAGHDAD, IRAQ  
APO AE 09342

FICI-C2

27 September 2007

MEMORANDUM FOR RECORD

SUBJECT: 15-6 Investigation Classification Review Specialist Shawn Hensel

1. After a thorough review of the documents in the Specialist Shawn Hensel 15-6 Investigation, I have determined that portions of the following documents are properly classified in accordance with Executive Order 12958 and are therefore exempt from release under the Freedom of Information Act, 5 U.S.C. § 552(b)(1); E.O. 12958, as amended; and DoD 5400.7-R, paragraph C3.2.1.1:

a. On the, "Investigating Officer's Report", the information is classified under E.O. 12958, § 1.4a. The information would reveal our tactics and procedures.

b. On the, "Story Board" (Hensel Exhibit D, I, I-2, I-3 and I-4), the information and imagery is classified under E.O. 12958, § 1.4a and 1.4c. The information would reveal our reporting procedures and tactics and the imagery would reveal our capabilities.

c. On the, "MND-B OPORD" (Hensel Exhibit K), the document is classified under E.O. 12958, § 1.4a. The document would reveal our tactics and current reporting procedures.

2. I have also reviewed the "Emails" (Hensel Exhibit G). This documents are marked secret, however, they do not contain any classified information and is unclassified IAW the MNF-I SCG, 31 MAR 07.

3. I have determined that the investigation does not contain any other classified material and any operationally sensitive information has been redacted.

4. The point of contact for this memorandum is CPT (b)(3),(b)(6) MNC-I C2 Security Manager, DSN (b)(6); SIPR: (b)(6), (b)(3)

(b)(6), (b)(3)

MNC-I Security Manager

# **MNC-I CG Review**



REPLY TO  
ATTENTION OF

**HEADQUARTERS**  
MULTI-NATIONAL CORPS - IRAQ  
BAGHDAD, IRAQ  
APO AE 09342

SEP 18 2007

FICI-JA-AL

MEMORANDUM THRU Commander, MNF-I

FOR Commander, USCENTCOM

SUBJECT: Review of Investigation of 14 August 2007 Friendly Fire Incident (4th Infantry Brigade Combat Team, 1st Infantry Division, Multi-National Division-Baghdad)

1. Tragically, a Soldier was killed and others were wounded in this incident. I am concerned about the lack of situational awareness, verbal communication, and tracking of friendly forces through the sectors of fire by the overwatch elements. The overwatch element must know the exact location and movement of every friendly dismount.
2. The Investigating Officer's recommendation regarding procedures to move off of and fire within the front-line trace of friendly forces is of concern to units throughout the Theater and I direct further study of this issue throughout the Corps.
3. I support the Division and subordinate commanders' Rules of Engagement reset training and friendly identification corrective actions. To reinforce the lessons and any deficiencies in equipment and procedures, I am forwarding this matter to MNC-I CSM and MNC-I AT/FP for their review and further development of the issues for preventive action.
4. I join my fellow commanders, leaders, and Soldiers in conveying to the families my deepest sympathy and regret for the loss of life and injury to their loved ones. We will take steps to ensure incidents like this do not occur again.

RAYMOND T. ODIERNO  
Lieutenant General, USA  
Commanding

CF:  
MND-B Commander  
MNC-I CSM  
MNC-I AT/FP  
MNC-I Safety

# **Command Endorsements**



DEPARTMENT OF THE ARMY  
HEADQUARTERS, 4TH INFANTRY BRIGADE COMBAT TEAM  
MULTINATIONAL DIVISION-BAGHDAD  
FOB FALCON, IRAQ APO AE 09361  
"DUTY FIRST"

REPLY TO  
ATTENTION OF:

AFZN-BC

31 August 2007

MEMORANDUM FOR Commander, Headquarters, Multi-National Division Baghdad,  
Camp Liberty, Baghdad, Iraq, APO AE 09344

SUBJECT: Brigade Commander's Recommendation – AR 15-6 (RE: Friendly Fire  
Incident on 14 August 2007)

1. I recommend that you approve the findings and the recommendations of the investigating officer.
2. I also concur with the recommendations of the BN CDR and recommend their approval.
3. Once again, I offer my condolences and deepest sympathies to the families of these Soldiers.
4. The point of contact for this correspondence is Major (b)(6), (b)(3) Brigade Judge Advocate, at (b)(6)

(b)(6), (b)(3)

LTC, IN  
Commanding



DEPARTMENT OF THE ARMY  
HEADQUARTERS, 2D BATTALION, 23D INFANTRY REGIMENT  
4TH INFANTRY BRIGADE COMBAT TEAM  
FOB FALCON, BAGHDAD, IRAQ APO AE 09361

REPLY TO  
ATTENTION OF:

AFZH-SBR

AUG 30 2007

MEMORANDUM FOR Commander, 4th Infantry Brigade Combat Team, Multi-National Division Baghdad, FOB Falcon, Baghdad, Iraq APO AE 09361

SUBJECT: Battalion Commander's Recommendation – AR 15-6 (RE: Friendly Fire Incident on 14 August 2007)

I recommend the findings and recommendations of the investigating officer be:

- Approved.
- Disapproved.
- Approved, with the following exceptions/substitutions:

I concur with all finding and recommendations of the investigating officer's report. In addition to these recommendations, the BN CSM and I have conducted a leader-talk reviewing all direct-fire control measures and applicable TACSOP chapters. Additional measures that will be taken to reduce the risk of fratricide are: 1) leader discussions and improvements of platoon SOPs and BN marking SOPs. 2) Enforcing BN SOP requiring IR markings on all "highside" building occupations. 3) Conduct a 120-day tactical AAR with platoon leaders and squad leaders. AAR will emphasize direct-fire control measures, marking procedures, maintaining constant situational awareness of dismounted personnel, use of Stryker IR/visible laser Hellstorm system to ID targets, and lessons-learned. 4) I, CSM, Company Commanders, and First Sergeants will inspect and provide oversight to ensure all SOPs and planning expectations are met, and we will continue to ensure that adequate planning time is provided in the context of a sustainable op-tempo. We are researching and experimenting as to how to find, and field, thermal identifiers to position on soldiers backs, as recommended by the investigating officer. Leaders will ensure all soldiers have spare batteries with them on patrols and working batteries are checked in PCC's and PCI's. All investigating officer's recommendations will be implemented as soon as possible.

(b)(3), (b)(6)

Commanding

DEPARTMENT OF THE ARMY  
4<sup>th</sup> Infantry Brigade Combat Team  
1<sup>st</sup> Infantry Division  
Forward Operating Base Falcon  
APO AE 09361

AFZN-BC

MEMORANDUM FOR SEE DISTRIBUTION

SUBJECT: Assumption of Command

The undersigned assumes command of the 4<sup>th</sup> Infantry Brigade Combat Team, 1<sup>st</sup> Infantry Division, Forward Operating Base Falcon, Iraq, APO AE 09361(WJLDAA), effective 1300 hours, 17 August 2007.

(b)(6), (b)(3)

LTC, IN  
Acting Commander

DISTRIBUTION:

A

# **Legal Review**



REPLY TO  
ATTENTION OF

**HEADQUARTERS**  
MULTI-NATIONAL CORPS - IRAQ  
BAGHDAD, IRAQ  
APO AE 09342

FICI-JA-AL

MEMORANDUM FOR LTG Raymond T. Odierno, Commander, MNC-I, Camp Victory,  
Baghdad, Iraq, APO AE 09342

SUBJECT: Review of Investigation of Friendly Fire Incident on 14 August 2007 in which  
One US Soldier Was Shot and Killed and Two Other US Soldiers Were Shot and  
Wounded

1. The Report of Investigation conducted using the procedures set forth in AR 15-6 concerning the facts and circumstances surrounding a friendly fire incident on 14 August 2007 is legally sufficient. The findings of the Investigating Officer are supported by a preponderance of the evidence as contained in the exhibits and there are no material errors present which would affect the findings and recommendations.

2. Recommendation: I recommend you approve the findings and recommendations as approved by Commander, MND-B, as reflected in the proposed Memorandum for Record.

3. The POC for this action is LCDR [REDACTED] (b)(3),(b)(6) JAGC, USN, MNC-I OSJA, DSN [REDACTED] (b)(6) or at email [REDACTED] (b)(6), (b)(3)

FOR THE STAFF JUDGE ADVOCATE

[REDACTED]  
(b)(6), (b)(3)

LCDR, JAGC, USN  
Operational Law Attorney

**DA Form 1574**

**REPORT OF PROCEEDINGS BY INVESTIGATING OFFICER/BOARD OF OFFICERS**

For use of this form, see AR 15-6; the proponent agency is OTJAG.

IF MORE SPACE IS REQUIRED IN FILLING OUT ANY PORTION OF THIS FORM, ATTACH ADDITIONAL SHEETS

**SECTION I - APPOINTMENT**

Appointed by COI **(b)(6), (b)(3)** Chief of Staff, Multinational Division -- Baghdad  
 (Appointing authority)

on 15 August 2007 (Attach inclosure 1: Letter of appointment or summary of oral appointment data.) (See para 3-15, AR 15-6.)  
 (Date)

**SECTION II - SESSIONS**

The (investigation) (board) commenced at Forward Operating Base Falcon, Baghdad, Iraq at 2100  
 (Place) (Time)  
 on 14 August 2007 (If a formal board met for more than one session, check here . Indicate in an inclosure the time each session began and  
 (Date) ended, the place, persons present and absent, and explanation of absences, if any.) The following persons (members, respondents, counsel) were  
 present: (After each name, indicate capacity, e.g., President, Recorder, Member, Legal Advisor.)

The following persons (members, respondents, counsel) were absent: (Include brief explanation of each absence.) (See paras 5-2 and 5-8a, AR 15-6.)

The (investigating officer) (board) finished gathering/hearing evidence at 2200 on 24 August 2007  
 (Time) (Date)  
 and completed findings and recommendations at 1800 on 25 August 2007  
 (Time) (Date)

**SECTION III - CHECKLIST FOR PROCEEDINGS**

A. COMPLETE IN ALL CASES		YES	NO <sup>1/</sup>	NA <sup>2/</sup>
<sup>1</sup>	Inclosures (para 3-15, AR 15-6) Are the following inclosed and numbered consecutively with Roman numerals: (Attached in order listed)			
	a. The letter of appointment or a summary of oral appointment data?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	b. Copy of notice to respondent, if any?(See item 9, below)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	c. Other correspondence with respondent or counsel, if any?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	d. All other written communications to or from the appointing authority?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	e. Privacy Act Statements (Certificate, if statement provided orally)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	f. Explanation by the investigating officer or board of any unusual delays, difficulties, irregularities, or other problems encountered (e.g., absence of material witnesses)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	g. Information as to sessions of a formal board not included on page 1 of this report?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	h. Any other significant papers (other than evidence) relating to administrative aspects of the investigation or board?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

FOOTNOTES: <sup>1/</sup> Explain all negative answers on an attached sheet.

<sup>2/</sup> Use of the N/A column constitutes a positive representation that the circumstances described in the question did not occur in this investigation or board.

		YES	NO <sup>1/</sup>	NA <sup>2/</sup>
2	Exhibits ( <i>para 3-16, AR 15-6</i> )			
	a. Are all items offered ( <i>whether or not received</i> ) or considered as evidence individually numbered or lettered as exhibits and attached to this report?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	b. Is an index of all exhibits offered to or considered by investigating officer or board attached before the first exhibit?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	c. Has the testimony/statement of each witness been recorded verbatim or been reduced to written form and attached as an exhibit?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	d. Are copies, descriptions, or depictions ( <i>if substituted for real or documentary evidence</i> ) properly authenticated and is the location of the original evidence indicated?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	e. Are descriptions or diagrams included of locations visited by the investigating officer or board ( <i>para 3-6b, AR 15-6</i> )?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	f. Is each written stipulation attached as an exhibit and is each oral stipulation either reduced to writing and made an exhibit or recorded in a verbatim record?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	g. If official notice of any matter was taken over the objection of a respondent or counsel, is a statement of the matter of which official notice was taken attached as an exhibit ( <i>para 3-16d, AR 15-6</i> )?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3	Was a quorum present when the board voted on findings and recommendations ( <i>paras 4-1 and 5-2b, AR 15-6</i> )?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>B. COMPLETE ONLY FOR FORMAL BOARD PROCEEDINGS (<i>Chapter 5, AR 15-6</i>)</b>				
4	At the initial session, did the recorder read, or determine that all participants had read, the letter of appointment ( <i>para 5-3b, AR 15-6</i> )?	<input type="checkbox"/>	<input type="checkbox"/>	
5	Was a quorum present at every session of the board ( <i>para 5-2b, AR 15-6</i> )?	<input type="checkbox"/>	<input type="checkbox"/>	
6	Was each absence of any member properly excused ( <i>para 5-2a, AR 15-6</i> )?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	Were members, witnesses, reporter, and interpreter sworn, if required ( <i>para 3-1, AR 15-6</i> )?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8	If any members who voted on findings or recommendations were not present when the board received some evidence, does the inclosure describe how they familiarized themselves with that evidence ( <i>para 5-2d, AR 15-6</i> )?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>C. COMPLETE ONLY IF RESPONDENT WAS DESIGNATED (<i>Section II, Chapter 5, AR 15-6</i>)</b>				
9	Notice to respondents ( <i>para 5-5, AR 15-6</i> ):			
	a. Is the method and date of delivery to the respondent indicated on each letter of notification?	<input type="checkbox"/>	<input type="checkbox"/>	
	b. Was the date of delivery at least five working days prior to the first session of the board?	<input type="checkbox"/>	<input type="checkbox"/>	
	c. Does each letter of notification indicate —	<input type="checkbox"/>	<input type="checkbox"/>	
	(1) the date, hour, and place of the first session of the board concerning that respondent?	<input type="checkbox"/>	<input type="checkbox"/>	
	(2) the matter to be investigated, including specific allegations against the respondent, if any?	<input type="checkbox"/>	<input type="checkbox"/>	
	(3) the respondent's rights with regard to counsel?	<input type="checkbox"/>	<input type="checkbox"/>	
	(4) the name and address of each witness expected to be called by the recorder?	<input type="checkbox"/>	<input type="checkbox"/>	
	(5) the respondent's rights to be present, present evidence, and call witnesses?	<input type="checkbox"/>	<input type="checkbox"/>	
	d. Was the respondent provided a copy of all unclassified documents in the case file?	<input type="checkbox"/>	<input type="checkbox"/>	
	e. If there were relevant classified materials, were the respondent and his counsel given access and an opportunity to examine them?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10	If any respondent was designated after the proceedings began ( <i>or otherwise was absent during part of the proceedings</i> ):			
	a. Was he properly notified ( <i>para 5-5, AR 15-6</i> )?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	b. Was record of proceedings and evidence received in his absence made available for examination by him and his counsel ( <i>para 5-4c, AR 15-6</i> )?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
11	Counsel ( <i>para 5-6, AR 15-6</i> ):			
	a. Was each respondent represented by counsel?	<input type="checkbox"/>	<input type="checkbox"/>	
	Name and business address of counsel:			
	( <i>If counsel is a lawyer, check here</i> <input type="checkbox"/> )			
	b. Was respondent's counsel present at all open sessions of the board relating to that respondent?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	c. If military counsel was requested but not made available, is a copy ( <i>or, if oral, a summary</i> ) of the request and the action taken on it included in the report ( <i>para 5-6b, AR 15-6</i> )?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12	If the respondent challenged the legal advisor or any voting member for lack of impartiality ( <i>para 5-7, AR 15-6</i> ):			
	a. Was the challenge properly denied and by the appropriate officer?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	b. Did each member successfully challenged cease to participate in the proceedings?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
13	Was the respondent given an opportunity to ( <i>para 5-8a, AR 15-6</i> ):			
	a. Be present with his counsel at all open sessions of the board which deal with any matter which concerns that respondent?	<input type="checkbox"/>	<input type="checkbox"/>	
	b. Examine and object to the introduction of real and documentary evidence, including written statements?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	c. Object to the testimony of witnesses and cross-examine witnesses other than his own?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	d. Call witnesses and otherwise introduce evidence?	<input type="checkbox"/>	<input type="checkbox"/>	
	e. Testify as a witness?	<input type="checkbox"/>	<input type="checkbox"/>	
	f. Make or have his counsel make a final statement or argument ( <i>para 5-9, AR 15-6</i> )?	<input type="checkbox"/>	<input type="checkbox"/>	
14	If requested, did the recorder assist the respondent in obtaining evidence in possession of the Government and in arranging for the presence of witnesses ( <i>para 5-8b, AR 15-6</i> )?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
15	Are all of the respondent's requests and objections which were denied indicated in the report of proceedings or in an inclosure or exhibit to it ( <i>para 5-11, AR 15-6</i> )?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

FOOTNOTES: <sup>1/</sup> Explain all negative answers on an attached sheet.

<sup>2/</sup> Use of the N/A column constitutes a positive representation that the circumstances described in the question did not occur in this investigation or board.

**SECTION IV - FINDINGS** (para 3-10, AR 15-6)

The *(investigating officer) (board)* , having carefully considered the evidence, finds:

Please see attached memorandum.

**SECTION V - RECOMMENDATIONS** (para 3-11, AR 15-6)

In view of the above findings, the *(investigating officer) (board)* recommends:

Please see attached memorandum.

SECTION VI - AUTHENTICATION (para 3-17, AR 15-6)

THIS REPORT OF PROCEEDINGS IS COMPLETE AND ACCURATE. (If any voting member or the recorder fails to sign here or in Section VII below, indicate the reason in the space where his signature should appear.)

(b)(6), (b)(3)

LTC

(Recorder)

(Investigating Officer) (President)

(Member)

(Member)

(Member)

(Member)

SECTION VII - MINORITY REPORT (para 3-13, AR 15-6)

To the extent indicated in Inclosure \_\_\_\_\_, the undersigned do(es) not concur in the findings and recommendations of the board. (In the inclosure, identify by number each finding and/or recommendation in which the dissenting member(s) do(es) not concur. State the reasons for disagreement. Additional/substitute findings and/or recommendations may be included in the inclosure.)

(Member)

(Member)

SECTION VIII - ACTION BY APPOINTING AUTHORITY (para 2-3, AR 15-6)

The findings and recommendations of the (investigating officer) (board) are (approved) (disapproved) (approved with following exceptions/ substitutions). (If the appointing authority returns the proceedings to the investigating officer or board for further proceedings or corrective action, attach that correspondence (or a summary, if oral) as a numbered inclosure.)

I approve the "Findings" and the "Specific Findings."

The recommendation that:

(3), (b)

(6), (b)(

(b)(3), (b)(5), (b)(6)

9 SEP 2007

  
JOSEPH F. FIM, JR., Major General, USA, Commanding

# **Findings and Recommendations**



DEPARTMENT OF THE ARMY  
HEADQUARTERS AND HEADQUARTERS COMPANY  
4TH INFANTRY BRIGADE COMBAT TEAM (IBCT)  
MND-B, FOB FALCON, IRAQ APO AE 09361

REPLY TO  
ATTENTION OF:

AFZN-BC-RED TEAM

25 August 2007

MEMORANDUM THRU Commander, 4th IBCT, MND-B, FOB Falcon, Iraq APO AE 09361

FOR Commander, 1st Cavalry Division & Multi-National Division-Baghdad, FOB Liberty, Iraq APO AE 09344

SUBJECT: Investigating Officer's Report Regarding 2-23 IN Friendly Fire Incident on 14 August 2007

1. Purpose. The purpose of this document is to report the investigating officer's findings and recommendations concerning the death of Private First Class (PFC) Shawn D. Hensel, B Company, 2-23 Infantry, and the wounding of Specialist (SPC) (b)(3),(b)(6) and SPC (b)(3), (b)(6) on 14 August 2007. (See Exhibit B, Appointment memorandum)

2. Summary. At 0230 hours 14 August 2007, 1st Platoon, Bravo Company set out on an Improvised Explosive Device (IED) route clearing mission along Bates Elbow (a city street) in Muhallah 836, Baghdad, Iraq. On or about 0340 hours, the squad including PFC Hensel, SPC (b)(3),(b)(6) and SPC (b)(6), (b)(3) searched a house, looking for insurgents. When the squad assumed a position on a second story balcony of one of the homes, the squad received small arms fire on their position. A .50 caliber machine gun round, fired from a friendly Stryker vehicle, struck PFC Hensel in the back most likely killing him instantly. Additional .50 caliber rounds, or fragmentation from these rounds, injured SPC (b)(3),(b)(6) and SPC (b)(6), (b)(3) (See Exhibit A, SIR; Exhibit D, the unit storyboard; and Section 6 below).

3. Applicable Laws & Regulations.

a. Multi-National Division-Baghdad Rules of Engagement

(b)(2)High

(b)(2)High

(b)(2)High

b. Article 134, UCMJ – Negligent Homicide (See Manual for Courts-Martial, 2005 Edition, Article 134, Negligent Homicide [page IV-111])

1) Negligent homicide is any unlawful homicide which is the result of simple negligence.

2) Simple negligence is the absence of due care that is, an act or omission of a person who is under a duty to use due care which exhibits a lack of that degree of care for the safety of others which a reasonable, careful person would have exercised under the same or similar circumstances.

c. A 2-23 Infantry Battalion TACSOP, dated November 2006.

d. Field Manual 3-21.3 The SBCT Infantry Rifle Platoon and Squad, dated December 2002.

4. Investigative Procedures.

a) My professional background as a Mechanized Infantry Officer with command experience at the company and platoon levels helped me understand the dynamics of 1st Platoon, Bravo Company, 2-23 Infantry's early morning mission. All of the Infantrymen in the platoon are competent in their skills and possess the capabilities necessary to perform to Army qualified standards and to conduct all essential tasks.

b) On 14 August 2007, I started my investigation by obtaining statements from the platoon and the Soldiers involved, including the Company Commander and First Sergeant. At approximately 1900 that night I read the statements and task organized the course of my investigation. I traveled to Coalition Outpost (COP) Amanche on 15 August 2007 to conduct one on one interviews with the members of 1st platoon. I enlisted the assistance of MSG (b)(6), (b)(3) to act as a witness and recorder for the interviews.

c) I conducted second interviews of the Soldiers of 1st Platoon Bravo Company on 15 Aug 2007. I noted some minor verbal inconsistencies between the initial statements and the statements given on 15 Aug 2007 – after the platoon had a day to rest and sleep.

d) I was able to use all the 32 statements from the Platoon members to understand what they saw from their respective vantage points. Nine of the statements from soldiers that night were critical - the four Soldiers who were in the B1-1 Stryker, and the five dismounted Soldiers LT (b)(3),(b)(6) SGT (b)(3),(b)(6) SGT (b)(3),(b)(6) SPC (b)(6), (b)(3) and the Medic.

5. Witnesses & Evidence.

1) SPC (b)(3),(b)(6) and SPC (b)(6), (b)(3) were unavailable for the investigation due to their MEDEVAC status. Nevertheless, I do not think their statements are necessary to reach the findings and recommendations in this report.

2) I was not able to collect all 15-20 of the .50 caliber rounds in the walls due to combat conditions at the site.

3) SFC (b)(6), (b)(3) 4 IBCT 1st Infantry Master Gunner identified rounds collected at scene. He identified them as .50 caliber Armor Piercing rounds.

4) I asked MSG (b)(6), (b)(3) to help conduct one on one interviews and to be a witness to Soldiers signatures on statements.

5) I Had CPT (b)(6), (b)(3) MD, explain the enclosed Medical Reports.

6) Some of the pictures used in this report were taken days after the event. Therefore the vehicles in the photos likely changed. Some of the aerial maps used are a few years old. The bus parking lot seen from overhead no longer exists.

7) Some of the rudimentary support for this report is based upon my personal interviews with the platoon members and is not reflected in their sworn statements.

## 6. Findings.

### a. Chronology of Events

1) At 1500 on 13 August 2007, 1 LT (b)(6), (b)(3) 1st Platoon's Platoon Leader, met with CPT (b)(6), (b)(3) the Commander, B company, 2-23 Infantry, to receive a mission to conduct an IED route clearance along Bates Elbow between 35th and 49th street in M836 and to establish a Small Kill Team (SKT) in the area. (See Exhibit 37). After receiving the mission, LT (b)(6), (b)(3) returned to his platoon area and issued his Warning Order to his platoon. The platoon's planning process began immediately as the Squads prepared themselves for the upcoming mission. LT (b)(6), (b)(3) requested to have the Start Point time for the mission changed from 0030 to 0230 on 14 August 2007. The company commander granted the request.

2) LT (b)(6), (b)(3) issued his Operational Order (OPORD) to his Squads at 1700 that same day. LT (b)(6), (b)(3) issued the Execution Paragraph of his 5 paragraph operational order on a dry erase board. Each Squad understood their key tasks. (See e.g. Exhibit E-17).

a) The basic concept of the operation was for the platoon to maneuver the platoon's Stryker vehicles to the north of Muhalla 836 along Bates Elbow (Route Senators). The vehicles and their crews would serve as a Quick Reaction Force (QRF) and provide over watch for the unit dismounts. The platoon's squads would then dismount their vehicles and begin clearing the major streets of M836 on foot. The clearing operations would move along 35th Street and 49th Street from north to south with the final destination the company's coalition outpost. Along the way, the unit would emplace a SKT to over watch a large IED location along the clearance route. (See Exhibit E-1, LT (b)(6), (b)(3) statement, page E-1-1; Exhibits I-1 through I-9 provide maps of streets that the platoon had available to plan their mission. Exhibit I-4 shows the spatial relationship between the start of the mission and the location of the unit coalition outpost Black Foot).

### **[See Exhibit J-1 for Storyboard of the planned mission]**

b) PFC Hensel and SPC (b)(6), (b)(3) served as members of the SKT. SGT (b)(6), (b)(3) served as their team leader. SPC (b)(6), (b)(3) worked as the units Fire Support Officer. LT (b)(6), (b)(3) refers to the SKT as the unit snipers.

3) The platoon then conducted Pre-Combat Checks (PCCs) and Pre-Combat Inspections (PCIs) IAW their unit's Tactical Standard Operating Procedures (TACSOP). (See Exhibit P)

4) After conducting their PCCs/PCIs and map rehearsals the platoon crossed the Line of Departure at 0227 and arrived at their objective along Route Senators at 0235 on 14 August 2007. At this point, the platoon convoy consisted of 4 Stryker vehicles. Each Stryker vehicle had either a .50 caliber machine gun or a Mark -19 mounted on the vehicle. On this mission for movement and objective over-watch position the order of march was B1-1 (.50 caliber and the lead vehicle), B1-2 (MK-19), B1-3 (.50 caliber), B1-4 (MK-19).

5) Once at the Objective in M836, LT (b)(3),(b)(6) set the Stryker's at vantage points along route Senators to over watch the platoon's planned dismounted movement through the unit's Area of Operations. The order of the Stryker vehicles from west to east was B1-3, B1-4, B1-2, and B1-1. (See Exhibit E-15, page E-15-1). Once LT (b)(6), (b)(3) completed emplacing the Strykers, the two squads and the SKT dismounted from the Strykers. The squads and SKT went to their two dismount consolidation points and then proceeded to tactically maneuver through M836. Due to the layout of the terrain, LT (b)(6), (b)(3) decided to split the Platoon with 1st squad, a fire team from 2nd Squad, and the SKT team moving along 35th street and 3rd Squad with rest of 2nd Squad moving along 49th street. (See Exhibit E-1, page E-1-1; Exhibit E-9, page E-9-1). A break down of the dismounts is as follows: 49th Street → SSG(P) (b)(6), (b)(3) [Platoon Sergeant]; SSG (b)(3),(b)(6) [3rd Squad Leader]; 3rd Squad; SGT(P) (b)(3),(b)(6) [2nd Squad Leader]; and A Team, 2nd Squad. 35th Street → LT (b)(3),(b)(6) [Platoon Leader]; SSG (b)(3),(b)(6) [1st Squad Leader]; 1st Squad; SGT (b)(3),(b)(6) [B Team Leader, 2nd Squad]; B Team, 2nd Squad; and the SKT.

**[See Exhibit J-2- Storyboard for dismount location]**

**[See Exhibit N for a breakdown of vehicle crews and vehicle weapons systems at the objective]**

6) First squad found wires that led them to two (2) houses. (See Exhibit E-3, page E-3-3 – Diagram by SGT (b)(3), (b)(6) Exhibit E-4, page E-4-1; Exhibit E-12). One house turned out to be a dry hole (without any enemy explosives or enemy weapons) but the second house had an IED ten (10) meters away from its courtyard. (See Exhibit E-3). The IED was an anti-Tank mine that had wires running from its location. (See Exhibit E-11, page E-11-1).

**[See Exhibit J-3]**

7) SGT (b)(6), (b)(3) SKT team suspected that the house or the occupants of the house might be associated with the IED given the IED's proximity to the house.

Therefore, LT (b)(6), (b)(3) directed the B Team, 2nd Squad, and the SKT to clear the house. (See Exhibit E-4, page E-4-1; Exhibit E-11). The team moved into the house and cleared the first floor. Then LT (b)(6), (b)(3) joined them and moved with them to clear the second floor. On the second floor, the team moved out onto the second floor balcony looking for covered positions. (See Exhibit E-3, SGT (b)(6), (b)(3) statement, page E-3-1)

**[See Exhibit J-4]**

8) Next, LT (b)(6), (b)(3) along with SGT (b)(6), (b)(3) and B Team, 2nd Squad, moved down to the first floor again and out of the courtyard near the IED to take pictures. The SKT team looked for over watch positions to possibly kill IED emplacers monitoring the IED. SGT (b)(6), (b)(3) decided that the house did not provide adequate over watch positions as compared to the house next door. Therefore, he moved his team back down to the first floor to rejoin the PLT leader, LT (b)(6), (b)(3)

**[See Exhibit J-5]**

9) Suddenly enemy fire from some sort of belt-fed automatic weapon system erupted from the South and was directed at 1st Squad (still near the first house toward the east). (See Exhibit E-10, page E-10-1). At the same time a second enemy position with an AK 47 machinegun shot toward LT (b)(3), (b)(6) and B Team, 2nd Squad. (See Exhibit E-3; Exhibit E-4). The enemy rounds came from the South on 35th street. (See Exhibit E-1, page E-1-2; Exhibit E-13; Exhibit E-22). The enemy position appeared to be on a house rooftop 200 meters away. (See Exhibit E-12, page E-12-2 – SSG (b)(3), (b)(6) commented that the enemy fire was approximately 150 meters to the south; Exhibit E-13, page E-13-2 – SPC (b)(6), (b)(3) noted that the enemy fire was approximately 300 meters to the south of the discovered IED location).

**[See Exhibit J-6]**

10) Since LT (b)(6), (b)(3) and B Team, 2nd Squad, were in open terrain around the IED, they immediately sought cover behind dirt mounds and debris on the east side of 35th street. (See Exhibit E-2, page E-2-1). The SKT then made a dash across 35th street back into the nearest house's courtyard. The house was the same house the team had already cleared (as mentioned above). (See Exhibit E-4).

11) SGT (b)(6), (b)(3) directed the SKT team, especially PFC Hensel with his automatic weapon system, to move to the second floor balcony of the house to provide suppressive fire for LT (b)(3), (b)(6) and SGT (b)(3), (b)(6) (See Exhibit E-4; Exhibit E-12, page E-12-2; Exhibit E-14). LT (b)(3), (b)(6) and SGT (b)(3), (b)(6) needed to move approximately 25m over open ground across 35th street to link up with SKT team and 2nd Squad elements. (See Exhibit E-1, page E-1-2). SGT (b)(6), (b)(3) then observed what he perceived to be a Rocket Propelled Grenade strike the wall in front of him. Then

something exploded on the east wall of the balcony pushing up debris. As SGT (b)(6), (b)(3) sought cover he told his Team "To get down and get back". (See Exhibit E-4). A few seconds thereafter the balcony received heavy machine gun fire from the north. (See Exhibit E-4).

**[See Exhibit J-7]**

12) Prior to the engagement of the balcony, SSG(P) (b)(6), (b)(3) the Platoon Sergeant (PSG), heard the enemy fire from his position with 3rd Squad on 49th street. (See Exhibit E-16). He then maneuvered himself and 3rd squad across the neighborhood from 49th street to 35th street. (See Exhibit E-16 – SSG(P) (b)(3), (b)(6) attempts to flank the enemy). SSG(P) (b)(3), (b)(6) emerged onto 35th street about 250 meters to the south of LT (b)(3), (b)(6) and 1st Squad's position. (See Exhibit E-1, page E-1-2 – LT (b)(3), (b)(6) notes that the PSG had moved 300 meters to the south of LT (b)(3), (b)(6) position; Exhibit E-16, page E-16-2). Therefore, the PSG's position was about 450 meters to the south of the platoon's Stryker vehicles on Route Senators.

13) SSG(P) (b)(3), (b)(6) saw the enemy shooting from a rooftop. He then communicated to the 3rd squad leader he had positively identified the enemy on a rooftop 200 meters to the South of 1st squad and the Platoon Leader's position. At this time LT (b)(3), (b)(6) announced over the platoon radio network that the enemy was 200 meters to the south. LT (b)(6), (b)(3) also reported the contact to the company headquarters. (See Exhibits L-1, L-2, & L-3). LT (b)(6), (b)(3) then communicated over the radio to the Gunner on Stryker B1-1 to fire the Stryker's weapon systems at the enemy position as soon as the gunner positively identified the enemy locations. (See Exhibit E-1, page E-1-2). B1-1 Stryker also received small arms fire at this time. (See Exhibits E-5, E-6, E-7, & E-8).

**[See Exhibit J-7]**

14) B1-1 was the first Stryker in the column of platoon vehicles along Route Senators at the time of LT (b)(3), (b)(6) communication. (See Exhibit E-8). The vehicle's crew had positioned the vehicle facing East with its weapons system facing and scanning South. (See Exhibit E-7). In over watch mode the Gunner was using the thermal sights while the front and rear Air guards were using night vision goggles and the Stryker's camera systems to scan the terrain to the South. (See Exhibit E-7).

15) The Stryker Gunner, SPC (b)(6), (b)(3) in B1-1 determined that he had positive identification of enemy movements along rooftops. (See Exhibit E-5, page E-5-1; Exhibit E-15 – SSG (b)(6), (b)(3) the Weapons Squad Leader, reminds the vehicle crews to obtain PID of an enemy target before engaging). SPC (b)(3), (b)(6) B1-1's Rear Air Guard, and SGT (b)(3), (b)(6), B1-2's senior noncommissioned officer and Front Air Guard, confirmed SPC (b)(3), (b)(6) identification of the enemy position. (See Exhibit E-6, page E-6-1; Exhibit E-7, SGT (b)(3), (b)(6) statement, page E-7-1). SPC (b)(3), (b)(6) B1-

1's driver, heard SGT (b)(3),(b)(6) and SPC (b)(3),(b)(6) discuss, for about 30 seconds, the positive identification of enemy targets on rooftops. (See Exhibit E-8, page E-8-1).

16) Based upon their conversations and visual confirmation, SGT (b)(3),(b)(6) authorized SPC (b)(3),(b)(6) to move off of SPC (b)(3),(b)(6) designated frontline trace and to engage one of the enemy positions. (See Exhibit E-7). SPC (b)(3),(b)(6) then fired two or three separate bursts of approximately 10-15 rounds from the Stryker's .50 caliber weapon system at the suspected enemy position to the South. (See Exhibits E-5, E-6, & E-7). The suspected enemy position was actually SGT (b)(3),(b)(6) SKT on the 2nd floor balcony. (See Exhibit E-10). The distance between Stryker B1-1 and the SKT's position was about 205 meters. (See Exhibit E-12, page E-12-1 – unit found the IED – anti-Tank mine – approx 200 meters to the south of Route Senators).

**[See Exhibit J-8]**

17) Approximately twelve .50 caliber rounds struck the north balcony wall – the north wall of the balcony on which the SKT had taken up an over watch position. (See Exhibit E-13, page E-13-2 – observing rounds impacting the balcony wall). Another eleven 50 caliber rounds hit the third story of next house to the south of the SKT's position. SGT (b)(6), (b)(3) notes in his statement that he heard two distinct bursts of about 10-20 rounds each. (See Exhibit E-4).

18) At the time the .50 caliber rounds struck the balcony, PFC Hensel, SPC (b)(6), (b)(3) and SPC (b)(3),(b)(6) had taken up a position behind the balcony's southern wall to protect them from enemy fire from the south. SPC (b)(6), (b)(3) also moved into a position on the balcony in the vicinity of the stairwell. (See Exhibit E-14, page E-14-2). They were facing the south as they tried to identify the enemy's firing position. PFC Hensel engaged the suspected enemy position to the south. (See Exhibit E-10). SGT (b)(6), (b)(3) had taken up a position behind the balcony's east wall where he could monitor his team, observe the enemy to the south, and communicate with LT (b)(6), (b)(3) below. SPC (b)(6), (b)(3) positioned himself behind the stairwell wall.

**[See Exhibit J-9]**

19) The rounds that struck the north balcony wall traveled through the wall sending metal and rock fragments flying throughout the balcony (See Exhibit J-19). The rounds entered the balcony at approximately a 30 degree angle from the balcony floor. The rounds continued across the balcony's open area and struck the team members from behind as they maintained their position in the southwest corner of the balcony. Specifically, a round struck PFC Hensel in his lower back, traveled through his body, and emerged from his abdomen. The round then struck his weapon and become lodged in his weapon (See Exhibits O-1, O-2, O-3, F-1, F-2 – the middle round struck PFC Hensel's SAW). A round also struck SPC (b)(3),(b)(6) in the foot and SPC (b)(3),(b)(6) through the fleshy portions of the buttocks.

**[See Exhibit J-10]**

20) The rounds only lasted for a few seconds. One of the rounds also struck a smoke grenade in PFC Hensels assault pack. (See Exhibit E-14, page E-14-2). The smoke from the grenade added to the confusion and led several of the Soldiers on and around the balcony to believe that an enemy Rocket Propelled Grenade had struck their position. (See Exhibit E, page E-1-2; Exhibit E-3, page E-3-1; Exhibit E-14, page E-14-2).

21) Attack aviation also arrived on scene to the south of the balcony but did not observe any of the firing from or at the balcony. (See Exhibits G, L-1, and L-2 – noting that Big Guns 76, attack aviation had arrived on site).

22) Seeing the fallen team members, SGT (b)(6), (b)(3) immediately began to treat the casualties, and SPC (b)(6), (b)(3) also made his way through the smoke to the casualty location. (See Exhibit E-14, page E-14-2). LT (b)(6), (b)(3) heard screaming from the balcony and proceeded to the balcony to assess the situation. Upon seeing the casualties, LT (b)(6), (b)(3) and SGT (b)(3), (b)(6) radioed for medical evacuation. (See Exhibit E-1, page E-1-2; Exhibit E-4). SSG(P) (b)(3), (b)(6) then arrived on the scene and began the immediate evacuation of the wounded Soldiers. (See Exhibit E-16). SPC (b)(6), (b)(3) the Platoon Medic, was with SSG(P) (b)(3), (b)(6) and started to treat the casualties upon arrival. (See Exhibit E-9; Exhibit E-16; Exhibit E-18; E-19). The unit then transported the casualties to the Corps Support Hospital about 7-10 minutes away. (See Exhibit E-8, page E-8-2).

**b. Specific Findings**

1) What was the cause of PFC Hensel's death and SPC (b)(6), (b)(3) and SPC (b)(6), (b)(3) wounds?

a) At approximately 0340 hours on 14 August 2007, a .50 caliber round from the .50 caliber weapon system on Stryker B1-1 struck PFC Shawn Hensel in the back while he was kneeling in an over watch position on the second floor balcony of an Iraqi home along 35th street in Muhalla 836, Baghdad, Iraq. The round entered his body below his left kidney and exited through his abdomen lodging into the Squad Automatic Weapon (SAW) system he was carrying.

b) PFC Hensel died of exsanguination – loss of blood. (See Exhibit C-1, page C-1-1). He also had internal organ damaged caused by the round. Both of these medical conditions resulted in PFC Hensel's near immediate death upon impact of the round. (See Exhibit E-4).

c) SPC (b)(6), (b)(3) the Platoon Medic, and SSG(P) (b)(6), (b)(3) the Platoon Sergeant, tried to resuscitate PFC Hensel and tried to clamp PFC Hensel's artery attempting to save PFC Hensel's life but to no avail. (See Exhibit E-9; Exhibit E-16). SPC (b)(6), (b)(3) also performed CPR on PFC Hensel during the 5-7 minute medical evacuation to the Corps Support Hospital (CSH). (See Exhibit E-9, page E-9-5). Dr. (b)(3), (b)(6) at the CSH pronounced PFC Hensel dead at 0455 hours on 14 August 2007. (See Exhibit C-1, page C-1-1).

d) Rounds from the B1-1 .50 caliber machine gun also entered the fleshy portion of SPC (b)(6), (b)(3) buttock and exited into the south wall of the balcony. (See Exhibit C-3, SPC (b)(6), (b)(3) medical report, pages C-3-1 through C-3-24; Exhibit E-9). A .50 caliber round also struck SPC (b)(6), (b)(3) in the right heel. (See Exhibit C-2, page C-2-2 – provides doctors opinion on the type of round; Exhibit E-9). SPC (b)(6), (b)(3) wound to his right heel is consistent with a .50 caliber armor piercing round traveling from the northeast. At the time of the injury, SPC (b)(6), (b)(3) was facing to the south, which would have resulted in both of his feet pointing to the south. The round entered the back of his right foot (being flatfooted at the time) and lodged 4 inches into his foot. (See Exhibit C-2, SPC (b)(6), (b)(3) medical report, pages C-2-1, C-2-5 through C-2-23). [Note: Exhibit C-2, pages C-2-5 and C-2-7 incorrectly list the "mechanism of SPC (b)(6), (b)(3) injury" as an IED or a grenade; Exhibit C-3, pages C-3-4, C-3-6, incorrectly list the source of SPC (b)(6), (b)(3) injuries as an IED or a Rocket Propelled Grenade (RPG). These inaccuracies occurred because members of the platoon believed that an RPG or IED might have caused the injuries given the enemy activity in the area.]

2) What was the source of the weapon's fire that killed PFC Hensel?

a) The weapon system that fired the rounds that struck PFC Hensel, SPC (b)(6), (b)(3) and SPC (b)(3), (b)(6) was the .50 caliber machine gun mounted on B1-1 Stryker. I base this conclusion on the following facts:

1) SPC (b)(6), (b)(3) SGT (b)(3), (b)(6) and SPC (b)(3), (b)(6) testimony identifies the second floor balcony, the SKT's position, as the location of suspected enemy position that they engaged with B1-1's .50 caliber weapon system. (See Exhibits E5, E6, and E7 – SGT (b)(6), (b)(3) statement states "We seen one gunmen firing & seen our troops on the ground running around taking cover below.").

2) The angle of the flight of the .50 caliber rounds is consistent with the path a round would have to travel from the Stryker to the SKT's position. (See Exhibit E-10, page E-10-1 – SPC (b)(6), (b)(3) observes flight of tracers from the Stryker to the balcony; Exhibit E-21, page E-21-1 – observing rounds impact the building; See also Exhibit L-3 – unit reports enemy fire from the north of their position (See Exhibit J-8, J-9, J10)).

3) The BN CSM found several .50 caliber round "penetrators" (core of the bullet) in the balcony walls. (See Exhibit E, page E-1-3). A penetrator found lodged in PFC Hensel's weapon was from a .50 caliber round. CPT (b)(6), (b)(3) the Company Commander, also found three .50 caliber penetrators on the balcony. (See Exhibit E-37, page E-37-3). (See Exhibits F-1 & F-2 for pictures of three 50 caliber penetrators found on the balcony. The middle penetrator (the one with a significant chip on top) was the round that struck PFC Hensel's weapon).

4) The damage to the balcony walls (hole and round impacts) are consistent with the damage caused by a .50 caliber round (See Exhibit J-9, J-10, J-13, J-14, J-16). The injuries to the Soldiers are consistent with the damage caused by a .50 caliber round.

b) As a result, PFC Hensel's death and SPC (b)(6), (b)(3) and SPC (b)(3), (b)(6) injuries were caused by friendly fire from B1-1 Stryker – a result of fratricide. The death and injuries were not the result of enemy fire.

3) What factors contributed to this friendly fire incident?

a) Operational Tempo (OPTEMPO). 24 hours prior to the mission the platoon had four heat casualties. The platoon's medic recommended 8 hours rest for the whole platoon. The platoon leadership agreed with the platoon medic's assessment and ordered the platoon members to get 8 hours of sleep prior to mission. Therefore, sleep deprivation does not appear to be a contributing factor to the fratricide in this case. (See Exhibit P)

b) Environmental Conditions.

i. Night Operations. The mission did occur at night [FM 3-21.3 reference section 4.28 para c (1)(2)(3)] (See Exhibit M). Due to the poor street light conditions throughout M836, the platoon had to rely on the use of thermal sights and night vision goggles to identify enemy and friendly positions. The use of these devices does limit the clarity of the intended target. As a result, the visibility limitations of night operations did play a role in this situation but are an accepted risk of conducting night operations. (See Exhibit M-50, M-51) Nevertheless, the investigation did not identify any platoon night division devices that were not working properly at the time of the engagement.

ii. The field of fire between the B1-1 Stryker vehicle and the balcony was clear for the most part but some palm trees, a telephone pole, a chimney like structure, and the height of the balcony wall did obscure some of the balcony and prevented the Stryker crew from seeing into the balcony. (b)(2)High

(b)(2)High The houses are built like small fortress with a maze of balconies connecting one rooftop to another. The Houses are built no more than 2 feet from each other which makes it easy for the enemy to

maneuver. As a result, these obstacles did make identifying the soldiers on the balcony more difficult.

c) Fire Control Measures.

(b)(6), (b)(3)

(b)(2)High, (b)(3), (b)(6)

(b)(6), (b)(3)

ii. Infra-red Markings on the Uniform. The platoon members wore two IR patches on the sides of their helmets and one on the back of their helmets. On their uniforms they wore one IR patch on the left shoulder and one on the right with an IR American flag over it. When I conducted interviews, I noted that two Soldiers wore non-IR flag patches, most of the shoulder IR patches were covered by the IR cover and one Soldier's Ranger Tab covered the IR patch. Consequently, the possibility exists that the Soldiers on the balcony had some of their IR patches covered the night of the incident.

iii. Front Line Trace. A 15 degree Front Line Trace is an imaginary line that the gunner keeps the gun trained on in front of the maneuver element in order to give immediate fire power and suppression in the event of enemy contact. The Front Line Trace was established as the method and understood by all the Gunners in the Strykers. SPC (b)(6), (b)(3) in B1-1 moved the .50 caliber gun away from his FLT once he identified enemy personnel on the rooftops. He started his scan for enemy at 200 meters out from his vehicle on the rooftops. SGT (b)(6), (b)(3) the rear air guard and vehicle commander for B1-1, saw suspicious movement through his night vision goggles. SGT (b)(6), (b)(3) moved down from his position, removed his night vision goggles, and looked at the Gunners thermal screen to PID possible enemy. SPC (b)(6), (b)(3) also moved from his position to PID the enemy on the gunner's screen. Therefore, the vehicle commander felt comfortable in allowing SPC (b)(6), (b)(3) to move the weapon system away from the front line trace.

d) Enemy Action.

i. Recent offensive actions by 4th Brigade, 1st ID in (b)(2)High and (b)(2)High have pushed al Qaeda out of those safe havens and forced them to reestablish a stronghold in (b)(2)High. The enemy main effort focuses on denying CF terrain through the use of IEDs to allow the enemy a safe base of operations. At the

time of the incident, the estimated enemy strength in (b)(2)High (b)(2)High These fighters actively emplace IED's and over watch them. The fighters also conduct direct and indirect fire engagements against CF as the opportunity presents itself, preferring hit-and-run type attacks. As a result, enemy contact was likely.

ii. In this case, the enemy ambushed elements of 1st Platoon. The enemy had set up positions to over watch an anti-Tank land mine rigged for command detonation – the IED that LT (b)(6), (b)(3) and 1st squad discovered. (See Exhibit E-1). The enemy split into two distinct firing units and brought direct fire on the platoon elements from different directions - each on opposite sides of the road. Reports indicate that both elements that engaged 1st Platoon were firing belt-fed machineguns. The engagement lasted approximately two minutes.

iii. Based on this enemy fire, the platoon took immediate action to counter the enemy fire. Therefore, the situation put the Soldiers in the Stryker vehicle in a position that required a quick judgment call based upon a quickly developing and confusing combat situation. (See Exhibit E-27 – SPC (b)(6), (b)(3) notes the confusion on the unit radios). Consequently, these life or death circumstances contributed to the unfortunate outcome.

e) Unit Preparation.

i. All of the Soldiers and leaders involved in this operation had the proper training. At the time of the incident, the Soldiers had already operated in this environment for several months.

ii. The platoon leader did issue an OPORD to his unit to properly synchronize squad activities during the operation. The platoon considered the operation routine but a large area for a single platoon to cover. The platoon leader referenced certain actions "as per SOP." The SOP they had was a company SOP. The platoon did not have an SOP.

iii. The OPORD made time for a map rehearsal only. Squads rehearsed with a map in the squad leaders room. The platoon did not perform any sand table or rock drill rehearsals.

iv. The unit had also developed the tactic, technique, and procedure (TTP) of getting vertical superiority in this type of environment.

v. Therefore, the platoon did take steps to prepare for the mission. Nevertheless, a platoon SOP, an "actions on enemy contact" rehearsal prior to crossing the Line of Departure (LD), and improved fire control measures could have helped the platoon decrease the risk of fratricide.

4) Why did the Soldiers in the engaging Stryker believe that they had positive identification (PID) of a hostile act or hostile intent prior to firing at the SKT's position?

(b)(2)High

b) In this case, the Soldiers in the Stryker believed that they had a reasonable certainty of a hostile act because they construed the friendly movement on the second floor balcony as enemy activity.

i. LT (b)(6), (b)(3) informed the Soldiers in the Stryker that enemy positions existed 200 meters to the south. However, the facts indicate that LT (b)(6), (b)(3) was referring to enemy activity 200 meters to the south of *his* position and not 200 meters to the south of the Stryker's position. The distance from the Stryker's position to the balcony location of the SKT was about 205 meters, while the distance from the B1-1 Stryker to the true enemy location was about 400-450 meters.

ii. SPC (b)(6), (b)(3) SGT (b)(3), (b)(6) and SPC (b)(3), (b)(6) identified through their thermal devices on the Stryker the movement of people on the balcony. The persons on the balcony did not have any infra-red devices visible. Nothing on the persons' uniforms indicated that they were friendly forces. (See Exhibit E-5, page E-5-1 – SPC (b)(6), (b)(3) states that the personnel had no helmets, nods, etc). The persons appeared to be firing their weapons while moving to and from a concealed position behind the balcony wall.

iii. The unit expected enemy contact in the area before beginning their mission. The unit Soldiers also knew that the enemy likes to fire at coalition forces from roof tops. Therefore, current vetted intelligence supported a scenario of an enemy firing at US forces from a balcony.

5) Was the engagement intentional, accidental, or due to negligence?

a) I interviewed multiple members of 1st platoon and reviewed 32 written statements from the platoon members. In all of these communications, the Soldiers had nothing negative to say about their fallen comrades and knew of no reason why anyone in the unit would want to harm PFC Hensel, SPC (b)(3), (b)(6) and SPC (b)(6), (b)(6). On the contrary, all platoon members thought very highly of these Soldiers and treated them like their brothers. (See Exhibit E-34). They were deeply saddened by the loss of these comrades and conveyed their deepest sympathies to the families of these great Soldiers. Therefore, I find that the fratricide was not intentional, and I did not see any reason to contact the Army Criminal Investigation Division about this incident.

b) Simple negligence is the absence of due care that is, an act or omission of a person who is under a duty to use due care which exhibits a lack of that degree of care for the safety of others which a reasonable careful person would have exercised under the same or similar circumstances. Although the Soldiers involved in this situation could have taken additional actions in planning, preparing, and executing this mission, none of these actions alone or combined raise to the level of an absence of due care.

i. In accordance with FM 3-21.9, Chapter 2, sections I, II, and III, the platoon leader followed the Military Decision Making Process (MDMP). He used adequate planning techniques for the platoon's operating environment. The platoon leader did operate within the scope of his commander's intent and guidance regarding the Mission, the Enemy Situation, the Terrain, the Troops Available, the Time available and civilians on the battlefield. IAW FM 3-21.9 chapter 4-25 para. b. and all of chapter 4-28 the platoon leader did utilize control measures. Nevertheless, the platoon leader could have used more Target Reference Points (TRP's) to better control his fires. The platoon leader did emplace some TRP's on the ground, but he could have used more along his axis of advance. He did not use the ones he had to adjust fires once he identified the enemy.

ii. Once on the ground the platoon leadership maintained the common operational picture (COP) as best they could given the fluid and volatile situation. (See Exhibit M, FM 3-21.9 section 2-2 para.g). The platoon leader and key leaders developed the situation as they reacted to contact from enemy positions. The platoon leader properly called for fires to suppress the enemy. The SKT sought vertical superiority to engage the enemy and provide support. They did exactly what they were trained to do. They all made quick tactical decisions that morning.

iii. The Soldiers in Vehicle B1-1 spent about 30 seconds, according to the driver of B1-1, to PID enemy movements (See Exhibit E-8). The Gunner conferred with his Vehicle Commander and his Air Guards for authentication of the enemy target. As a result, the gunner applied additional levels of due care not required once positive identification of the enemy is established.

iv. None of the Soldiers on the balcony had working (b)(2)High Pre Combat Checks could have been performed more thoroughly. Additionally, several of the Soldiers on the balcony may have had their IR patches covered.

c) Consequently, this incident was an accident. The enemy fire, the confusion on the battlefield, and the need for an immediate response resulted in the accidental shooting of the three Soldiers.

## 7. Recommendations.

AFZN-BC-RED TEAM

SUBJECT: Investigating Officer's Report Regarding 2-23 IN Friendly Fire Incident on 14 August 2007

(b)(5)

8. If you have any further questions or concerns, please contact the undersigned at SVOIP (b)(6) or (b)(6), (b)(3)

(b)(6), (b)(3)

LTC, IN  
RED TEAM (4-1 ID)

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                    Report of Death

    C-2.....SPC (b)(6), (b)(3)  
                    Narrative  
                    Summary

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                    Narrative  
                    Summary

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                    1LT (b)(6), (b)(3)  
                    dated 14 Aug 07

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                    1LT (b)(6), (b)(3)  
                    dated 16 Aug 07

    E-3.....Statement by  
                    SGT (b)(6), (b)(3) dated  
                    14 Aug 07

    E-4.....Statement by  
                    SGT (b)(6), (b)(3) dated  
                    14 Aug 07

    E-5.....Statement by  
                    SPC (b)(3), (b)(6)  
                    dated 14 Aug 07

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SPC (b)(6), (b)(3) dated  
14 Aug 07
- E-7.....Statement by  
SGT (b)(6), (b)(3) dated  
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16 Aug 07
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14 Aug 07
- E-14.....Statement by  
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- E-15.....Statement by  
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14 Aug 07

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14 Aug 07

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SGT (b)(6), (b)(3) dated  
14 Aug 07

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(b)(6), (b)(3) dated  
14 Aug 07

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14 Aug 07

E-25..... Statement by  
SGT (b)(3), (b)(6) dated  
14 Aug 07

E-26..... Statement by  
SGT (b)(3), (b)(6) dated  
14 Aug 07

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14 Aug 07

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E-30.....	Statement by SPC (b)(3), (b)(6) dated 14 Aug 07
E-31.....	Statement by SPC (b)(3), (b)(6) dated 14 Aug 07
E-32.....	Statement by PFC (b)(3), (b)(6) dated 14 Aug 07
E-33.....	Statement by SPC (b)(3), (b)(6) dated 16 Aug 07
E-34.....	Statement by SPC (b)(3), (b)(6) dated 16 Aug 07
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Exhibit H.....	Unit TACSOP Relevant Portions
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# **EXHIBIT A**

# Exhibit A

## 4/1 ID Serious Incident Report

Portion (s) Declassified IAW:  
MNF-I SCG, 31 Mar 07  
Declassified on: 16Oct07

From: 2-23 IN, 4th IBCT

Thru: 4th IBCT, 1st Infantry Division (4-1 ID)

To: 1st CD / Division Operation Center

SUBJECT: SIR

1. CATEGORY: BLUE ON BLUE
2. TYPE OF INCIDENT: BLUE ON BLUE
3. DATE AND TIME: 14 0348 AUG 07

- A. DTG of Incident: 14 0348 AUG 07
- B. DTG Unit was notified of Incident: 14 0348 AUG 07
- C. DTG received by DOC:

4. LOCATION: (b)(2)High

5. PERSONNEL INVOLVED:

A. SUBJECT: (b)(6), (b)(3)

- A. RANK OR GRADE: SPC
- B. SOCIAL SECURITY NUMBER: (b)(3),(b)(6)
- C. RACE: AFRICAN-AMERICAN
- D. SEX: M
- E. AGE: 20
- F. POSITION: COMPANY SNIPER
- G. SECURITY CLEARANCE: SECRET
- H. UNIT AND STATION OF ASSIGNMENT: B/2-23IN, FOB FALCON, IRAQ
- I. DUTY STATUS: ACTIVE
- J. MARITAL STATUS: SINGLE

B. SUBJECT: (b)(6), (b)(3)

- A. RANK OR GRADE: SPC
- B. SOCIAL SECURITY NUMBER: (b)(3),(b)(6)
- C. RACE: CAUCASIAN
- D. SEX: M
- E. AGE: 22
- F. POSITION: FORWARD OBSERVER
- G. SECURITY CLEARANCE: SECRET
- H. UNIT AND STATION OF ASSIGNMENT: B/2-23IN, FOB FALCON, IRAQ
- I. DUTY STATUS:
- J. MARITAL STATUS: MARRIED

C. SUBJECT: HENSEL, SHAWN D.

- A. RANK OR GRADE: PFC
- B. SOCIAL SECURITY NUMBER: (b)(3),(b)(6)
- C. RACE: CAUCASIAN
- D. SEX: M
- E. AGE: 20

Derived from: MNF-I SCG, 31 Mar 07  
Reason: 1.4a  
Declassify on 20170814

- F. POSITION: RIFLEMAN
- G. SECURITY CLEARANCE: SECRET
- H. UNIT AND STATION OF ASSIGNMENT: B/2-23IN, FOB FALCON, IRAQ
- I. DUTY STATUS: ACTIVE
- J. MARITAL STATUS: MARRIED

D. SUBJECT: (b)(6), (b)(3)

- A. RANK OR GRADE: SPC
- B. SOCIAL SECURITY NUMBER: (b)(3),(b)(6)
- C. RACE: CAUCASIAN
- D. SEX: M
- E. AGE: 20
- F. POSITION: PLATOON MEDIC
- G. SECURITY CLEARANCE: NONE
- H. UNIT AND STATION OF ASSIGNMENT: B/2-23IN, FOB FALCON, IRAQ
- I. DUTY STATUS: ACTIVE
- J. MARITAL STATUS: MARRIED

E. SUMMARY OF INCIDENT: AT 14 0230 AUG 07, 1/B/2-23IN CONDUCTED COUNTER-IED CLEARANCE FROM NORTH TO SOUTH ALONG MECHANIKS ELBOW (M836). THE PLATOON'S VEHICLES WERE SET AS QRF ON RTE SENATORS WHILE THE PLATOON CLEARED DISMOUNTED. ONE SQUAD WITH THE PSG CLEARED SOUTH AND TO THE WEST, WHILE ONE SQUAD WITH THE PL CLEARED SOUTH AND TO THE EAST. THE SQUAD TO THE EAST LOCATED A RUSSIAN MADE-ANTI-TANK MINE WITH A COMMAND WIRE. WHILE TRACKING THE COMMAND WIRE THEY RECEIVED SMALL ARMS FIRE FROM THE SOUTH AND EAST ACROSS THE OPEN AREA. THE SQUAD TO THE WEST ALSO RECEIVED SMALL ARMS FIRE AT APPROXIMATELY THE SAME TIME. THE EASTERN SQUAD MOVED INTO A NEARBY HOUSE AND ATTEMPTED TO GAIN VERTICAL SUPERIORITY. AS THEY MOVED THROUGH THE HOUSE A STRYKER LOCATED ON RTE SENATORS FIRED 3-5 OF .50CAL AT THE SQUAD. ONE ROUND STRUCK PFC HENSEL AND PASSED THROUGH HIS TORSO (DOW). ONE ROUND PASSED THROUGH SPC (b)(3),(b)(6) BUTTOCKS. A ROUND OR A PIECE OF A ROUND STRUCK SPC (b)(3),(b)(6) ANKLE. ONE OF THE ROUNDS STRUCK AN ASSAULT PACK, IGNITING A SMOKE GRENADE. THE SMOKE LED THE UNIT TO INITIALLY BELIEVE IT WAS RPG FIRE. THE PLATOON MEDIC, SPC (b)(3),(b)(6) WAS TREATED FOR BACK/KNEE PAIN DERIVED FROM EVACUATING THE CASUALTY. SM WAS DECLARED RTD.

6. ALCOHOL INVOLVEMENT: NONE

7. OTHER INFORMATION:

A. RACIAL: N/A

B. EOF INVOLVEMENT: NO

8. REMARKS:

9. PUBLICITY:

10. COMMANDER REPORTING:

11. POINT OF CONTACT: CPT (b)(6), (b)(3)

12. REPORT ORIGINATED BY: 2-23 IN TOC

13. RELEASED BY:

14. UNIT NOTIFICATIONS:

A. IN PERSON

<u>POSITION</u>	<u>NAME</u>	<u>TIME</u>	<u>INSTRUCTIONS</u>
BN CDR	LTC	0420	
BN XO	MAJ	0355	
BN CSM	CSM	0400	



- B. EMAIL
- C. FM FREQ

MND-B BTL NCO:

TIME RECEIVED:

MND-B G3 BTL NCO ACTIONS:

MND-B CHOPS / BTL MAJ DISPOSITION:

MND-B CHOPS NOTIFICATIONS:

A. TELEPHONE

<u>POSITION</u>	<u>NAME</u>	<u>TIME</u>	<u>INSTRUCTIONS</u>
-----------------	-------------	-------------	---------------------

B. EMAIL

<u>POSITION</u>	<u>NAME</u>	<u>TIME</u>	<u>INSTRUCTIONS</u>
-----------------	-------------	-------------	---------------------

# **EXHIBIT B**



**DEPARTMENT OF THE ARMY**  
HEADQUARTERS, 1st CAVALRY DIVISION AND  
MULTI-NATIONAL DIVISION-BAGHDAD  
CAMP LIBERTY, IRAQ APO AE 09344

REPLY TO  
ATTENTION OF:

AFVA-CG

15 AUG 2007

MEMORANDUM FOR LTC (b)(6), (b)(3) Headquarters and Headquarters Company, 4th Infantry Brigade Combat Team, Multi-National Division-Baghdad, FOB Falcon, Baghdad, Iraq APO AE 09361

SUBJECT: Appointment as Investigating Officer under AR 15-6

1. You are hereby appointed as an Investigating Officer pursuant to *AR 15-6, Procedures for Investigating Officers and Boards of Officers*, to investigate the facts and circumstances surrounding a suspected friendly fire incident (blue-on-blue) involving members of 2-23 IN in (b)(2)high on 14 Aug 2007.
2. In addition to determining the facts and circumstances of the incident in question, you will make findings and recommendations concerning the following topics:
  - a. Describe the makeup of the forces involved in this incident, including vehicles and weapons systems.
  - b. Explain the timeline of events that led to the engagement.
  - c. Assess whether U.S. Soldiers complied with applicable rules of engagement. Evaluate whether OPTEMPO may have contributed to how Soldiers acted in this incident.
  - d. Identify any other tactics, techniques, and procedures that may be relevant to preventing future incidents of this sort.
3. You should contact the servicing office of the Army Criminal Investigative Command (CID) to determine if there are or should be criminal investigations into this incident.
4. Your legal advisor is Captain (b)(3), (b)(6) Room 207, 4/1 ID Headquarters Building, FOB Falcon, (b)(6) who will give you an in-brief before you begin your investigation and be available to assist you during the investigation.
5. You may speak with any and all individuals that you believe have information pertinent to your investigation. You will obtain guidance from your legal advisor if in the course of your investigation you determine that completion thereof requires examining the conduct or performance of, or may result in Findings and Recommendations adverse to, a person senior to yourself.

AFVA-CG

SUBJECT: Appointment as Investigating Officer under AR 15-6

6. If during the course of your investigation you come to suspect that an individual you are questioning may be criminally responsible, you will advise that individual of his or her rights under Article 31, UCMJ, or the Fifth Amendment as appropriate. You will administer and record such rights advisements on DA Form 3881.
7. You must provide a Privacy Act statement to a witness if the report of proceedings will be filed in a system of records from which it can be retrieved by reference to the name or other personal identifier of that witness. *AR 15-6*, Appendix B provides guidance for preparing Privacy Act statements. If any questions arise regarding the need for a Privacy Act statement, consult with your legal advisor.
8. All witness statements will be sworn and, if possible, submitted on DA Form 2823. If circumstances preclude you from obtaining a sworn statement, or if you obtain a statement telephonically, you will summarize such a statement in a Memorandum for Record and swear to the accuracy of your summary.
9. Your report will be submitted to this office on DA Form 1574 together with all evidence marked as Exhibits, and an Index to said Exhibits. Your Findings must be based on the evidence you include as Exhibits and your Recommendations must be based on your Findings.
10. Your complete report will be submitted to this office no later than **24 August 2007**. Submit any requests for delay in writing to the MND-B Staff Judge Advocate. You must obtain a written legal review from the 4/1 ID legal office before final submission.

FOR THE COMMANDER:

(b)(6), (b)(3)

# EXHIBIT C

Exhibit C-1

<b>HOSPITAL REPORT OF DEATH</b> <small>FOR USE OF THIS FORM SEE AR 40400. THE PROPONENT AGENCY IS OFFICE OF THE SURGEON GENERAL.</small>		<b>NAME AND LOCATION OF HOSPITAL</b> 28th COMBAT SUPPORT HOSPITAL	
Prepare, in one copy only, items 1 through 10 and sign item 11. Print or type entries.		Instructions - Medical Officer in attendance will: Send form, without delay to the Registrar or Administrative Officer of the Day, for necessary action and for preparation of required number of copies.	
<b>SECTION A - ATTENDING MEDICAL OFFICER'S REPORT</b>			
PERSONAL DATA			
1. PATIENT DATA (Patient's ward plate will be used to imprint identifying data if available) HENSEL SHAWN DAVID (b)(6)		2. TIME OF DEATH (Hour-day-month-year) 0520 14 AUG 2007	3. MEDICAL EXAMINER/ CORONER'S CASE <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Patient's name (Last, first, middle initial) Grade, Social Security Account No., Register Number and Ward Number		4. RELIGION	5. CHAPLAIN NOTIFIED <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
6. NAME, ADDRESS AND RELATIONSHIP OF RELATIVE OR FRIEND PRESENT AT DEATH			
<b>CAUSE OF DEATH</b>			<b>APPROXIMATE INTERVAL BETWEEN ONSET AND DEATH</b>
7a. DISEASE OR CONDITION DIRECTLY LEADING TO DEATH (This does not mean the mode of dying, e.g., heart failure, asthma, etc. It means the disease, injury, or complication which caused death)	DUE TO (or as a consequence of) EXSANGUINATION		KIA
7b. ANTECEDENT CAUSES (Morbid conditions, if any, giving rise to the above cause, stating the underlying condition last)	DUE TO (or as a consequence of) (1) PENDING AFME (2)		
8. OTHER SIGNIFICANT CONDITIONS CONTRIBUTING TO THE DEATH, BUT NOT RELATED TO THE DISEASE OR CONDITION CAUSING IT	a.	b.	
9. DATE 14 AUG 2007	10. TYPED OR PRINTED NAME AND GRADE OF MEDICAL OFFICER IN ATTENDANCE (b)(6), (b)(3) CPT		11. SIGNATURE OF MEDICAL OFFICER IN ATTENDANCE (b)(6), (b)(3)
<b>SECTION B - ADMINISTRATIVE ACTION</b>			
TYPE OF ACTION	HOUR	DAY	MONTH
12. TELEGRAM TO NEXT OF KIN OR OTHER AUTHORIZED PERSON	YEAR	INITIALS OF RESPONSIBLE OFFICER	
13. POST ADJUTANT GENERAL NOTIFIED			
14. IMMEDIATE CO OF DECEASED NOTIFIED			
15. INFORMATION OFFICE NOTIFIED			
16. POST MORTUARY OFFICER NOTIFIED			
17. RED CROSS NOTIFIED			
18. OTHER (Specify)			
19.			
<b>SECTION C - RECORD OF AUTOPSY</b>			
20. AUTOPSY PERFORMED (If yes, give date and place) <input type="checkbox"/> YES <input type="checkbox"/> NO		21. AUTOPSY ORDERED BY (Signature)	
22. PROVISIONAL PATHOLOGICAL FINDINGS			
23. DATE	24. TYPED NAME AND GRADE OF PHYSICIAN PERFORMING AUTOPSY	25. SIGNATURE OF PHYSICIAN PERFORMING AUTOPSY	
26. DATE	27. TYPED NAME AND GRADE OF REGISTRAR	28. SIGNATURE OF REGISTRAR	

DA FORM 3894, OCT 72

REPLACES DA FORM 8-257, 1 JAN 61, WHICH WILL BE USED.

USAPA V2.01

Exhibit C-1

C-1-1

MEDICAL RECORD

CHRONOLOGICAL RECORD OF MEDICAL CARE

DATE SYMPTOMS, DIAGNOSIS, TREATMENT, TREATING ORGANIZATION (Sign each entry)

14 AUG 07

Pt is a U.S. soldier slip shoulder injury to (L) thigh / Groin. Pt arrived apoxic, pulseless, pupils fixed and dilated. Patient pronounced by Dr. [redacted] at 0455.

(b)(6), (b)(3)

(b)(6), (b)(3)

MD LTC

HOSPITAL OR MEDICAL FACILITY 28TH CSH	STATUS E-3 / PFC	DEPART./SERVICE	RECORDS MAINTAINED AT
SPONSOR'S NAME Hensel Shawn	[redacted]	RELATIONSHIP TO SPONSOR	
PATIENT'S IDENTIFICATION: (For typed or written entries, give: Date of Birth; Rank/Grade.)		or SSA; Sex:	REGISTER NO. WARD NO.

(b)(6)

CHRONOLOGICAL RECORD OF MEDICAL CARE  
Medical Record  
STANDARD FORM 600 (REV. 6-97)  
Prescribed by GSA/ICMR  
FIRM (41 CFR) 201-9.202-1 USAPA V2.00



# Exhibit C-2

NSN 7540-00-834-4115

MEDICAL RECORD		NARRATIVE SUMMARY (CLINICAL RESUME)	
DATE OF ADMISSION 8/15/2007	DATE OF DISCHARGE	NUMBER OF DAYS HOSPITALIZED	

(Sign and date at end of narrative)

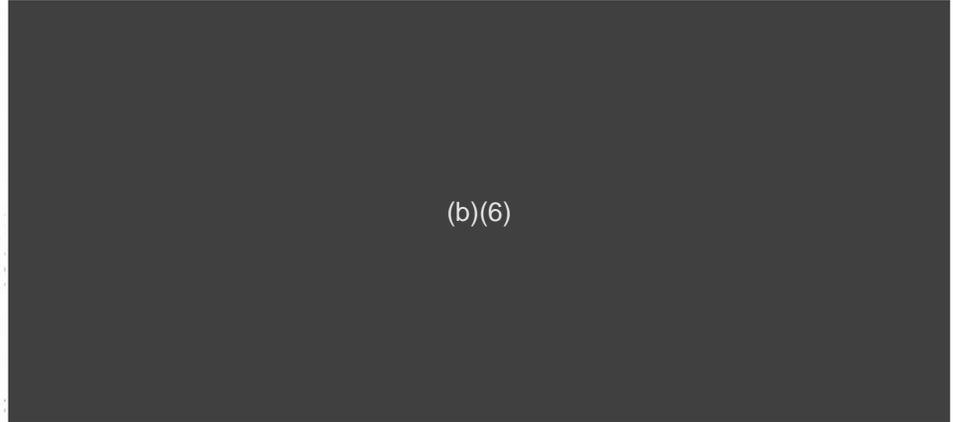
8/14/2007 03:54:49 UTC  
 28TH CSH SOUTH -  
 BAGHDAD  
 BY (b)(6), (b)(7)

RADIOLOGY REPORT 4961

Patient: (b)(3), (b)(6) FMP/SSN: (b)(3), (b)(6)  
 Date of Birth: (b)(3), (b)(6) Age: 22 Sex: MALE  
 trauma number: 101-00-4961

History: Gunshot wound.

Findings:



(b)(6)

8/14/2007 05:24:16 UTC  
 28TH CSH SOUTH -  
 BAGHDAD  
 BY (b)(6), (b)(7)

(b)(6), (b)(7) (b)(3), (b)(6) Open Right Calcaneus Fx 14 Aug2007

ADMISSION HISTORY PHYSICAL for (b)(6), (b)(3) on 14 Aug 2007



(b)(6)

(b)(6)

pt to Germany. Medivac  
 - PENDING EVAC

(Use additional sheets of this form (Standard Form 502) if more space is needed)

PAGE 1 OF 2

SIGNATURE OF PHYSICIAN	DATE	IDENTIFICATION NO.	ORGANIZATION
(b)(6), (b)(3) SPC LANDSTUHL REGIONAL MEDCEN	(For typed or written entries give: Name - last, first, middle; grade, rank, rate, hospital or medical facility)		REGISTER NO.
			WARD NO.

NARRATIVE SUMMARY (CLINICAL RESUME)  
 Medical Record

STANDARD FORM 502 (REV. 7-91)  
 Prescribed by GSA/ICMR, FRMR (41 CFR) 201-9.202-1

# Exhibit C-2

## C-2-1

MEDICAL RECORD		NARRATIVE SUMMARY (CLINICAL RESUME)	
DATE OF ADMISSION	DATE OF DISCHARGE	NUMBER OF DAYS HOSPITALIZED	
8/15/2007			

(Sign and date at end of narrative)

8/14/2007 08:13:53 UTC  
 28TH CSH SOUTH -  
 BAGHDAD  
 BY (b)(6), (b)(3)

PROCEDURE HX - OPERATIVE NOTE for (b)(6), (b)(3) 20-6576

(b)(6)

8/14/2007 13:49:44 UTC  
 28TH CSH SOUTH -  
 BAGHDAD  
 BY (b)(6), (b)(3)

Dressing changed on right foot about 1 hour ago. U shaped sugar tong plaster splint also applied. PLAN medivac to Germany - PENDING EVAC

8/15/2007 06:23:50 UTC  
 LRMC  
 BY (6), (b)

USARPAC LNO (3), (b) / 4th BDE 2nd INF DIV POC SFC (b)(3), (b)(6)  
 (b)(6), (b)(3)

8/16/2007 05:12:54 UTC  
 LRMC  
 BY (6), (b)

(b)(3), (b)(6)

(Use additional sheets of this form (Standard Form 502) if more space is needed)

PAGE 2 OF 2

SIGNATURE OF PHYSICIAN	DATE	IDENTIFICATION NO	ORGANIZATION
(b)(6), (b)(3)			SPC
PATIENTS IDENTIFICATION		REGISTER NO.	WARD NO.
(For typed or written entries give Name - last, first, middle, grade, rank, rate, hospital or medical facility)			

(b)(6), (b)(3) SPC  
 LANDstuhl REGIONAL MEDCEN

NARRATIVE SUMMARY (CLINICAL RESUME)  
 Medical Record

C-2-2

# Exhibit C-3

NSN 7540-CO-894-4115

MEDICAL RECORD		NARRATIVE SUMMARY (CLINICAL RESUME)	
DATE OF ADMISSION 8/15/2007	DATE OF DISCHARGE	NUMBER OF DAYS HOSPITALIZED	

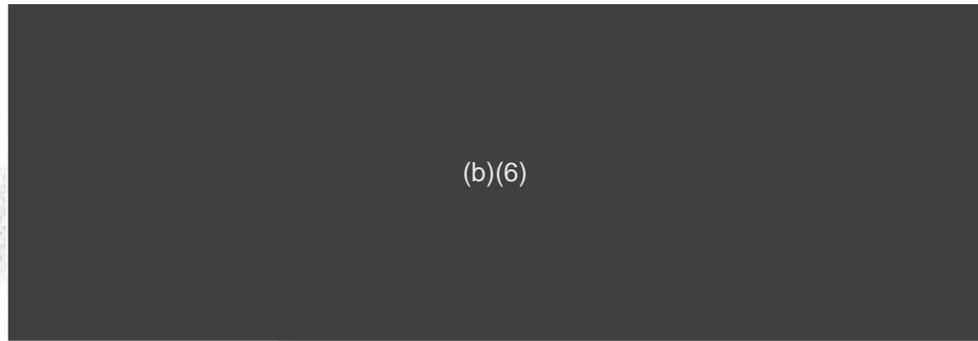
(Sign and date at end of narrative)

8/14/2007 05:08:29 UTC  
28TH CSH SOUTH -  
BAGHDAD  
BY (6), (b)

8/14/2007 05:08:44 UTC  
28TH CSH SOUTH -  
BAGHDAD  
BY (6), (b)

8/14/2007 12:55:01 UTC  
28TH CSH SOUTH -  
BAGHDAD  
BY (6), (b)

8/15/2007 06:26:04 UTC  
LRMC  
BY (6), (b)



(b)(6)

(b)(6) Plan dressing change now and tid, air evac to Balad - LRMC.

USARPAC LNC (b)(6) / 4th BDF 2nd INF DIV POC SFC (b)(3), (b)(6)  
(b)(6), (b)(3)

(Use additional sheets of this form (Standard Form 502) if more space is needed)

PAGE 1 OF 1

SIGNATURE OF PHYSICIAN	DATE	IDENTIFICATION NO	ORGANIZATION
PATIENTS IDENTIFICATION		REGISTER NO.	WARD NO.

(b)(3), (b)(6) SPC  
LANDS UHL REGIONAL MEDCEN

NARRATIVE SUMMARY (CLINICAL RESUME)  
Medical Record

STANDARD FORM 502 (REV. 7-91)  
Prescribed by GSA/CMR, FIRM (41 CFR) 201-9.202-1

# Exhibit C-3

## C-3-1

# **EXHIBIT D**

**INCIDENT:** BLUE ON BLUE SAF

**RESULTS:**

1 X US KIA

3 X US WIA

**SIGACT/EVENT ID:**

MND-B EVENT 66 (140413)

MND-B SIGACT 7 (140413) (CCIR 1) (CCIR 11)

UNIT: 4/1 ID

LOCATION: (b)(2)High

**SUMMARY:**

AT 0230 HOURS, 1/B/2-23 IN CONDUCTED COUNTER-IED CLEARANCE FROM NORTH TO SOUTH ALONG MECHANIKS ELBOW. THE PLATOON'S VEHICLES WERE SET AS QRF ON RTE SENATORS WHILE THE PLATOON CLEARED DISMOUNTED. ONE SQUAD WITH THE PSG CLEARED SOUTH AND TO THE WEST, WHILE ONE SQUAD WITH THE PL CLEARED SOUTH AND TO THE EAST. THE SQUAD TO THE EAST LOCATED A RUSSIAN MADE-ANTI-TANK MINE WITH A COMMAND WIRE. WHILE TRACKING THE COMMAND WIRE THEY RECEIVED SMALL ARMS FIRE FROM THE SOUTH AND EAST ACROSS THE OPEN AREA. THE SQUAD TO WEST ALSO RECEIVED SMALL ARMS FIRE AT APPROXIMATELY THE SAME TIME.

HOUSE (b)(2)High

AS THE

ON RTE SENATORS FIRED 3-5 OF .50CAL AT THE SQUAD. ONE ROUND STRUCK 1 X SOLDIER AND PASSED THROUGH HIS TORSO. ONE ROUND PASSED THROUGH ANOTHER SOLDIER'S BUTTOCKS, AND A ROUND OR A PIECE OF A ROUND STRUCK A THIRD SOLDIER'S ANKLE. ONE OF THE ROUNDS STRUCK AN ASSAULT PACK, IGNIGHTING A SMOKE GRENADE. THE SMOKE LED THE UNIT TO INITIALLY BELIEVE IT WAS RPG FIRE.

**ISR UTILIZATION:**

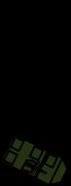
ISR WAS UTILIZED DURING THIS EVENT TO DETERMINE LOCATION OF CAUSALTIES.



EXHIBIT D

AUG 07

**FILENAME:** 070814 EVENT BLUE ON BLUE (1 X US KIA, 3 X US WIA) EAST RASHID, ZONE 26, 2-23 IN, 4-1 ID (FINAL)



(b)(2)High

# **EXHIBIT E**

# Exhibit E-1

## SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

### PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

**PRINCIPAL PURPOSE:** To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.

**ROUTINE USES:** Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.

**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION FOB Falcon, Baghdad Iraq	2. DATE (YYYYMMDD) 2007 08 14	3. TIME 1436	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b)(6), (b)(3)	6. SSN (b)(3), (b)(6)	7. GRADE/STATUS O2/Acme	
8. ORGANIZATION OR ADDRESS 1st Plt, B Co, 2-23 IN REGT			

9. I, 1LT (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

The morning of 14 AUG 2007, 1st Plt SPD at approx 0227 hrs on an IED detect & clearance mission along Bate's Elbow <sup>M836</sup>. The plan I briefed had us travelling up to RTE Senators, dismounting near S points, then travelling south along 49th st to 35th st to our COP, leaving a Sniper SKT team in place over any large IED we found.

We dismantled and began our move south w/ SSG (P) (b)(3), (b)(6) SSG (b)(6), (b)(3) (3rd sqd Ldr), 3rd sqd, SGT (P) (b)(6), (b)(3) (2nd sqd Ldr) & 2nd sqd A team moving on the west on 49th & myself (b)(3), (b)(6), 1st sqd & SSG (b)(6), (b)(3) (1st SL), 2nd sqd B team, and the company snipers. on 35th st on the east.

As we pushed to the bend after S points to clear south down 35th, we had to cross the open field near the junkyard to look for a pVBIED. We did not locate the bomb, rounded the base on 1st st, and began to cross the field back to 35th. At this time, SGT (b)(6), (b)(3) (2nd BTL) noticed a wire running E-W on the ground through the field. I had his team trace it west & 1st sqd trace it east. SGT (b)(6), (b)(3) told me the wire ended near 35th st, so I had 1st sqd continue east to find the trigger point, then moved with the snipers and my FSO (SP4 (b)(3), (b)(6)) to 35th st. SGT (b)(6), (b)(3) pinpointed the end of the wire to me, which I pulled up to find it leading into a trash pile on the street. Further searching led to the discovery of an AT mine rigged to explode.

10. EXHIBIT E-1	11. INITIALS OF PERSON MAKING STATEMENT (b)(3), (b)(6)	PAGE 1 OF 3 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

STATEMENT OF LT (b)(6), (b)(3) TAKEN AT 1436, DATED 14 AUG 2007

9. STATEMENT (Continued)

After finding the bomb, I directed the snipers to B-2<sup>d</sup> Sq into an overwatch position on the west side of 35<sup>th</sup> st while SGT (b)(6), (b)(3) and I further interrogated the IED. ← SEE ADDITION (b)(6), (b)(3)

During this subsequent white light search, SGT (b)(6), (b)(3) and I came under SAF from the south & southeast. (Appx 0330 hrs). We immediately pushed off the street and into a courtyard 2 houses north of the sniper/2-BT overwatch position. I called in a 'TIC' report to get support and my PSG and his section ran up 49<sup>th</sup> St. to attempt a flank, as they had already bypassed my position by 300m on 49<sup>th</sup>.

I called to the 2-Bravo Team to lay down <sup>cover</sup> support fire as SGT (b)(6), (b)(3) and I moved to their location, upon which I heard a massive amount of friendly SAF going toward the enemy firing points.

We came into the courtyard and I told the gunner on Stryker 1-1 to shoot as soon as he had PIED on the enemy location. SGT (b)(6), (b)(3) and I, still in the courtyard, returned tracer fire at the enemy positions, when I heard screaming from the balcony above.

Moving up, I saw 3x WIA and ~~to~~ directed everyone on scene to begin immediate treatment as I moved downstairs to call for a MENEVAC. Moving down, I found my PSG who took over the care and extraction of the soldiers.

I helped in the extraction, moving my FSO across the 2<sup>d</sup> floor (B-level) and he mentioned he thought they had been hit by an RPG. Once all casualties were evaced, a small defuse was set in to wait for QRF help. During this time, as I had heard no explosion, I took a look around on the balcony to see if I could find RPG pieces.

All I found were 4 or 5 large bullet holes through the northern wall of the balcony, indicating they had been fired N→S from our backs as we fought off the attack to the south.

As the sun rose, I took photos of the area and called to my vehicles to see if anyone had fired an M-2 or Mk-19 to which I got a response of 'Yes, V1-1 (w/ SPC (b)(3), (b)(6), SPC (b)(3), (b)(6) (GNR), SGT (b)(6), (b)(3) (VC) had fired'. I asked SGT (b)(6), (b)(3) what he had shot at and he said he fired at what he believed was an enemy on a balcony

INITIALS OF PERSON MAKING STATEMENT (b)(3), (b)(6) PAGE 2 OF 3 PAGES

E-1-E-1-2 2-1-1-1-3

9. STATEMENT (Continued)

with an automatic weapon. Judging by the rear entry wounds on the  
 WIA and the blast holes through the wall on the north side of the  
 balcony, I believed the VBI may have been what shot my guys on the  
 balcony. I immediately reported this to my CO (CPT (b)(6), (b)(3)) who was  
 now on the scene. He and CSM (b)(6), (b)(3) both came with me to the  
 balcony and inspected the scene, & I also took photos of the entire  
 balcony area & the surrounding views as the sun rose. We found 4 .50cal rds

upon inspection of the balcony

Since the completion of the firefight, I have heard from my PSG  
 and the sniper SL (SGT) (b)(6), (b)(3) that we did receive fire from the north,  
 but that it was enemy, to include the already known positions to  
 the south

Nothing Follows

(b)(6), (b)(3)

AFFIDAVIT

I, (b)(6), (b)(3)

HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUEN

(b)(6), (b)(3)

WITNESSES:

(b)(6), (b)(3)

MSC

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14<sup>th</sup> day of AUG, 2007 at 1515 hrs

HHC 4-1 ID FOB FALCON IRAQ  
 ORGANIZATION OR ADDRESS

(b)(6), (b)(3)

LTC

(Signature of Person Administering Oath)

(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT

(b)(3), (b)(3)

PAGE 3 OF 3 PAGES

E-1-3

S-1-3

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

**PRINCIPAL PURPOSE:** To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.

**ROUTINE USES:** Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.

**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION COP Amanat, Baghdad Iraq	2. DATE (YYYYMMDD) 20070816	3. TIME 1430	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b)(6), (b)(3)	6. SSN (b)(6), (b)(3)	7. GRADE/STATUS O-2/Active	
8. ORGANIZATION OR ADDRESS 1 <sup>st</sup> Plt B CO 2-23 IN REGT			

9. I, 1LT (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

After locating and disarming the IED, I noticed a single house directly across the street with lights on. Every other house was blocked out. I directed B team 2<sup>nd</sup> SQD & the snipers to move inside to clear it so we could question any occupant about the IED outside their front door.

It was brought to my attention that the front door was chained and ~~locked~~ <sup>locked</sup> from the outside. I directed somebody to cut the chain, then we cautiously entered the house searching for body drops. Once the house was clear, we smashed all the lights in the front room of the 1<sup>st</sup> floor and all lights on the 2<sup>nd</sup> floor to prevent being shadowed.

The snipers stayed inside the house I believe as SGT (b)(6), (b)(3) and myself went to the IED to photograph it with his team in the field 10m to our south pulling security. When rounds came in, SGT (b)(6), (b)(3) and I ran into a nearby courtyard, then I turned around and told the remaining elements lying in the prone in the field to get to cover in the courtyard of the house with lights we had just cleared.

————— NOTHING follows —————

10. EXHIBIT E-2	11. INITIALS OF PERSON MAKING STATEMENT (b)(6), (b)(3)	PAGE 1 OF 3 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

EXHIBIT E-2

USE THIS PAGE IF NEEDED. IF THIS PAGE IS NOT NEEDED, PLEASE PROCEED TO FINAL PAGE OF THIS FORM.

STATEMENT OF

(b)(6), (b)(3)

TAKEN AT

1430

DATED

16 AUG 2007

9. STATEMENT (Continued)

(b)(6), (b)(3)

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

PAGE 2 OF 3 PAG

EXHIBIT E-2

STATEMENT OF (b)(6), (b)(3) TAKEN AT 1430 DATED 16 AUG 2007

9. STATEMENT (Continued)

[Large redacted area containing the main body of the statement, with a central box labeled (b)(6), (b)(3)]

**AFFIDAVIT**

I, ILT (b)(6), (b)(3), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR

ILT (b)(6), (b)(3)

(b)(6), (b)(3) MSCI

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 16 day of AUGUST, 2007 at 1500 FOR FALCON

HHC 4-1 ID FOR FALCON, IRAQ  
ORGANIZATION OR ADDRESS

(b)(6), (b)(3)

\_\_\_\_\_  
\_\_\_\_\_  
ORGANIZATION OR ADDRESS

\_\_\_\_\_  
(Typed Name of Person Administering Oath)

\_\_\_\_\_  
(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT (b)(3), (b)(6) PAGE 3 OF 3 PAGES

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

**PRINCIPAL PURPOSE:** To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.

**ROUTINE USES:** Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.

**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION Fob Falcon	2. DATE (YYYYMMDD) 2007 Aug 14	3. TIME 14:39	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b)(6), (b)(3)	6. SSN (b)(6), (b)(3)	7. GRADE/STATUS E-5 SGT	
8. ORGANIZATION OR ADDRESS BCD 2/23 4th BDE 21D			

9. I, SGT (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

I SGT (b)(6), (b)(3) was detached from my sqd (2nd sqd) and took my team as lead element down 35th. As we and my team walked down the road in search of IEDs we cut ~~right~~ left to check out a possible VBID (Mercedes Truck) after circling around the building where it was suspected to be and not finding it we moved back through the field towards the road where I located a command wire. We split into 2 teams and traced the wire. My team and I located the IED. The other team didn't find a trigger point. We then grouped back up came up with a plan to search for a trigger point (1st sqd) and search a house directly across from the IED and question (my team). My team searched our house and found none. We decided to reconnect the IED and blow it in place. As we were connecting the IED (me & LT (b)(6), (b)(3)) the rest of the team was pulling security down the road. We came under contact from across the field. Me & the LT moved into the courtyard closest to us. The rest of our team moved into the house next door that we had just cleared. They got topside and I identified where the shooting was coming from put my laser on it so my team could provide covering fire. When they opened up we moved to their location. From the time we moved to them they took heavy fire. When me and the LT got to the roof everyone was yelling "RPG IM HIT" and we began treating casualties and trying to evac them. PFC Hensel was the worst off and my SAW gunner I tried to help but he died on the scene from loss of blood.

Nothing Follows (b)(6), (b)(3)

10. EXHIBIT E-3	11. INITIALS OF PERSON MAKING STATEMENT (b)(3), (b)(6)	PAGE 1 OF 2 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_"  
THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

USE THIS PAGE IF NEEDED. IF THIS PAGE IS NOT NEEDED, PLEASE PROCEED TO FINAL PAGE OF THIS FORM.

STATEMENT OF SGT (b)(3), (b)(6) TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_

9. STATEMENT (Continued)

*[The main body of the page is crossed out with a large 'X' and contains faint, illegible handwritten notes and diagrams.]*

INITIALS OF PERSON MAKING STATEMENT

PAGE OF PAGES

**E - E - 3 - 2 - 2 - 2 - 3**

STATEMENT OF

SGT (b)(6), (b)(3)

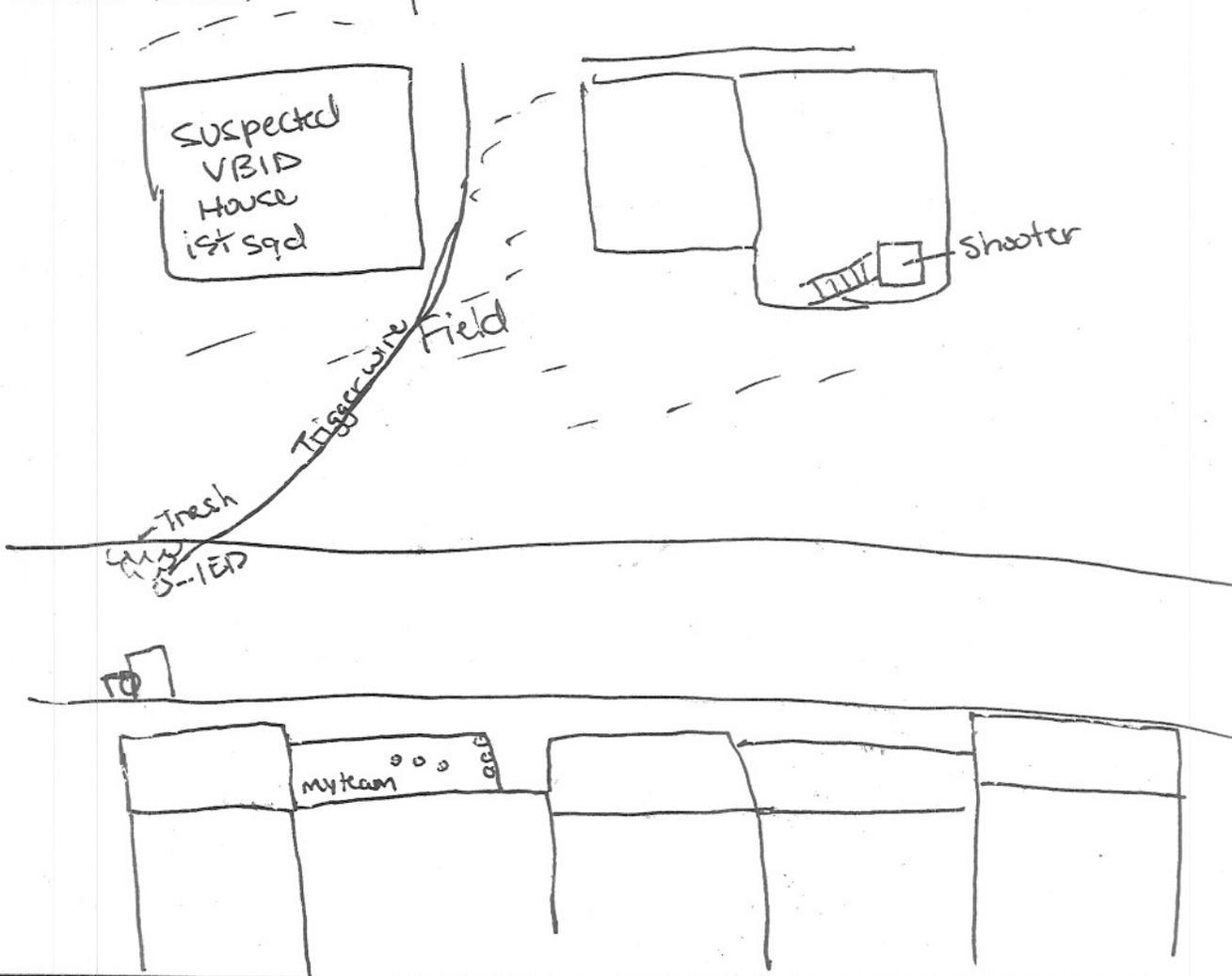
TAKEN AT

14:39 Fob Falcon

DATED

14 Aug 07

9. STATEMENT (Continued)



AFFIDAVIT

I, SGT (b)(3), (b)(6), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 2. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE OR UNLAWFUL INDUCEMENT.

(b)(6), (b)(3)

(Signature)

WITNESSES:

(b)(6), (b)(3)

MSC

HHC 4-1 ID Fob Falcon, Iraq  
ORGANIZATION OR ADDRESS

ORGANIZATION OR ADDRESS

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August, 2007 at 1500

(b)(6), (b)(3)

(Typed Name of Person Administering Oath)

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT

E-3-3 S-3-3

E-4

**SWORN STATEMENT**

For use of this form, see AR 190-45; the proponent agency is PMG.

**PRIVACY ACT STATEMENT**

**AUTHORITY:** Title 10 USC Section 301; Title 5 USC Section 2951; E.O. 9397 dated November 22, 1943 (SSN).  
**PRINCIPAL PURPOSE:** To provide commanders and law enforcement officials with means by which information may be accurately identified.  
**ROUTINE USES:** Your social security number is used as an additional/alternate means of identification to facilitate filing and retrieval.  
**DISCLOSURE:** Disclosure of your social security number is voluntary.

1. LOCATION COP AMANCHE, Baghdad, Iraq	2. DATE (YYYYMMDD) 2007/08/14	3. TIME 11:03	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b)(6), (b)(3)	6. SSN (b)(6), (b)(3)	7. GRADE/STATUS E-5/RA	
8. ORGANIZATION OR ADDRESS Blackfoot 2/23			

9. I, (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

On AUG 14, 2007 I was on an IED clearance/ SKT patrol. My sniper team was attached to 1-1, with a team from 1-2 and we had 1-6 and 1-5. We had been clearing from Senators going South down 35th street. After about 5-6 minutes we had found a wire going East, about 250 Meters down the street. We traced the wire until it ended abruptly with no apparent trigger point, then we back traced it to an Anti-Tank mine lying on its side. We called up to Blackfoot X-ray that we had discovered an IED at grid (b)(2)High. I heard over my radio that 1-7 and his element had also found an IED, deep buried. 1-6 sent 1-1 back along the wire to try to identify the trigger house, they headed East and were about a 100 meters away searching 3 houses. We noticed that the house right next to the IED had its lights on and 1-6 decided that we should ask the people there about it. The front door was secured shut with 3-4 wraps of chain with 2 locks. We proceeded to cautiously clear the house checking for booby traps. House was clear with nothing found. 1-6 went to take pictures of the mine, right as he was finishing we received fire by an automatic weapon from the South shooting red tracer, we hit the dirt in the middle of the street and checked for casualties. Everyone was up. Another longer burst of automatic weapon fire with red tracer was shot at us, this time I was able to pin point that it was South West. 1-6 told us to get back in the building we had just cleared. I was the first person in and went straight to the roof, Hensel, (b)(6), (b)(3) were right behind me. We tried to get ID on where the shots had come from but the building next to us on the South side blocked our view and most our fires South. 1-6 asked for covering fire for himself and one other soldier so that they could get in the courtyard. I picked a backdrop 200 meters to our East and a 100 meters North of 1-1. We fired for 10 seconds and then I called a cease fire and heard 1-6 say that they were good. I was about to lean over and ask him what he wanted us to do when an RPG impacted right in front of me, embedding itself in the wall, I saw it sparking and smoking. I told everyone to get back and to get down. I made it about 1ft away before it exploded. As it went off is when rounds from machine guns came flying in. I know for sure that they were firing from the East. But the corner next to me, which was on the North side of the building started to get hit by high caliber rounds. I was 2ft away from the corner and I could hear 2 distinct bursts of 10-20 rounds impact, with a short pause in between bursts. I lost all vision due to smoke and dust for about 20 seconds, I could barely hear "I'm hit, I'm hit," coming from one of the others. Another soldier had run out on the roof, I believe SGT (b)(3), (b)(3) and had started treating Hensel. He asked me for help as I got off the ground. As I got to him I called in a mass casualty/medvac request on Plt net. I then proceeded to put pressure on his left leg about where the femoral artery is. I told (b)(6), (b)(3) to hold on and that we would be right with them, that I need them to start self aid. 2-4 minutes later the medic was there along with team plus. As the Medic took over my place with Hensel I moved over to (b)(3), (b)(3) and began treating him. We continued to treat the casualties as we loaded them up on the stretcher. By that time Hensel was K.I.A., we switched the other two casualties to the Med and proceeded to the cash.

nothing follows

(b)(6), (b)(3)

10. EXHIBIT E-4	11. INITIALS OF PERSON MAKING STATEMENT (b)(6), (b)(3)	PAGE 1 OF 2 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

E-4 E-4-1

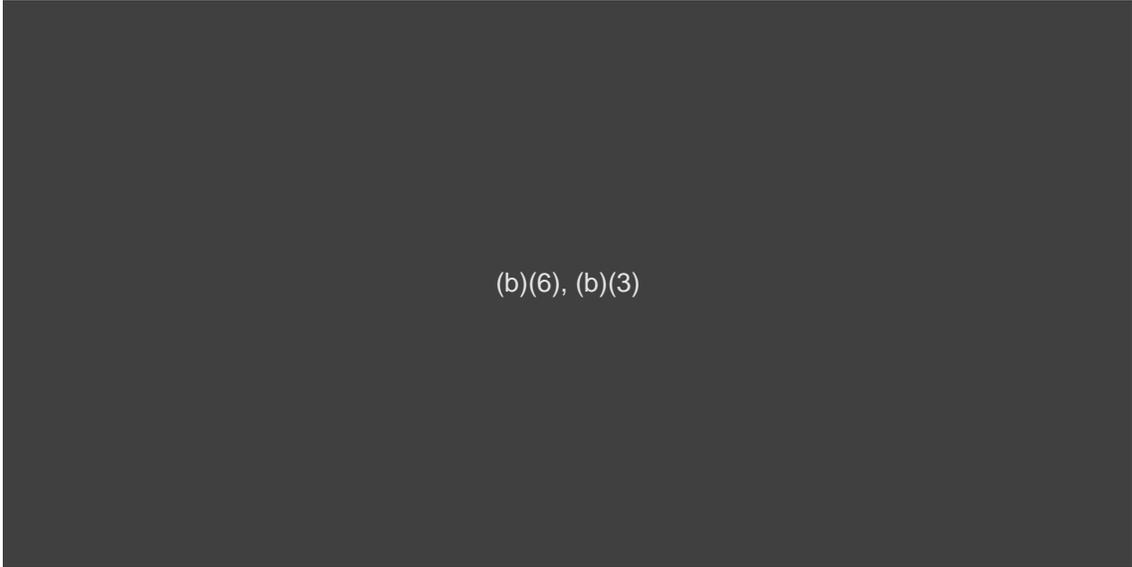
STATEMENT OF SGt (b)(6), (b)(3)

TAKEN AT COP Amamche

DATED 2007/08/14

9. STATEMENT (Continued)

*Nothing Follows*



(b)(6), (b)(3)

**AFFIDAVIT**

I, (b)(6), (b)(3), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 2. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE

(b)(3), (b)(6)  
\_\_\_\_\_  
(Signature)

**WITNESSES:**

(b)(6), (b)(3) MSG  
\_\_\_\_\_  
WITNESS

HHC 4-110 FOS FALCON, ARAD  
ORGANIZATION OR ADDRESS

ORGANIZATION OR ADDRESS

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14<sup>th</sup> day of AUGUST, 2007 at 1735

1LT (b)(6), (b)(3)  
\_\_\_\_\_  
(Signature)

1LT (b)(6), (b)(3)  
\_\_\_\_\_  
(Typed Name of Person Administering Oath)

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT

PAGE 2 OF 2 PAGES

*2-4 E-4-2*

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

**PRINCIPAL PURPOSE:** To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.

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**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION Bates Elbow	2. DATE (YYYYMMDD) 20070814	3. TIME 0443	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b)(6), (b)(3)	6. SSN (b)(6), (b)(3)	7. GRADE/STATUS E-4/Active	
8. ORGANIZATION OR ADDRESS BCO 2/03 INF COP AMANCHE			

9. I, (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

On 14 AUGUST 2007 @ approximately 0330 hrs 1st Platoon, B CO was conducting IED Defeat operations in 836, From Ate. Senators, south down Bates Elbow, back to cop Amanche

During this time between 0330-0400 one section consisting of 1st squad, team from 2nd squad and Company snipers took contact from both southwest and southeast with automatic fire on North Bates Elbow.

Being the m-a gunner on B-1 victor, I was alerted by my rear air guard that we were too taking fire from both southwest and southeast. I traversed my weapon PID'd enemy personnel (no helmets, no, etc...) in an apartment complex 75-100m minimum from the troops in contact, reconfirmed PID through my vision and rear guards and engaged with approximately 15-20 rds. I then proceeded to shift fire to the southeast and engaged a known enemy automatic firing position with and additional 10-15 rds. Fractures were scene by my PLT Sergeant, whom was also engaging the same target. (b)(6), (b)(3)

~~These three engagements along with an Anti-tank mine wired to explode in the near vicinity confirmed, to me, a complex ambush with IED initiation and follow on automatic fire.~~ Nothing follow

(b)(6), (b)(3)

10. EXHIBIT E-5	11. INITIALS OF PERSON MAKING STATEMENT (b)(6), (b)(3)	PAGE 1 OF 3 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

USE THIS PAGE IF NEEDED. IF THIS PAGE IS NOT NEEDED, PLEASE PROCEED TO FINAL PAGE OF THIS FORM.

STATEMENT OF **(b)(6), (b)(3)** TAKEN AT 1443 hrs DATED 2007 08 14

9. STATEMENT (Continued)

*Nothing follows*

**(b)(6), (b)(3)**

INITIALS OF PERSON **(b)(3), (b)(6)** STATEMENT

**E-5-2**

STATEMENT OF

(b)(6), (b)(3)

TAKEN AT

1443 hrs

DATED

20070814

9. STATEMENT (Continued)

Nothing follows

(b)(6), (b)(3)

AFFIDAVIT

I, (b)(6), (b)(3), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE

(b)(3), (b)(6)

ment)

WITNESSES:

(b)(6), (b)(3)

MSC

HHC 4-1 ID FOB Falcon, IDA  
ORGANIZATION OR ADDRESS

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August, 2007 at 1500

(b)(6), (b)(3)

(Typed Name of Person Administering Oath)

(Authority To Administer Oaths)

ORG/

(b)(3), (b)(6)

INITIALS STATEMENT

PAGE 3 OF 3

PAI

5-2-3

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

**PRINCIPAL PURPOSE:** To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.

**ROUTINE USES:** Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.

**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION Bates Elbow	2. DATE (YYYYMMDD) 20070814	3. TIME 1450	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b)(6), (b)(3)	6. SSN (b)(6), (b)(3)	7. GRADE/STATUS E4	
8. ORGANIZATION OR ADDRESS Bco 2-23 IN BN 1ST PLT			

9. I, (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

I was The airguard on V11 on the right hand side, when we started to receive heavy fire from our 3 o'clock I ducked down for an instant then peeked back up to see a barrage of tracer rounds (Red) flying over my vector. I spotted the muzzle flash and called it up to my vehicle commander (SGT (b)(3), (b)(6)) I then fired 5 rounds in to the target (300m away) I saw the IR strobes to the 5 or 6 and knew that was where friendlies were at. I then continued to take fire from that area when I heard we had 3 casualties. So I popped down and pointed the vectors 50 cal. onto the target where we identified the guys head and shoulders over the balcony I then looked out the air guards hatch saw lasers on it and called for the VC to lay down range. They did so and I watched on the DVE the guy drop and then we stopped firing and there was no more enemy fire. We then got called to be the Cas Evac vehicle moved up in front of the house and brought the 3 wounded personnel on the vehicle. The medic pronounced Hensel had no pulse on the vehicle tried CPR but no luck and started to treat (b)(6), (b)(3) we brought the two casualties to the MEU and kept Hensel on our vehicle taken

10. EXHIBIT E-6	11. INITIALS OF PERSON MAKING STATEMENT (b)(3), (b)(6)	PAGE 1 OF 3 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

STATEMENT OF (b)(6), (b)(3) TAKEN AT 1950 DATED 2007 08 14

9. STATEMENT (Continued)

We headed towards the CSH, Got to the CSH # unloaded the vehicles.

(b)(6), (b)(3)

(b)(6)

(b)(6)

(b)(6)

INITIALS OF PERSON MAKING STATEMENT (b)(3), (b)(6)

E-6-2

STATEMENT OF

(b)(3), (b)(6)

TAKEN AT

1450

DATED

2007 08 14

9. ST

[Redacted Statement Content]

(b)(6), (b)(3)

AFFIDAVIT

I, (b)(6), (b)(3)

HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE,

(b)(3), (b)(6)

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August, 2007 at 1500

(b)(6), (b)(3)

MSG

HHC 4-ID FOS Falcon, IRAG  
ORGANIZATION OR ADDRESS

(b)(6), (b)(3)

(Signature of Person Administering Oath)

(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT

PAGE 3 OF 3 PAC

5-0-3

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

**PRINCIPAL PURPOSE:** To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.

**ROUTINE USES:** Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.

**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION	2. DATE (YYYYMMDD) 2007 08 14	3. TIME 1433	4. FILE NUMBER
5. LAST NAME FIRST NAME MIDDLE NAME (b)(6), (b)(3)	6. SSN (b)(6), (b)(3)	7. GRADE/STATUS E-5	
8. ORGANIZATION OR ADDRESS Bco 2-23 INF Ft. Lewis, WA 98433			

9. I, Sgt (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

That on 14 AUG 07 I WAS the VC on B11 Victor along with SPC (b)(6), (b)(3) as Rear Air guard SPC (b)(6), (b)(3) as the gunner. We were sitting on Senators providing overwatch as they rest of the platoon dismounted to conduct rough clearance. While we were there SPC (b)(6), (b)(3) reports small arms fire & that he seen the flashes from the roof top. as the gunner was scanning I was watching the screen also & communicating with the Air Guard. I heard on the radio that there were fire from roof tops & that the 240B were going to fire. SPC (b)(6), (b)(3) dropped from the Air Guard to help us locate it on the monitor were he seen the flashes. We seen one gunman firing & I seen our troops on the ground running around taking cover below. The gunman did not look like one of ours & was firing rapidly. I took one more good look & we all agreed it looked hostile so I gave the order to open fire. We fired about 3 ground burst into the building. After everything calm down they reported 3 casualties we went to the building help load them & continued mission.

END of Statement

(b)(6), (b)(3)

10. EXHIBIT E-7	11. INITIALS OF PERSON MAKING STATEMENT (b)(3), (b)(6)	PAGE 1 OF 3 PAGES
--------------------	---	-------------------

ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

USE THIS PAGE IF NEEDED. IF THIS PAGE IS NOT NEEDED, PLEASE PROCEED TO FINAL PAGE OF THIS FORM.

STATEMENT OF

(b)(6), (b)(3)

TAKEN AT

(Continued)

DATED

14 AUG 2007

9. STATEMENT (Continued)

(b)(6), (b)(3)

(b)(6)

(b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)(3), (b)(6)

STATEMENT

PAGE 2 OF 3 PAGES

E-7-28-28-3

STATEMENT OF

(b)(6), (b)(3)

TAKEN AT

DATED

14 Aug 2007

9. STATEMENT (Continued)

[REDACTED]

(b)(6)

AFFIDAVIT

I, Sgt (b)(6), (b)(3)

HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR INDUCEMENT.

(b)(3), (b)(6)

of Person Making Statement)

WITNESSES:

(b)(6), (b)(3)

HHC 4-11D FOB Falcon, IRAQ  
ORGANIZATION OR ADDRESS

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August, 2007 at 1560

(b)(3), (b)(6)

LTC

(Oath)

(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT

(b)(3), (b)(6)

PAGE 3 OF 3 PAGE

# Exhibit E-8

## SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

### PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

**PRINCIPAL PURPOSE:** To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.

**ROUTINE USES:** Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.

**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION COP Amanche	2. DATE (YYYYMMDD) 20070816	3. TIME 1230	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b)(6), (b)(3)	6. SSN (b)(3), (b)(6)	7. GRADE/STATUS E4 / SPC	
8. ORGANIZATION OR ADDRESS 3-CO 2-23 IN BN			

9. I, SPC (b)(6), (b)(3) WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

I observed no fire that friendlies fired on the morning of 14 Aug 2007. I was the driver of Stryker B-11, the eastern most vehicle on Route Senators. When or around the time our dismounts took contact, my vehicle also took contact. I could hear the bullet pings off of the armor, as well as see the rounds impacting through my thermals in front of the stryker. I heard the rear Airguard saying he spotted one of the enemy soldiers. He guided my gunner, SPC (b)(6), (b)(3) on to the target. The NCO on the vehicle, SGT (b)(6), (b)(3) as well as the rear Airguard and (b)(6), (b)(3) spent approx. 30 seconds verifying the target as hostile. After PID was agreed explicitly, we opened fire with the M2. After a few minutes. The PL called we had casualties. Right as SSG (b)(6), (b)(3) got to the scene, he called for the trucks to

10. EXHIBIT E-8	11. INITIALS (b)(3), (b)(6)	STATEMENT	PAGE 1 OF 3 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF \_\_\_\_\_ DATED \_\_\_\_\_"  
THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

STATEMENT OF SPC (b)(3),(b)(6) TAKEN AT COP Amache 1230 DATED 20070816

9. STATEMENT (Continued)

Come down to the CCP House for immediate casevac. From there I drove through 2 fields, several obstacles and fences to finally make it to the CCP House. I backed into the House. Over the next several minutes, the casualties were loaded onto my Stryker, with the PSG (SSSG, (b)(3),(b)(6)) and our medic, SPC (b)(6), (b)(3). We drove north on 35th to Senators. We met the medical Stryker and our 1SG's Stryker there. We crossloaded the 2 stable casualties, (b)(6), (b)(3) to the med. Stryker (MEV). We kept Hensel's body in our vehicle. From then, we drove to the CASH.

End of Statement

(b)(6)

INITIALS OF PERSON MAKING STATEMENT

(b)(3),(b)(6)

PAGE 2 OF 3 PAGES

**E-8-2** [REDACTED]

STATEMENT OF SPL (b)(6), (b)(3) TAKEN AT COBAManche 1236 DATED 20070816

9. STATEMENT (Continued)

NOTHING Further

[REDACTED]

(b)(6)

**AFFIDAVIT**

I, (b)(6), (b)(3), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(b)(6), (b)(3) (Signature)

(b)(6), (b)(3) USG  
HHC 4-17D FOB Falcon, IRAQ  
ORGANIZATION OR ADDRESS

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August, 2007 at 1560

(b)(6), (b)(3) LTC  
(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT (b)(3), (b)(6)

E-8-E-8-3

# Exhibit E-9

## SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

### PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10 USC Section 301; Title 5 USC Section 2951; E.O. 9397 dated November 22, 1943 (SSN).  
**PRINCIPAL PURPOSE:** To provide commanders and law enforcement officials with means by which information may be accurately identified.  
**ROUTINE USES:** Your social security number is used as an additional/alternate means of identification to facilitate filing and retrieval.  
**DISCLOSURE:** Disclosure of your social security number is voluntary.

1. LOCATION Cop Amache Baghdad	2. DATE (YYYYMMDD) 2007/07/16	3. TIME 1049	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b)(6), (b)(3)	6. SSN (b)(3), (b)(6)	7. GRADE/STATUS E-4 SPC	
8. CREW/SECTION OR ADDRESS B Co 2/23 INF 4BG 2ID			

9. I, (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

On the morning of the 14th are platoon, (1st platoon B Co) conducted a red route clearance mission. I am the platoon medic, and I work directly under SSG (b)(6), (b)(3). On the start of the mission are platoon separated. One group went right, one group went left both scanning the ground cautiously for anything suspicious. My group went right we walked along the sidewalks until we came to our first intersection. We took a knee and stood in place for a while, then SSG (b)(6), (b)(3) found some wire that he lead, or traced it back to the center of the road, SSG (b)(6), (b)(3) thought it was a very big IED so he ordered us to move down the road about 50 meters. He continued to trace the other side of the wire, trying to find the target house. As he traced the wire he stopped and told me SSG (b)(6), (b)(3), and PFC (b)(3), (b)(6).

10. EXHIBIT E-9	11. INITIALS OF PERSON TAKEN AT (b)(3), (b)(6)	12. PAGE 1 OF 6 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_"  
 THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

STATEMENT OF (b)(6), (b)(3) TAKEN AT 1048 DATED 2007/08/16

## 9. STATEMENT (Continued)

to wait alongside a wall. So we stayed in place for about 10 minutes until SSG (b)(6), (b)(3) returned. We continued to push up the street but now we had SGT (b)(6), (b)(3) squad behind us, as we were scanning about 20 meters away from the intersection we heard enemy fire, or just fire. We immediately took cover, some people ran behind a house, that were on the right side, or outside of the street. The people that were inside of the street or the left side jumped over a wall and took cover behind some beams. When I first heard gun fire it was really rapid. After I scaled the wall it was more like pop shots. Once the fire completely stopped we all jumped over the wall making sure we were covered, I was covering SGT (b)(6), (b)(3) when I heard SSG (b)(6), (b)(3) yell Doc lets go. He then took off in a sprint up the road. I followed shortly behind him, he yelled out "we have multiple casualties". He kept running up the street right where he thought the IEP was and hooked a right, on the intersection. When we hooked a right the street was covered in bright white light. SSG (b)(6), (b)(3) slowed down

INITIALS OF PERSON MAKING STATEMENT

(b)(6)

PAGE 2 OF 6 PAGES

STATEMENT OF (b)(6), (b)(3) TAKEN AT 1048 DATED 2007 10 21 6

9. STATEMENT (Continued)

because we did not know where the casualties were. When we got to the intersection we stopped. We looked around for light, then he took off in a sprint again. He made a left, and I shortly followed. He ran inside a house that had in flashes all around it. I remember the house that we entered had a white gate that was open. The house had electricity the lights were on. There was still furniture. When I entered SSG (b)(6), (b)(3) was out of site so I yelled friendly. I heard a lot of movement upstairs so I moved towards the stairs. The lights began to flicker and as I ran up the stairs my timing, and the stairs were uneven and I fell down the stairs. I quickly got back up and proceeded to the balcony. When I got to the balcony I was grabbed by (3), (b) I still don't know who, and I dragged to Hensel. He was lying on his back, (supine) and 2 guys were just (3), (b) packing his wound with Kerlex. I told them to move, I felt through his pants for the wound. It was high and about the size of a 50 cent piece. I grabbed some Kerlex and jammed it into the wound. I told a random person to hold pressure until I got my hemcon Bandage. I quickly moved away his hand and jammed the hemcon

INITIALS OF PERSON MAKING STATEMENT

(b)(3), (b)(6)

PAGE 3 OF 6 PAGES

E-9-3

# SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

## PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).  
**PRINCIPAL PURPOSE:** To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.  
**ROUTINE USES:** Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.  
**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION	2. DATE (YYYYMMDD)	3. TIME	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME	6. SSN	7. GRADE/STATUS	
8. ORGANIZATION OR ADDRESS			

9. I, SPC [REDACTED] (b)(3), (b)(6), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

IN. at this point The blood was oozing and I kept screaming I need white light so I could see the wound. I had somebody hold the hemcom bandage in place when I noticed I had 2 more casualties. They were being treated by CIS so I continued on [REDACTED] (b)(3), (b)(6) assessment. I checked his back when I found [REDACTED] (b)(3), (b)(6) even larger exit wound. I grabbed more Kerlex, but [REDACTED] (b)(3), (b)(6) the wrapper and placed the whole dressing inside with way to much ease. It wasn't enough. At this time they started flashing white light. And I had saw his face. He was obviously gone he was not responding he had no pulse he was not breathing. At this time I moved over to [REDACTED] (b)(6), (b)(3) I tried to expose his wound but I just couldn't get to it. I packed

10. EXHIBIT	11. INITIALS OF PERSON MAKING STATEMENT [REDACTED] (b)(6), (b)(3)	PAGE 4 OF 6 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

E-9-4 E-9-3

2007/08/16

the wound on his buttock and wrapped it with an ace wrap. I helped place him on the litter on (b)(6), (b)(3) his stomach. And got a team to take him to the stryker. I turned around to see Hensel on a litter being brought to the stryker as well. I then saw (b)(6), (b)(3) on the floor grabbing his ankle. His ankle was deformed and swollen but there was no sign of profuse bleeding. My man thought was trying to get them to safety and better cover. So me and (b)(6), (b)(3) buddy carried 2 man shoulder carried (b)(6), (b)(3) down the stairs to the stryker. When we got just outside the door (b)(6), (b)(3) collapsed and fell to the floor. He was having trouble breathing so we picked him up and placed him in the stryker. Once we placed all three (b)(6), (b)(3) casualties on the stryker I started to ~~access~~ access Hensel some more still no pulse, no breathing no responsiveness. So I began CPR, I did my compressions but when I gave my breaths his airway was sealed off. His tongue was not lodged so I repositioned his airway and tried again. It did not work. I took a loose towel out of the VC hatch and placed it over his face. I then took (b)(6), (b)(3) vitals he had a good pulse he was talking but kept complaining about his ankle pain. There was three litter pts in the stryker so I could not get to his ankle. I then gave him a shot of Morphine asked (b)(6), (b)(3) to keep his leg straight. Did

9. STATEMENT (Continued)

he see any profuse bleeding he answered No. I then moved over to (b)(6), (b)(3) tried to take off his clothes then (b)(6), (b)(3) told me the med was outside, so we then dropped ramp got (b)(6), (b)(3) and (b)(6), (b)(3) off gave (b)(6), (b)(3) the sit rep and kept Hensel and drove him to the cash. On the route to the cash I continued to do CPR checked his vitals but he was still gone we arrived at the cash and I just finally stopped.

-----NOTHING FOLLOWS-----

AFFIDAVIT

I, (b)(6), (b)(3), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE \_\_\_\_\_. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(b)(6), (b)(3)

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 15 day of August 2007 at 1500

(b)(6), (b)(3)

MSG

HHC 4-1 ID FOB FALCON, IRAQ ORGANIZATION OR ADDRESS

(b)(6), (b)(3)

(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT

(b)(3), (b)(6)

PAGE 6 OF 6 PAGES

E-9-6

# Exhibit E-10

## SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

### PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).  
**PRINCIPAL PURPOSE:** To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.  
**ROUTINE USES:** Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.  
**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION N Bates' Elbow	2. DATE (YYYYMMDD) 20070814	3. TIME 1444	4. FILE NUMBER
5. LAST NAME FIRST NAME MIDDLE NAME (b)(6), (b)(3)	6. SSN (b)(3), (b)(6)	7. GRADE/STATUS E-4	
8. ORGANIZATION OR ADDRESS B Co 2/23 INF			

9. I, (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:  
1st PIT was to conduct a dismounted IED sweep this morning. we sped (b)(3), (b)(6) of Amanche at 0230. My section (1st sqd, 1/2 2nd sqd, 1LT (b)(3), (b)(6), SPC (b)(3), (b)(6) and 2 snipers) began our sweep from senators south on Bates Elbow. My squad (1st sqd) found an IED in the road, an anti-tank mine w/ wires attached. we traced the wire to a house across a field East of the road. At the same time, the rest of the section went into a house on the west side of the road to question the inhabitants about the IED. while we were in the house, we heard gunfire and saw red tracers hitting in the vicinity of the rest of the section. the fire came from the south of our position, about 2-3 houses down. we tried to reposition to get an angle on the shooter, but no visual was acquired. we were, however, able to see all the following events very clearly. PFC Hensel, SAW gunner for the team from second squad in our section, began to lay cover fire

10. EXHIBIT E-10	11. INITIALS OF PERSON MAKING STATEMENT (b)(6)	PAGE 1 OF 3 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_"  
THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

STATEMENT OF

(b)(6), (b)(3)

TAKEN AT

FOB Falcon

DATED

14 Aug 07

## 9. STATEMENT (Continued)

for maneuver. He was using classic US 5-7 round bursts, although they were missing the target house completely. His ORANGE tracers were very clearly visible. At this time, we heard an M2 open up from our WNE (vic Senators, where the vehicles were staged). I saw ~~orange~~ <sup>(b)(6), (b)(3)</sup> the rounds impact the wall right where PFC Hensel was shooting from. The rounds impacted very dramatically, .50 cal API rounds to a tee. After that we heard loud screaming, some of our guys were hit. One of whom, SPC <sup>(b)(3), (b)(6)</sup> was shot by a .50 cal round, it's in a cup next to his bed at the CGH now. PFC Hensel's SAW had a large bullet hole near the middle of the receiver. So large the weapon would no longer fire. Rounds from one of our vehicles, and I-1 was the only one that had a shot from that angle, decimated the building that PFC Hensel was firing from. No excuse, it was very clear from my angle that it was our guys firing. From the way he was firing, the orange tracers, and the fact that ILT <sup>(b)(6), (b)(3)</sup> strobe was visible made it clear beyond the shadow of a doubt (unless only looked at through thermals) that they were our guys.

Nothing Follows

IN

PERSON MAKING STATEMENT

(b)(3), (b)(6)

PAGE 2 OF 3 PAGES

DA

OV 2006

APD PE v1.00

9. STATEMENT (Continued)

nothing follows

AFFIDAVIT

I, (b)(6), (b)(3), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(b)(6), (b)(3)

(Signature)

WITNESSES:

(b)(6), (b)(3)

MSG

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August, 2007 at 1500

HMC 4-125 FOB Falcon, Iraq  
ORGANIZATION OR ADDRESS

(b)(6), (b)(3)

(Signature of Person Administering Oath)

(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

(Authority To Administer Oaths)

IN MAKING STATEMENT

(b)(3), (b)(6)

PAGE 3 OF 3 PAGES

E-10-3 S-01-3

STATEMENT OF

(b)(6), (b)(3)

TAKEN AT

14 Aug 2007

DATED

9. STATEMENT (Continued)

[Large area crossed out with a large X]

AFFIDAVIT

I, (b)(6), (b)(3)

HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(b)(6), (b)(3)

(Signature)

WITNESSES:

(b)(6), (b)(3)

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August, 2007 at 1500

HHC 4-1 ID FOB Falcon, IRAQ  
ORGANIZATION OR ADDRESS

(b)(6), (b)(3)

LT

(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

(Authority To Administer Oaths)

IN

(b)(3), (b)(6)

PERSON MAKING STATEMENT

PAGE 3 OF 3

PAC

E-14-3 5-11-3

# Exhibit E-11

## SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

### PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).  
**PRINCIPAL PURPOSE:** To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.  
**ROUTINE USES:** Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.  
**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION	2. DATE (YYYYMMDD) 20070814	3. TIME 1435	4. FILE NUMBER
5. LAST NAME FIRST NAME MIDDLE NAME (b)(6), (b)(3)	6. SSN (b)(3), (b)(6)	7. GRADE/STATUS E-2	
8. ORGANIZATION OR ADDRESS Fob Falcon			

9. I, (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

We were started at senators and worked towards the objective. Shortly after we had walked through what looked like a trash dump. In there Sgt. (b)(6), (b)(3) had spotted a wire which lead to an AT mine back on the road. After it was found the Lt. took his men into the houses nearby to ask about the mine and get any leads. While they were doing that 1<sup>st</sup> squad traced the wire back to try to find the trigger. The wire ended by 2 houses. we cleared the one furthest from the road first. There was nothing inside. Once it was cleared we went to the front house. It was also cleared. We then proceeded to the front porch to await further instructions. We then grabbed the wire and brought it to the porch. We were going to set off the mine until shots were fired. I believe they were from the house next door. one hit the brick wall and shrapnel hit me in the back of the neck. We then went back inside to take cover. That's when we heard one of our guns shooting back. Shortly after there was a third set of guns shooting from our 6 o'clock. All I could hear besides the guns was the screaming of agony from across the street. I didn't know

10. EXHIBIT E-11	(b)(3), (b)(6)	PERSON MAKING STATEMENT (b)(3), (b)(6)	PAGE 1 OF 2 PAGES (b)(3), (b)(6)
ADDITIONAL PAGES MUST CONTAIN THE HEADING "STA" _____ TAKEN AT _____ DATED _____			

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

STATEMENT OF (b)(6), (b)(3) TAKEN AT 1435 DATED 2007 08 14

9. STATEMENT (Continued)

who except it was one of our guys. After every thing had died down we pulled security on the roof until Cco came to extract us.

while reading this You need to understand this is the 2<sup>nd</sup> mission I've been on. I just came to the unit and I'm not familliar with the area. I do know if I had a map I could clarify Street names and other locations.

Nothing else follows

(b)(6), (b)(3)

b)(3), (b)(6) PERSON MAKING STATEMENT

PAGE 2 OF 3 (b)(3), (b) PAGES

9. STATEMENT (Continued)

[Large redacted area with handwritten scribbles]

(b)(6), (b)(3)

AFFIDAVIT

I, (b)(6), (b)(3), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 2. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLU

(b)(6), (b)(3)

ment)

WITNESSES:

(b)(6), (b)(3)

MSG

HHC 4-11D FOS Falcon, Iraq  
ORGANIZATION OR ADDRESS

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of AUGUST 2007 at 1500

(b)(6), (b)(3)

(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT

(b)(3), (b)(6)

PAGE 3 OF 3 PAGES

E-11-3

S-11-3

# Exhibit E-12

## SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

### PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

**PRINCIPAL PURPOSE:** To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.

**ROUTINE USES:** Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.

**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION Fob Falcon	2. DATE (YYYYMMDD) 2007/08/14	3. TIME 1500	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b)(6), (b)(3)	6. SSN (b)(3), (b)(6)	7. GRADE/STATUS E-6	
B Co 2/3 INF			

9. I, (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

At 0230 14 Aug 07, 1st PLT B Co 2/3 conducted IED clearance in a 2) High, (b)(1) along 35th St and 49th St from Senators, south through Bates elbow then south to COP Amache. Shortly after 1st Squad (Myself, CPL (b)(3), (b)(6) SPC (b)(3), (b)(6) PFC (b)(3), (b)(6) + PVT (b)(3), (b)(6) B Co 2nd Squad (Sgt (b)(3), (b)(6), SPC (b)(3), (b)(6) + PFC Hensel), Company Snipers (Sgt (b)(3), (b)(6) SPC (b)(3), (b)(6) + ILT (b)(3), (b)(6) + SPC (b)(3), (b)(6) was clearing the east side (35th St) and the rest of the PLT was clearing the west side (49th St) Shortly after starting the clearance operation Sgt (b)(6), (b)(3) spotted a command wire that ran to an IED made out of an AT mine approx. 200 m. South of Route Senators. After ILT (b)(6), (b)(3) assessed the situation he told me to take my squad and trace the wire back to find the trigger points while he and B Co 2nd Squad questioned the nearby residents about the IED. After the wire was traced back it had ended near 2 unoccupied homes that were approx. 100 m. EAST of the IED. We had cleared and searched both house but didn't find any signs of trigger devices. I then called ILT (b)(6), (b)(3) on the radio and told him we didn't find anything.

10. EXHIBIT E-12	11. INITIALS OF PERSON MAKING STATEMENT (b)(6)	PAGE 1 OF 3 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

STATEMENT OF

(b)(6), (b)(3)

TAKEN AT

1500

DATED

14 Aug 07

9. STATEMENT (Continued)

He then told me he was going to reconnect the IED, move to the building I was in, and then we were going to detonate it. As they walked outside to reconnect the IED they started taking precision Machine Gun Fire approx. 150m south of their position. When the firing started I maneuvered my squad to get a better position to support them. As we were moving around I started hearing screaming coming from the ILT's location. PFC Hensel then fired suppressing fire to the East to allow the rest of the element to get back into the building they were in. After he shot I heard two loud single shots come from the East and immediately after CPL (b)(6), (b)(3) said he saw sparks come from the house that they were in and then we heard more screaming. Following that there was more machine gun fire coming from the southern enemy position and we also heard gunshots coming from the southwest. That was the last time we were shot at for an hour or two.

SSA (b)(6), (b)(3) then maneuvered the rest of the PLT to the East side and had the H1 vehicle move to the house to conduct maneuvers. (b)(3), (b)(6) Nothing Follows

(b)(3), (b)(6)

INITIALS

(b)(3), (b)(6)

WITNESS STATEMENT

PAGE

2 OF 3

PAGES

STATEMENT OF

(b)(6), (b)(3)

TAKEN AT 1500

DATED 14 Aug 07

9. STATEMENT (Continued)

(b)(3), (b)(6)

*Nothing Follows*

(b)(3), (b)(6)

**AFFIDAVIT**

I, (b)(6), (b)(3), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(b)(6), (b)(3)

(Statement)

**WITNESSES:**

(b)(6), (b)(3)

MSG

HHC 4-1 ID FOB FALCOJ, IRAQ  
ORGANIZATION OR ADDRESS

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of AUGUST, 2007 at 1500

(b)(6), (b)(3)

(Signature of Person Administering Oath)

(Typed Name of Person Administering Oath)

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT

(b)(6), (b)(3)

PAGE 3 OF 3 PAGES

**E-12-3**

**S-11-3**

# Exhibit E-13

## SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

### PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).  
**PRINCIPAL PURPOSE:** To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.  
**ROUTINE USES:** Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.  
**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION Bates Elbow	2. DATE (YYYYMMDD) 20070814	3. TIME 1442	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b)(6), (b)(3)	6. SSN (b)(3), (b)(6)	7. GRADE/STATUS E-4	

9. I, (b)(6), (b)(3) WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:  
1st Platoon, Bravo Company, was given an IED clearance mission for RTE Bates Elbow. We dismounted off of RTE Senators and headed south down a Bates Elbow, while the vehicles staged on Senators to pull over watch down the open field of the Elbow. About 100 meters down Bates Elbow from Senators we cut east to go check out a possible VBIED. After denying that there was a VBIED we started moving back west to Bates Elbow. While cutting across the field I believe SPC (b)(3), (b)(6) and SGT (b)(6), (b)(3) found a wire that led to an IED placed on RTE Bates Elbow. After confirming the IED, 1st Sqd, with myself in the lead traced the trigger wire back to a house. The house was more like 2 houses together, 2 on the East side and one big house on the west side. We cleared the house farther north on the East side and I determined that it was probably the trigger house. After clearing the house on the East side we then went back to the west side and cleared that house. While 1st Sqd was

10. EXHIBIT E-13	11. INITIALS (b)(3), (b)(6)	MAKING STATEMENT	PAGE 1 OF 3 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF (b)(3), (b)(6) AT 1442 DATED 10 Aug 2007"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

STATEMENT OF (b)(6), (b)(3) KEN AT 1442 DATED 14 Aug 2007

9. STATEMENT (Continued)

Tracing the wire and clearing the houses a team from 2nd Sqd (Sgt (b)(3), (b)(6), SPC (b)(3), (b)(6), PFC Hensil), the Sniper Team (SGT (b)(6), (b)(3), SPC (b)(3), (b)(6) and 1st LT (b)(3), (b)(6), and FO SPC (b)(3), (b)(6) were talking to the residence that lived in proximity to the IED. The LT's Group were about 2-3 houses south of the IED when we started taking S&P (RPK, and AK) from the south. The fires appeared to originate from rooftop or 2nd story level approximately 300m south of the location of the IED. At this time 1st Sqd (SSG (b)(6), (b)(3), SPC (b)(3), (b)(6), PFC (b)(3), (b)(6), PVT (b)(3), (b)(6), and myself) were sitting in the court yard of the house we checked (approximately 125m south east of the IED. I watched the rounds fly over the open field from the south. I heard SSG (b)(6), (b)(3) then told us to move up to the second story. When we got there, they're we could still see tracers at eye level going from south to north across the open field. I heard 2-3 more shots after the firing from the south stopped. The LT called for suppressive fire from the guys at his position, I think to maneuver to get a better view. Also the one SO called opened fire from north to south across the field while we were in contact. PFC Hensil responded to the LT's order and suppressed to the east down and ally way with the m249 saw. After that I saw what looked like SO called round being shot from the east to the west at the Bravo level of the house the LT's Group was in. I saw the impact of the rounds on the <sup>roof</sup> wall on the east side of the building that they were on. It looked like mini explosives when the rounds hit. We then heard yelling and screaming

INITIALS OF PERSON MAKING STATEMENT

(b)(6), (b)(3)

PAGE 2 OF 3 PAGES

9. STATEMENT (Continued)

and then a call that there were casualties. The PSG's Group consisting of a team from 2nd Lt (SGT (b)(3),(b)(6), SGT (b)(3),(b)(6), SPC (b)(3),(b)(6) 2nd Sqn (SSG (b)(3),(b)(6), EPL (b)(3),(b)(6), PFC (b)(3),(b)(6), PFC (b)(3),(b)(6), PFC (b)(3),(b)(6) and SGT (b)(3),(b)(6) were on the trucks on Seratans. WAs said (SSG (b)(3),(b)(6), SPC (b)(3),(b)(6), SPC (b)(3),(b)(6) PFC (b)(3),(b)(6) and SGT (b)(3),(b)(6) came to aid and even the

Nothing follows

(b)(6), (b)(3)

AFFIDAVIT

I, (b)(6), (b)(3), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE,

(b)(3),(b)(6)

WITNESSES

(b)(6), (b)(3) MSCJ

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August, 2007 at 1500

HHC 4-1ID FOB FALCON, IRAQ  
ORGANIZATION OR ADDRESS

(b)(6), (b)(3)

(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT

PAGE 3 OF 3 PAGES

E-13-3

# Exhibit E-14

## SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

### PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

**PRINCIPAL PURPOSE:** To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.

**ROUTINE USES:** Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.

**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION	2. DATE (YYYYMMDD) 14 AUG 2007	3. TIME 0330	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b)(3),(b)(6)	6. SSN (b)(3),(b)(6)	7. GRADE/STATUS E-4 SPC	
8. Bco 2-23 INF 4-2 I.D 1st PLT 2nd Sqd B TEAM			

9. I, (b)(3),(b)(6), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

I LOST MY BEST FRIEND TODAY - MY T-LEADER, SGT (b)(3),(b)(6), MYSELF, AND OUR 249 SAW GUNNER SHAWN D. HEN. WE WERE ATTACHED TO 1ST Squad 1st PLT Bco 2-23 AND THE EASTERN SIDE OF "AII" (SECTION #1 - 1st sqd + SWIFERS + SGT (b)(3),(b)(6)) OF MECHANIC (b)(6), (b)(3) WHILE (SECTION #2 - 3rd sqd + A team 2nd sqd) CLEAR THE WESTERN END ON A COUNTER IED MISSION. "AII" HAD A POSSIBLE VIBED IN THE OPEN BUT DID NOT FIND AND ON OUR WAY TO THE ORIGINAL ROUTE SGT (b)(3),(b)(6) FOUND TRIGGER WIRE, WE FOLLOWED. FOUND, AND MARKED WITH IDEAS OF POSSIBLE SKT TOOK HIGH GROUND, WE MADE THE CALL TO MARK THE TAKE PICS AND CONTINUE THE MISSION GOT BACK ON THE GROUND TOOK FIRE FROM MACHINE GUN NEST FROM THE SOUTH / SOUTH WEST LEADER, I STAYED ON LOW GROUND WITH OUR PLATOON SGT (b)(3),(b)(6) HENSEL (b)(3),(b)(6) MYSELF - (b)(6), (b)(3)

10. EXHIBIT E-14	(b)(3),(b)(6)	11. SIGNATURE OF PERSON MAKING STATEMENT (b)(3),(b)(6)	12. PAGE 1 OF 2 (PAGES)
ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF (b)(3),(b)(6) TAKEN AT _____ DATED _____"			

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.



# Exhibit E-15

## SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

### PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

**PRINCIPAL PURPOSE:** To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.

**ROUTINE USES:** Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.

**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION <i>FDB FALCON Baghdad Iraq</i>	2. DATE (YYYYMMDD) <i>20070814</i>	3. TIME <i>1430 hrs</i>	4. FILE NUMBER
(b)(3),(b)(6)	6. SSN <i>(b)(3),(b)(6)</i>	7. GRADE/STATUS <i>E-6/SSG</i>	

*BEO d/25 JAF unit # 29542 AFD AC 09361*

9. I, *SSG (b)(3),(b)(6)*, WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

*My platoon and I was tasked with and IED defeat mission of 6 generators down 35th street and 39th street, known as Baker's Elbow. The mission brief was given approximately 2130 pm. Hour move out time for the mission was 0230 am. Around 0130 to 0145 hr we all started to gear up and prep for the mission, once we were ready the platoon and I left the COA taking route YAMAMA, to Taskson onto Route Senators. Our dismount point was on Route Senator looking down 35th, 39th street and Baker's Elbow. I was the senior to reposition the vehicles to best cover avenues of movement for the platoon. Once my platoon dismounted I repositioned on vehicle 13 which was far right of 49th street west. The rest of the vehicles was position to my left of 49th and 35th street in order right to left 13, 14, 12, 11. I had position there that way to*

*(b)(2)High*

*repositioned I maintained radio communication with the Platoon PSG. I began to get reports of these findings along the route also sending the report to higher company channels. After sending up the report radio communication was established with the platoon leader and platoon sergeant. They had reported that multiple IED's and anti tank mines were found in the sector. The attempt was to blow them in place as the attempt to try to blow them in place was*

10. EXHIBIT <i>E-15</i>	11. INITIALS <i>(b)(3),(b)(6)</i>	KING STATEMENT	PAGE 1 OF <i>1</i> PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF \_\_\_\_\_ AT \_\_\_\_\_ DATED \_\_\_\_\_"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

USE THIS PAGE IF NEEDED. IF THIS PAGE IS NOT NEEDED, PLEASE PROCEED TO FINAL PAGE OF THIS FORM.

STATEMENT OF **(b)(6), (b)(3)** TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_

9. STATEMENT (Continued)

*[The main body of the page is crossed out with a large handwritten 'X'. Faint, illegible text is visible through the paper.]*

**(b)(6)**

**(b)(6)**

INITIALS OF PERSON MAKING STATEMENT \_\_\_\_\_ PAGE \_\_\_\_\_ OF \_\_\_\_\_ PAGES

**E-15-2-2006-0001**

9. STATEMENT (Continued)

Establish. I heard over the radio from the phatoon leader shoots some friendly personnel in contact. Immediately I called up the report to the company TOC. Maneuvering my vehicle so I could get eyes on the objective to my South, South East I saw and heard a large amount of gun fire. At that time I radio to the 11, 12 vehicle for a report of what they was seeing. The 11 mention he had spotted movement to the South West. The 12 vehicle report they had eyes on the friendly forces. I then told the 11 vehicle to identify any threats in there area as well as make sure you positively identify your targets. In the mist of all the fire I got the report that we had casualties. We then maneuvered vehicles 11, 13, 12 to provide support for contact and was. The 11 vehicle moved to get through but my vehicle was bog down stuck in the mud, at that time I pulled security and overwatch in motor until casualties were evacuated and recovery (b)(6), (b)(3) vehicles with the company commander arrived. Nothing follows (b)(6), (b)(3)

(b)(6), (b)(3)

AFFIDAVIT

I, SSG (b)(6), (b)(3), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 2. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE OR UNLAWFUL INDUCEMENT

(b)(6), (b)(3)  
(Signature of Person Making Statement)

WITNESSES:

(b)(6), (b)(3) MSG

HHC 4-1 ID FOB FALCON, IRAQ  
ORGANIZATION OR ADDRESS

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August, 2007 at 1500

(b)(6), (b)(3) LTC  
(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

(Authority To Administer Oaths)

INITIALS (b)(3), (b)(6) ING STATEMENT

2-15-3 5-21-3

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

AUTHORITY: Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).
PRINCIPAL PURPOSE: To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.
ROUTINE USES: Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management.
DISCLOSURE: Disclosure of your SSN and other information is voluntary.

1. LOCATION: FOB FALCON, BACHDAD, IRAQ
2. DATE (YYYYMMDD): 2007 08 14
3. TIME: 1444
4. FILE NUMBER:
5. LAST NAME, FIRST NAME, MIDDLE NAME: (b)(6), (b)(3)
6. SSN: (b)(6)
7. GRADE/STATUS: SSG(P) / ACTIVE
8. ORGANIZATION OR ADDRESS: B Co 223 IN UNIT # 42542 APO AE 09361

9. I, (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

On 14 Aug 2007 at 0330 my platoon came under direct fire contact in (b)(2)High (38,36th Sts). I was located on the western side of Bale Elbow (M836) VIC B05 (46th St) traveling south on 49th St. Myself, SSG (b)(6), (b)(3) SPC(P) (b)(3), (b)(6) PFC (b)(3), (b)(6) PFC (b)(3), (b)(6) PFC (b)(3), (b)(6) SGT(P) (b)(3), (b)(6) SGT (b)(3), (b)(6) SPC (b)(3), (b)(6) and SPC (b)(3), (b)(6) were in the process of tracing a command wide south to (b)(3), (b)(6) from a deep barbed IED we had found VIC the intersection of 38th St + 49th. The first burst of fire came from due south of my PL's position which was (b)(2)High I received no initial report of contact. The second long burst came from further south (b)(2)High. At this point I identified the shooter and fired 7 rounds into him. I received a contact report from my PL and I grabbed the squad I had and moved south VIC 58th St. in order to flank the enemy location. Myself + SPC(P) (b)(6), (b)(3) observed the machine gun fire

10. EXHIBIT: E-16
11. INITIALS: (b)(3), (b)(6)
12. MAKING STATEMENT:
13. PAGE 1 OF 3 PAGES

ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF (b)(3), (b)(6) IN AT \_\_\_\_\_ DATED \_\_\_\_\_
THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

STATEMENT OF

(b)(6), (b)(3)

TAKEN AT

Fob Falcon

DATED

14 Aug 2007

9. STATEMENT (Continued)

coming from an open 2<sup>nd</sup> story floor. At the same time the green tracers flew north, a burst of .50 cal came from the north and impacted on the machine gun emplacement VIC CO1. At this time I received the call of mass casualties I grabbed my medic and immediately moved the 450m north to the E initial CCP. (b)(3), (b)(6) Once there myself + SPC (b)(6), (b)(3) -medic- assessed the 3 casualties quickly and began working on PFC Hensel. The wound was arterial @ inner thigh next to the groin area. At this point we tried to get a hold of the artery unsuccessfully, once it retracted out of reach, we packed with gauze and began vac. 10 min. of CPR was administered by myself and SPC (b)(6), (b)(3). We moved to CASH

Once the two soldiers were out of OR, I began getting bits of intel from them. They confirmed seperately that they were fired upon from the N approx 20m away, E approx 100m away and South/SE both approx 175-200m away.

I did not see any of the fire other than the exchange of rounds from the N and S VIC.

(b)(2) High

and

(b)(2) High

Nothing Follows

INITIALS OF PERSON MAK

(b)(3), (b)(6)

E-16-28-11-3

STATEMENT OF

(b)(6), (b)(3)

TAKEN AT

FOB Falcon

DATED

14 Aug 20

9. STATEMENT (Continued)

Nothing Follows

(b)(6), (b)(3)

AFFIDAVIT

I, (b)(6), (b)(3) SSG(P), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE,

(b)(6), (b)(3)

WITNESSES:

(b)(6), (b)(3)

MSG

HHC 4-1 ID FOB FALCON IRAQ ORGANIZATION OR ADDRESS

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August, 20 at 1500

(b)(6), (b)(3)

(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT

(b)(6), (b)(3)

PAGE 3 OF 3 PAGES

E-16-3

8-11-7

**SWORN STATEMENT**

For use of this form, see AR 190-45; the proponent agency is PMG.

**PRIVACY ACT STATEMENT**

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).  
**PRINCIPAL PURPOSE:** To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.  
**ROUTINE USES:** Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.  
**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION <b>FOB Falcon Baghdad Iraq</b>	2. DATE (YYYYMMDD) <b>2007 08 14</b>	3. TIME <b>1430</b>	4. FILE NUMBER
5. LAST NAME FIRST NAME MIDDLE NAME <b>(b)(6), (b)(3)</b>	6. SSN <b>(b)(6), (b)(3)</b>	7. GRADE/STATUS <b>E-6 / RA</b>	
8. ORGANIZATION OR ADDRESS <b>B Co 2-23 INF</b>			

9. **(b)(6), (b)(3)**, WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

On 14 ~~Aug~~ **AUG** 2007 **1<sup>st</sup>** Platoon 2-23 INF SP EOP Blackfoot, our mission was to clear from North to south Bates Elbow roads 35<sup>th</sup> and 49<sup>th</sup>. A squad and a half on either side of the road, with vehicles in support.

**1<sup>st</sup>** Platoon dismounted and started route clearance moving south. On the west side street (49<sup>th</sup>) we located an IED and was in the Processes of seizing the site when **(b)(3), (b)(6)** our squad and a half received contact and started reacting to the fire. within seconds I heard firing coming from the Southeast, sounding like it was aiming at our other squad on 35<sup>th</sup>. I then heard 1-7 ask **(b)(6), (b)(3)** 1-6 if there were any casualties and he responded 1 urgent surgical and 2 litter. We move to there location

10. EXHIBIT <b>E-17</b>	11. INITIAL <b>(b)(3), (b)(6)</b> IN MAKING STATEMENT	PAGE 1 OF <b>3</b> PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF **(b)(3), (b)(6)** TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

USE THIS PAGE IF NEEDED. IF THIS PAGE IS NOT NEEDED, PLEASE PROCEED TO FINAL PAGE OF THIS FORM.

STATEMENT OF

(b)(6), (b)(3)

TAKEN AT

1430

DATED

2007 08 14

9. STATEMENT (Continued)

and supported in security and helping the  
causlites.

Nothing Follows

(b)(6), (b)(3)

(b)(6), (b)(3)

(b)(6), (b)(3)

INITIALS OF

(b)(3), (b)(6)

G STATEMENT

PAGE 2 OF 3 PAGES

E-17-2-11-3

STATEMENT OF

(b)(6), (b)(3)

TAKEN AT

1430

DATED

2007 08 1

9. STATEMENT (Continued)

Nothing Follows

[Redacted]

(b)(6), (b)(3)

AFFIDAVIT

I, (b)(6), (b)(3), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE

(b)(6), (b)(3)

WITNESSES:

(b)(6), (b)(3) MSG  
HHC 4-111 FOB FALCON, IRAQ  
ORGANIZATION OR ADDRESS

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August, 2007 at 1500 FOB Falcon

(b)(6), (b)(3)

(Typed Name of Person Administering Oath)

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING

(b)(3), (b)(6)

PAGE 3 OF 3

PAGE

APR

E-17-3

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

AUTHORITY: Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).
PRINCIPAL PURPOSE: To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.
ROUTINE USES: Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management.
DISCLOSURE: Disclosure of your SSN and other information is voluntary.

1. LOCATION (b)(3),(b)(6) 2. DATE (YYYYMMDD) 2007-08-14 3. TIME 4. FILE NUMBER 7. GRADE/STATUS PFC

Bco 2-23 INF 1st PLT

9. I, PFC (b)(3),(b)(6), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

The only thing I witnessed was the buddy aid I performed on spc (b)(6), (b)(3) and PFC Hensel. I arrived on the second story of the building and helped our platoon medic on PFC Hensel's abdomen and then provided care to spc (b)(6), (b)(3). After that my team ran to overwatch security. That is all I know, to be honest it was all a blur.

(b)(3),(b)(6)

10. EXHIBIT E-18 11. PERSON MAKING STATEMENT (b)(3),(b)(6) PAGE 1 (3),(b) 3 PAGES

ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT TAKEN AT DATED

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

USE THIS PAGE IF NEEDED. IF THIS PAGE IS NOT NEEDED, PLEASE PROCEED TO FINAL PAGE OF THIS FORM.

STATEMENT OF JFC (b)(3),(b)(6) TAKEN AT Cap anchie DATED 2007-08-14

9. STATEMENT (Continued)

nothing further to add

(b)(6), (b)(3)

(b)(6), (b)(3)

(b)(6), (b)(3)

(b)(6), (b)(3)

INITIALS OF PERSON MAKING STATEMENT

(b)(3),(b)(6)

PAGE 2 OF 3 PAGES

STATEMENT OF RFC (b)(3),(b)(6) TAKEN AT cop ammanchi DATED 2007-08-

9. STATEMENT (Continued)

(b)(3),(b)(6)

I (b)(6), (b)(3) AFFIDAVIT  
\_\_\_\_\_, HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 1. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(b)(6), (b)(3)

(b)(6), (b)(3) MSG  
HHC 4-1 ID FOB FALCON, IRAQ  
ORGANIZATION OR ADDRESS

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August, 2007 at 1500 FOB Falcon  
(b)(6), (b)(3) LTC

ORGANIZATION OR ADDRESS

(Typed Name of Person Administering Oath)  
(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT (b)(3),(b)(6)

PAGE 3 OF 3 PA

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

AUTHORITY: Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).
PRINCIPAL PURPOSE: To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.
ROUTINE USES: Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management.
DISCLOSURE: Disclosure of your SSN and other information is voluntary.

1. LOCATION: Vicinity Mechanics Elbow
2. DATE (YYYYMMDD): 2007 08 14
3. TIME: 1445
4. FILE NUMBER:
5. LAST NAME FIRST NAME MIDDLE NAME: (b)(3),(b)(6)
6. SSN: (b)(3),(b)(6)
7. GRADE/STATUS: E-3
8. IS d/ds IN

9. I, (b)(3),(b)(6), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:
On 14 August 2007 between the hours of 0230 and 0500 (approx.) 1st pl. B co d/ds was tasked with IED clearance of Mechanics Elbow, and came under fire. When the shooting started I was standing next to SSG (b)(6), (b)(3) (PSG). He quickly identified tracers (enemy) being fired from the Bravo level of a perpendicular road. He engaged with a controlled pair, and then I identified our tracers firing to the rooftop. Approx. ten minutes after we had started to maneuver on the house and adjacent houses, SSG (b)(6), (b)(3) gave the command to the Plt. Medic and my squad and all attached to collapse back to the platoon leaders position because we had taken mass casualties.

10. EXHIBIT: E-19
11. PERSON MAKING STATEMENT: (b)(3),(b)(6)
PAGE 1 OF 3 PAGES

ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF (b)(3),(b)(6) TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_"
THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

STATEMENT OF [REDACTED] (b)(6), (b)(3) TAKEN AT Fob Falcon DATED 2007 08 14

9. STATEMENT (Continued)

Myself, Doc [REDACTED] (b)(6), (b)(3) and Sst [REDACTED] (b)(6), (b)(3) then broke away from the retreating element and got to the CCP first. I saw that PFC Hensel had taken what appeared to be a shrapnel wound to the lower abdomen. We (2nd squad and myself) then breached the adjacent house and took the high ground (rooftop & Bravo level) and pulled security until we exfiltrated some hours later.

Nothing else follows

[REDACTED]

[REDACTED] (b)(6), (b)(3)

(b)(6), (b)(3)

[REDACTED] (b)(6), (b)(3)

[REDACTED] (b)(6), (b)(3)

[REDACTED] (b)(6), (b)(3)

INITIALS OF PERSON [REDACTED] (b)(3), (b)(6)

STATEMENT OF

(b)(3), (b)(6)

TAKEN AT

Fob Falcon DATED 2007081

9. STATEMENT (Continued)

Nothing else follows

[Redacted]

(b)(6), (b)(3)

I, (b)(6), (b)(3)

AFFIDAVIT

HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE,

(b)(6), (b)(3)

WITNESSES:

(b)(6), (b)(3)

SG

HHC 4-1 ID Fob Falcon, IRAQ  
ORGANIZATION OR ADDRESS

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August, 2007 at 1500 Fob Falcon

(b)(6), (b)(3)

(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT

(b)(3), (b)(6)

PAGE 3 OF 3

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

AUTHORITY: Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).
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DISCLOSURE: Disclosure of your SSN and other information is voluntary.

1. LOCATION: Fob Falcon; 2. DATE: 2007/14/08; 3. TIME: 1300; 4. FILE NUMBER; 7. GRADE/STATUS: E-2 PVA

9. I, [redacted] (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

Myself, Cpl [redacted] (b)(3), (b)(6), Pfc [redacted] (b)(3), (b)(6), Sgt [redacted] (b)(3), (b)(6), Sgt [redacted] (b)(3), (b)(6), Ssgt [redacted] (b)(3), (b)(6), Pfc [redacted] (b)(3), (b)(6) and SPC [redacted] (b)(3), (b)(6) were pfc [redacted] (b)(3), (b)(6) with Ssgt [redacted] (b)(6), (b)(3) on the adjacent street conducting a dismounted patrol hunt for IEDs. (might have forgot some names... All off top of my head) we took small arms fire, we returned small arms fire, then briefly later heard all hell break loose maybe 300 or 400 meters away on the street the L.T. was on. Ssgt [redacted] (b)(6), (b)(3) called up the L.T. several times asked if they had taken casualties. L.T. then responded yes I believe because at that time our entire force ran as fast as we could to the L.T.s location. I believe the first people to arrive to L.T.s location was Pfc [redacted] (b)(3), (b)(6) Cpl [redacted] (b)(3), (b)(6) and Ssgt [redacted] (b)(3), (b)(6) then me. When I arrived we were yelling for stretcher's and then shortly after took a building for high ground roof support. [redacted] (b)(3), (b)(6)

Nothing follows

10. EXHIBIT: E-20; 11. IN STATEMENT; PAGE 1 OF 2 PAGES; DATED

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

STATEMENT OF

(b)(3),(b)(6)

TAKEN AT

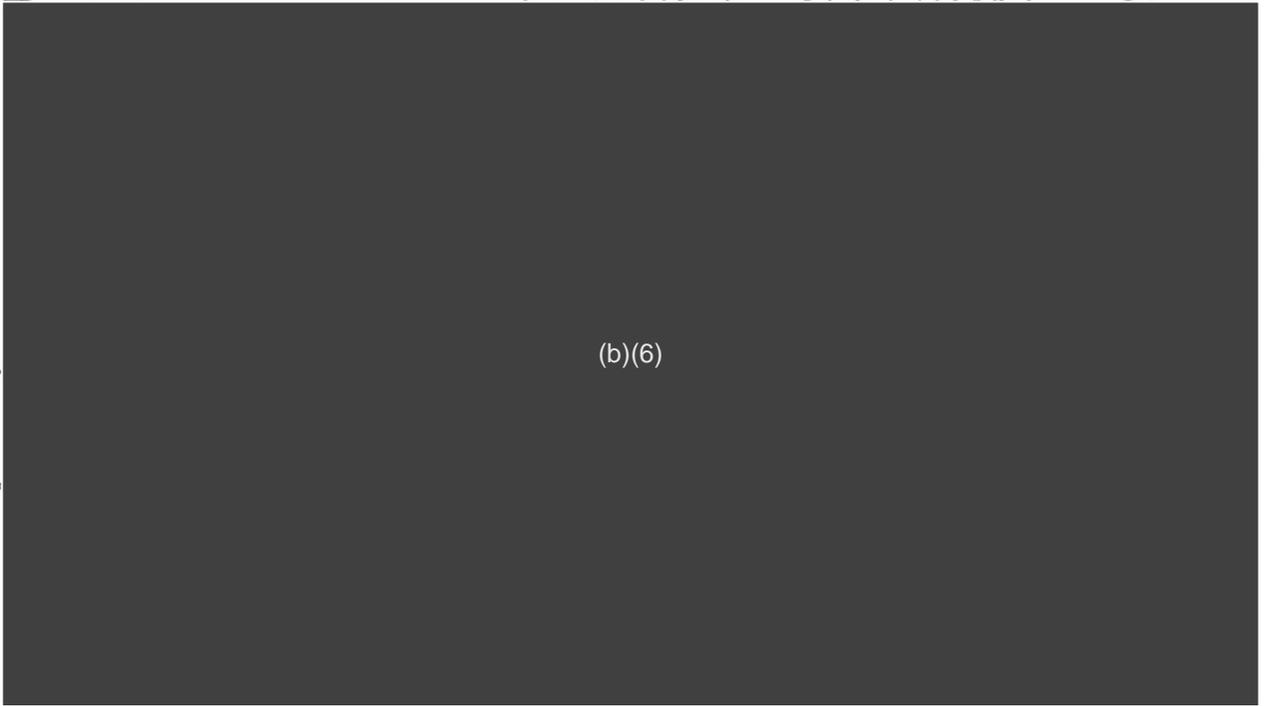
FOR FALCON

DATED

2007-14-08

9. STATEMENT (Continued)

Nothing follows



(b)(6)

Nothing follows

I, WHICH

(b)(3),(b)(6)

READ OR HAVE HAD READ TO ME THIS STATEMENT AND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OF

(b)(6), (b)(3)

(b)(6), (b)(3) MSG  
HHC 4-1 ID FOR FALCON, DRAW  
ORGANIZATION OR ADDRESS

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August 2007 at 1500 FOR FALCON

(b)(6), (b)(3)

LTC

(Typed Name of Person Administering Oath)

(Authority To Administer Oaths)

ORGANIZATION OR ADDRESS

(b)(3),(b)(6)

INITIALS OF PERSON MAKING STATEMENT

PAGE 2 OF 2 PAGES

E-20-2-08-05-3

USE THIS PAGE IF NEEDED. IF THIS PAGE IS NOT NEEDED, PLEASE PROCEED TO FINAL PAGE OF THIS FORM.

STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_

9. STATEMENT (Continued)

INITIALS OF PERSON MAKING STATEMENT

PAGE OF PAC

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

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**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION FOB Falcon	2. DATE (YYYYMMDD) 20070814	3. TIME 1445	4. FILE NUMBER
(b)(3),(b)(6)	(b)(3),(b)(6)	(b)(3),(b)(6)	7. GRADE/STATUS E-4
B Co. 1st Plt 2/23 IN 4-2 ID			

9. I, CPL (b)(3),(b)(6), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

I was in ICV B1-2 as the gunner/vehicle commander. My vehicle was on Sender's facing South with B1-1 to my 9 o'clock facing East down Senators. My driver was SPC (b)(3),(b)(6) and my airguard was PFC (b)(6), (b)(3). I was pulling security to the South from my 10 o'clock to my 2 o'clock. When contact took place, I heard it from my seat, and my airguard gave me a general direction. At that time, I began to scan my 11 o'clock to 12 o'clock for enemy fire. Over the radio, the wpn sqd leader, who was in charge of all the vehicles, S Sgt (b)(3), (b)(6) put out to make sure we had PID before we began to fire. Shortly after, B1-1 began firing to their 3 o'clock in a general southerly direction, with their .50 cal. Still unable to see any enemy fire, I put my RWS on B1-1 to get them in my sight picture. I saw their general direction of fire to be in a southerly direction, so I followed it with my RWS, where I began to notice rounds impacting off the wall of a building, dust from the impacts clouding up. Shortly after, the firing ~~down~~ died down and my 1-6, PL, called up on the platoon radio that we had casualties. From there, the 1-4 wpn sqd leader, had us pull forward to help with casevac. As we started our movement forward, they

10. EXHIBIT E-21	11. INIT (b)(6), (b)(3)	STATEMENT	PAGE 1 OF 3 PAGES
ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT		DATED	

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

15-3 tidix3

USE THIS PAGE IF NEEDED. IF THIS PAGE IS NOT NEEDED, PLEASE PROCEED TO FINAL PAGE OF THIS FORM.

STATEMENT OF CPL (b)(6), (b)(3) TAKEN AT FOB FALCON DATED 20070814

9. STATEMENT (Continued)

reported a KIA over the radio, and as we were moving forward, our vehicles left side got stuck in the mud. Our line of sight to our right, where the incident took place, was no longer in our sight due to the slant of the vehicle. Shortly after, he reported the names of the casualties and KIA.

NOTHING FOLLOWS

(b)(6)

INITIALS OF PERSON MADE STATEMENT

(b)(3), (b)(6)

PAGE 2 OF 3 PAGES

STATEMENT OF CPL

(b)(6), (b)(3)

TAKEN AT

FOB FALCON

DATED

20070814

9. STATEMENT (Continued)

NOTHING FOLLOWS

(b)(3), (b)(6)

**AFFIDAVIT**

I, CPL (b)(3), (b)(6), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE

(b)(3), (b)(6)

WITNESSES:

SPC (b)(6), (b)(3) river  
PFC (b)(6), (b)(3) air guard  
B. Co. 15th IN 2/23 IN 4-2 ID  
ORGANIZATION OR ADDRESS

(b)(6), (b)(3) MSC  
HHC 4-ID FOB Falcon, IRAG  
Michael J. Clark  
ORGANIZATION OR ADDRESS

by law to administer oaths, this 14 day of August, 2007 at 1500 FOB Falcon

(b)(6), (b)(3)

(Signature of Person Administering Oath)

(Typed Name of Person Administering Oath)

(Authority To Administer Oaths)

INITIALS

(b)(3), (b)(6)

MENT

PAGE 3 OF 3 PAGES

DA FOR

FFI (Blue-on-Blue)

APD PE v1.

**E-21-3**

# Exhibit E-22

## SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

### PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).  
**PRINCIPAL PURPOSE:** To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.  
**ROUTINE USES:** Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.  
**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION FOB FALCON	2. DATE (YYYYMMDD) 20070814	3. TIME 1430	4. FILE NUMBER
5. LAST NAME FIRST NAME MIDDLE NAME (b)(6), (b)(3)	6. SSN (b)(3), (b)(6)	7. GRADE/STATUS E-5 / <del>AR</del> RA	
8. B Co 2-23 INF			

9. I, (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:  
My section was traveling South on 49th St. We were searching for IED's. The other section was traveling South on 35th St. We took fire around 0330. Both sections were in contact. There was a very large volume of fire coming from the area of the unit on 35th St. A lull came in the gunfire and we got the call on the radio that mass casualties had been inflicted on our unit on 35th St. We ran to their location to pull security and help with the casualties. I breached the house next to the one where the casualties were taken and my team moved to the roof to pull security. Nothing Follows

10. EXHIBIT E-22	11. INITIAL (b)(3), (b)(6)	MAKING STATEMENT (b)(3), (b)(6)	PAGE 1 OF (b)(3), (b)(6)
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_"  
THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

USE THIS PAGE IF NEEDED. IF THIS PAGE IS NOT NEEDED, PLEASE PROCEED TO FINAL PAGE OF THIS FORM.

STATEMENT OF (b)(6), (b)(3) TAKEN AT FoB Falcon DATED 2007.08.14

9. STATEMENT (Continued)

(b)(6), (b)(3)

(b)(6), (b)(3)

INITIALS OF PERSON MAKING STATEMENT (b)(3), (b)(6)

PAGE 2 OF 5 PAGES

STATEMENT OF

(b)(6), (b)(3)

TAKEN AT

FOB Falkon

DATED

20070814

9. STATEMENT (Continued)

(b)(6), (b)(3)

AFFIDAVIT

I, (b)(6), (b)(3), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT

WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(b)(6), (b)(3)

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August, 2007 at 1500 AUGUST FOB Falkon

WITNESSES:

(b)(6), (b)(3)

(b)(6), (b)(3)

HHC 4-1 ID FOB Falkon, ARK  
ORGANIZATION OR ADDRESS

(Signature of Person Administering Oath)

(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT

(b)(3), (b)(6)

PAGE 3 OF 3 PAC

E-22-3 5-CC-7

# Exhibit E-23

## SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

### PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).  
**PRINCIPAL PURPOSE:** To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.  
**ROUTINE USES:** Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.  
**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION Fob Falcon	2. DATE (YYYYMMDD) 20070814	3. TIME 1442	4. FILE NUMBER
5. LAST NAME FIRST NAME MIDDLE NAME Pfc [REDACTED] (b)(3),(b)(6)	6. SSN [REDACTED] (b)(3),(b)(6)	7. GRADE/STATUS Pfc/E3	
8. ORG			

9. I, [REDACTED] (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

We rolled down Senators positioned the strykers on senators. My stryker was facing south towards the objective and I had my 240 facing west down senators. I over watched west to east down senators. we got contact and I turned to see where it was coming from. my stryker had been shot at so I had ducked down for cover. the stryker was hit damaged or any thing so we started down to ~~where~~ where the wounded were and we got stuck, then I moved from the rear air gaurd hatch to the squade leaders hatch and the fireing had stopped. Nothing else follows

10. EXHIBIT E-23	11. INITIALS OF PERSON MAKING STATEMENT	PAGE 1 OF 3 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

USE THIS PAGE IF NEEDED. IF THIS PAGE IS NOT NEEDED, PLEASE PROCEED TO FINAL PAGE OF THIS FORM.

STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_

9. STATEMENT (Continued)

[Redacted content]

(b)(6), (b)(3)

(b)(6), (b)(3)

(b)(6), (b)(3)

(b)(6), (b)(3)

INITIALS OF PERSON MAKING STATEMENT

E - E - 23 - 28 - 28 - 25 - 3

9. STATEMENT (Continued)

[Large area crossed out with a large X]

AFFIDAVIT

I, (b)(6), (b)(3), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE \_\_\_\_\_. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, (b)(6), (b)(3)

(b)(6), (b)(3)  
(Signature of Person Making Statement)

WITNESSES  
(b)(6), (b)(3)  
HHC 4-1 ID FOB FALCON, IRAQ  
ORGANIZATION OR ADDRESS  
\_\_\_\_\_  
\_\_\_\_\_  
ORGANIZATION OR ADDRESS

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August, 2007 at 1506 FOB Falcon (b)(6), (b)(3) ~T

(Typed Name of Person Administering Oath)

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT

E-23-3 5-23-3

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

**PRINCIPAL PURPOSE:** To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.

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**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION <i>Fob Falcon</i>	2. DATE (YYYYMMDD) <i>2007 08 14</i>	3. TIME <i>1500</i>	4. FILE NUMBER
5. LAST NAME FIRST NAME MIDDLE NAME <i>(b)(3),(b)(6)</i>	6. SSN <i>(b)(3),(b)(6)</i>	7. GRADE/STATUS <i>E-4</i>	
8. <i>2-23 IN</i>			

9. I, *(b)(6), (b)(3)*, WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

*I was on The other street (49th) Parallel to 35th. We heard them take contact and heard there was casualties, and then we moved to their position. By the Time we got there They were already giving Aid*

*no statement*

10. EXHIBIT <i>E-24</i>	11. INITIALS <i>(b)(3),(b)(6)</i>	ON MAKING STATEMENT	PAGE 1 OF <u><i>3</i></u> PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

USE THIS PAGE IF NEEDED. IF THIS PAGE IS NOT NEEDED, PLEASE PROCEED TO FINAL PAGE OF THIS FORM.

STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_

9. STATEMENT (Continued)

(b)(6), (b)(3)

*no statement*

(b)(3), (b)(6)

(b)(3), (b)(6)

(b)(6), (b)(3)

(b)(6), (b)(3)

INITIALS \_\_\_\_\_ KING STATEMENT

(b)(6), (b)(3)

PAGE 2 OF 3 PAGES

9. STATEMENT (Continued)

(b)(6), (b)(3)

AFFIDAVIT

I, (b)(6), (b)(3), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE \_\_\_\_\_. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(b)(6), (b)(3)

(Signature of Person Making Statement)

(b)(6), (b)(3) MSG

HHC 4-1 ID FOB FALCON, IRAQ  
ORGANIZATION OR ADDRESS

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August 2 at 1500 FOB Falcon

(b)(6), (b)(3)

LT

(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

AUTHORITY: Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).
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ROUTINE USES: Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management.
DISCLOSURE: Disclosure of your SSN and other information is voluntary.

1. LOCATION: FOB Falcon
2. DATE: 2007-08-14
3. TIME: 1435
4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME: (b)(6), (b)(3)
6. SSN: (b)(3), (b)(6)
7. GRADE/STATUS: E-5 (SGT (P))
8. ORGANIZATION OR ADDRESS: B Co 2/23 IN

9. I, Sgt (b)(3), (b)(6), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

At approximately 0230 14 AUG 2007, 1st PLT B Co began conducting IED defeat mission south from RTE Senators along 49th + 35th sts. 2nd Sqd. was split, Bravo team, including PFC Hensel, was attached to 1st Sqd. as they pat (b)(3), (b)(6) cleared south along 35th. 2nd Sqd Alpha team and I joined 3rd squad to assist in clearing 49th st. Not long onto it, our element began receiving enemy fire and we began to maneuver on said enemy. Soon it came over the net that we'd received multiple casualties. Our element moved to their position and offered assistance. As the medic, SPC (b)(3), (b)(6) and other elements were already involved we (2nd squad, Alpha team and I) took high-side two building south. We remained there til exit. I never saw the casualties.

- Nothing follows -

(b)(6), (b)(3)

10. EXHIBIT: E-25
11. INITIALS OF PERSON MAKING STATEMENT: (b)(6)
PAGE 1 OF 3 PAGES

ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF U TAKEN AT DATED
THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

USE THIS PAGE IF NEEDED. IF THIS PAGE IS NOT NEEDED, PLEASE PROCEED TO FINAL PAGE OF THIS FORM.

STATEMENT OF SA [redacted] (b)(3),(b)(6) TAKEN AT 1453 DATED 20070814

9. STATEMENT (Continued)

*< Nothing follows -*

[redacted]  
(b)(6), (b)(3)

INITIALS OF PE [redacted]  
(b)(6), (b)(3)

[redacted]  
(b)(6), (b)(3)

STATEMENT OF

(b)(6), (b)(3)

TAKEN AT

1454

DATED

20070814

9. STATEMENT

(Continued)

- Nothing follows -

(b)(6), (b)(3)

AFFIDAVIT

I, SA (b)(6), (b)(3), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL IN

(b)(3), (b)(6)

WITNESSES:

(b)(6), (b)(3)

SG

by law I administer oaths, this 14 day of August 2007 at 1500 FOB Falcon

HHC 4-1 ID FOB Falcon, IRAQ  
ORGANIZATION OR ADDRESS

(b)(3), (b)(6)

L1

(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

(Authority To Administer Oaths)

INITIALS

(b)(3), (b)(6)

PAGE 3 OF 3 PA

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

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**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION <i>FOR Falcon</i>	2. DATE (YYYYMMDD) <i>24070814</i>	3. TIME <i>1438L</i>	4. FILE NUMBER
5. <i>(b)(3),(b)(6)</i>	6. <i>(b)(3),(b)(6)</i>	7. <i>(b)(3),(b)(6)</i>	8. GRADE/STATUS <i>E-5 Active</i>
9. <i>(b)(3),(b)(6)</i>	<i>(b)(3),(b)(6)</i>		

I, *(b)(3),(b)(6)*, WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

*on 13 Aug unknown time I drew up the graphic control measures, as well as the possible IEDs for 1st PLT mission. 1LT *(b)(6), (b)(3)* completed the conops and then sent it up to BN. I went down @ 140045 Aug. I was a waker approx 0345 being told that 1st PLT took casualties. 66V, 65V and the med rolled to the site. Casualties were loaded on the med and we proceeded directly to the CSK where the wounded were treated. I have no complete knowledge of what happened on the ground.*

*NFI* *(b)(3),(b)(6)*

*Nothing follows*

*(b)(3),(b)(6)*

10. EXHIBIT <i>E-26</i>	11. <i>(b)(3),(b)(6)</i>	STATEMENT	PAGE 1 OF <i>2</i> PAGES
ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF _____ AT _____ DATED _____"			

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

USE THIS PAGE IF NEEDED. IF THIS PA

(b)(3),(b)(6)

FORM.

STATEMENT O

(b)(3),(b)(6)

TAKEN AT

DATED

STATEMENT (Continued)

*Nothing follows*

(b)(3),(b)(6)

(b)(3),(b)(6)

(b)(6), (b)(3)

(b)(3),(b)(6)

INITIALS OF PERSON MAKING STATEMENT

PAGE OF PAGES

*E-26-28-3-3-3-3*

STATEMENT OF

(b)(3),(b)(6)

TAKEN AT

FOB Falcon

DATED

14/14/386 Aug

9. STATEMENT

*Nothing Follows*

**AFFIDAVIT**

I, (b)(3),(b)(6),  
WHICH (b)(3),(b)(6)  
BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE  
CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF REWARD OR PUNISHMENT, WITHOUT  
THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL

\_\_\_\_\_, HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT  
I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE  
BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE  
CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF REWARD OR PUNISHMENT, WITHOUT  
THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL

(b)(3),(b)(6)

WITNESSES  
(b)(6), (b)(3) SG  
HHC 4-1 ID FOB FALCON, IDAO  
ORGANIZATION OR ADDRESS

Subscribed and sworn to before me, a person authorized by law to  
administer oaths, this 14 day of August, 2007  
at 1500 FOB Falcon  
(b)(6), (b)(3) L7

(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT

PAGE 2 OF 2 PA

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

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**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION FOB Falcon	2. DATE (YYYYMMDD) 20070814	3. TIME 1445	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b)(6), (b)(3)	6. SSN (b)(3), (b)(6)	7. GRADE/STATUS 64	
8. B Co 2/23 INF			

9. I, (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

I was the gunner on B1-3. I didnt see anything since my primary sector was to the west covering down Senators, I did hear SPC (b)(6), (b)(3), the gunner on B1-1, radioed up that there were personnel on the roof to the south shooting at our dismounts. SSG (b)(6), (b)(3) who was on my vehicle radioed back and told them to use PID.

and wanted to engage. The net was frantic and I was relaying to the Blackfoot X-RAY what was going on. I dont really remember much except for permission to shoot, hearing people yell w/ gunfire in the background, and Company not shutting the fuck up, and not in that sequence

nothing follows

10. EXHIBIT E-27	11. INITIALS (b)(3), (b)(6)	STATEMENT	PAGE 1 OF <u>xxx</u> 3 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF \_\_\_\_\_ DATED \_\_\_\_\_  
THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

USE THIS PAGE IF NEEDED. IF THIS PAGE IS NOT NEEDED, PLEASE PROCEED TO FINAL PAGE OF THIS FORM.

STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_

9. STATEMENT (Continued)

Nothing follows

(b)(6), (b)(3)

(b)(6), (b)(3)

(b)(6), (b)(3)

(b)(6), (b)(3)

INITIALS OF \_\_\_\_\_ STATEMENT

(b)(3), (b)(6)

PAGE 1 OF 3 PAGES

E-E-27-26-18-75-3

9. STATEMENT (Continued)

Nothing follows

AFFIDAVIT

I, (b)(3), (b)(6), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE ~~xxx~~ 3 I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR U

(b)(3), (b)(6)

(Signature of Person Administering Oath)

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August, 2007 at 1560 FOB Falcon

(b)(6), (b)(3) MSG  
HHC 4-1 ID FOB FALCON, IRAQ  
ORGANIZATION OR ADDRESS

(b)(6), (b)(3)

LT

(Signature of Person Administering Oath)

(Typed Name of Person Administering Oath)

(Authority To Administer Oaths)

ORGANIZATION OR ADDRESS

INITIALS OF PERSON MAKING STATEMENT

E-27-3 15-05-3

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

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**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION Fob Falcon	2. DATE (YYYYMMDD) 20070814	3. TIME 1400	4. FILE NUMBER
5. LAST NAME FIRST NAME MIDDLE NAME (b)(6), (b)(3)	6. SSN (b)(6), (b)(3)	7. GRADE/STATUS E-4	
8. ORGANIZATION OR ADDRESS 2/23 IN Bco			

9. I, (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

I was on the street parallel and we ran over to where everything happened. It was about 0200 when we hear enemy fire while we were doing our patrol. Ten minutes later someone radioed up there was casualties so we ran all the way to their position. By this time when we got there there the casualties were getting put on the stryker.

no statement

10. EXHIBIT E-29	11. INITIALS OF PERSON MAKING STATEMENT (b)(6), (b)(3)	PAGE 1 OF 3 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

USE THIS PAGE IF NEEDED. IF THIS PAGE IS NOT NEEDED, PLEASE PROCEED TO FINAL PAGE OF THIS FORM.

STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_

9. STATEMENT (Continued)

*no statement*

(b)(6), (b)(3)

(b)(6), (b)(3)

(b)(6), (b)(3)

(b)(6), (b)(3)

INITIALS OF PERSON MAKING STATEMENT

(b)(6), (b)(3)

PAGE 2 OF 3 PAGES

*E-E-28-2*

STATEMENT OF

(b)(3),(b)(6)

TAKEN AT Fob falcon

DATED 20070814

9. STATEMENT (Continued)

no statement

**AFFIDAVIT**

I, (b)(3),(b)(6), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE \_\_\_\_\_. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(b)(6), (b)(3)  
(Signature of Person making Statement)

(b)(6), (b)(3) MSG  
HHC 4-1 ID FOB FALCON, IRAQ  
ORGANIZATION OR ADDRESS

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August, 2007 at 1500 FOB Falcon  
(b)(6), (b)(3)

\_\_\_\_\_  
\_\_\_\_\_  
ORGANIZATION OR ADDRESS

\_\_\_\_\_  
(Typed Name of Person Administering Oath)  
\_\_\_\_\_  
(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT  
(b)(6), (b)(3)

PAGE 3 OF 3, PA

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

**PRINCIPAL PURPOSE:** To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.

**ROUTINE USES:** Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.

**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION FOB FALCON	2. DATE (YYYYMMDD) 2007 AUG 14	3. TIME 1445	4. FILE NUMBER
5. LAST NAME FIRST NAME MIDDLE NAME [REDACTED]	6. SSN [REDACTED]	7. GRADE/STATUS	
8. B CO 2/23 1ST PLT			

9. I, CPL [REDACTED] (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

Myself and my squad (3rd sq.) was walking down the road about 300 meters away from the incident. We came up on ~~the~~ coppe wire and investigated to find any IED's in the area. At that time, we recieved fire from the East of ~~the~~ our position. We took cover and at that time ~~we~~ I heard alot of ~~the~~ firing from the North and the East of our position. Only moments later, we got word over the radio we have casualties in the OP position to the North. We all sprinted straight to their position after that, helped aid the casualties and get them to the Stryker ~~with the~~ ~~and~~ and they left.

Nothing Follows

10. EXHIBIT E-29	11. INITIALS OF [REDACTED] STATEMENT (b)(3), (b)(6)	PAGE 1 OF 3 PAGES
ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF [REDACTED]"		DATED _____

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

USE THIS PAGE IF NEEDED. IF THIS PAGE

L PAGE OF THIS FORM.

(b)(3), (b)(6)

STATEMENT OF

CPL

(b)(3), (b)(6)

TAKEN AT

FOB FALLON

DATED

14 Aug 2007

9. STATEMENT (Continued)

*Nothing Further*

(b)(6), (b)(3)

(b)(6), (b)(3)

(b)(6), (b)(3)

(b)(6), (b)(3)

*Nothing Further*

INITIALS OF PERSON MAKING STATEMENT

(b)(3), (b)(6)

(b)(3), (b)(6)

PAGE 2 OF 3 PAGES

**E-29-2**

STATEMENT OF CPL [REDACTED] (b)(6), (b)(3) TAKEN AT FOB Falcon DATED 14 AUG 2007

9. STATEMENT (Continued)

*Nothing follows*

*Nothing further*

I, CPL [REDACTED] (b)(6), (b)(3), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT; AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR

AFFIDAVIT

[REDACTED] (b)(6), (b)(3) MSG

HHC 4-1 ID FOB FALCON, IRAQ  
ORGANIZATION OR ADDRESS

ORGANIZATION OR ADDRESS

[REDACTED] (b)(6), (b)(3)  
Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of AUGUST, 2007 at 1500 FOB FALCON

[REDACTED] (b)(6), (b)(3)

(Typed Name of Person Administering Oath)

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT [REDACTED] (b)(6), (b)(3)

PAGE 3 OF 3 PA

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

**PRINCIPAL PURPOSE:** To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.

**ROUTINE USES:** Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.

**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION	2. DATE (YYYYMMDD) 2007 8 14	3. TIME 1445	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b)(6), (b)(3)	6. SSN (b)(6), (b)(3)	7. GRADE/STATUS E-4	
8. ORGANIZATION OR ADDRESS 4-2 ID 2-23 INF BCO			

9. I, (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:  
 I don't know ~~was~~ anything because I ~~was~~ in the hatch as gunner for away, (b)(6), (b)(3)

Nothing Follow

10. EXHIBIT E-30	11. INITIALS OF PERSON MAKING STATEMENT (b)(6), (b)(3)	PAGE 1 OF <sup>3</sup> <del>xxx</del> PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_"  
 THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_

9. STATEMENT (Continued)

Nothing Follow

(b)(6), (b)(3)

(b)(6), (b)(3)

(b)(6), (b)(3)

(b)(6), (b)(3)

INITIALS OF PERSON MAKING STATEMENT

(b)(6), (b)(3)

E-30-2-0-08-3

9. STATEMENT (Continued)

*Nothing Follow*

AFFIDAVIT

I, (b)(6), (b)(3), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(b)(6), (b)(3)  
(Signature of Person Making Statement)

(b)(6), (b)(3) MSG

HHC 4-1 ID FOB FALCON, IRAQ  
ORGANIZATION OR ADDRESS

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August 2007 at 1500 FOB Falcon

(b)(6), (b)(3)  
(Signature of Person Administering Oath)

(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT

**E-30-3 8-02-07**

# Exhibit E-31

## SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

### PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).  
**PRINCIPAL PURPOSE:** To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.  
**ROUTINE USES:** Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.  
**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION Fob FALCON	2. DATE (YYYYMMDD) 20070814	3. TIME 1500	4. FILE NUMBER
(b)(6), (b)(3)	6. SSN (b)(6), (b)(3)	7. GRADE/STATUS E-4 - SPC	

Doc 2/23 in 1pl/t

9. I, \_\_\_\_\_, WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

I was sitting in on sensors in my drivers seat. ~~My gunner~~ (b)(6), (b)(3) said that we had to drive down and pick up some casualties. I started driving and my stryker slipped into a sink hole and got stuck. We didn't get recovered till the morning. I don't monitor the radio so I ~~most~~ didn't hear anything. Nothing else follows  
nothing follows

10. EXHIBIT E-31	11. INITIALS OF PERSON MAKING STATEMENT (b)(6), (b)(3)	PAGE 1 OF 1 PAGES
---------------------	---	-------------------

ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

**PRINCIPAL PURPOSE:** To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.

**ROUTINE USES:** Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.

**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION FOB Falcon	2. DATE (YYYYMMDD) 20070814	3. TIME 1445	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b)(6), (b)(3) B Co 2-23 INF	6. SSN (b)(6), (b)(3)	7. GRADE/STATUS PFC/E-3	

9. I, (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

I WAS ON THE ADJACENT STREET AND HAVE NO KNOWLEDGE OF WHAT OCCURED EXCEPT THROUGH WORD OF MOUTH. I DID NOT ARRIVE TO THAT AREA UNTIL AFTER THE INCIDENT

~~NO STATEMENT~~

Nothing Follows

10. EXHIBIT E-32	11. INITIALS OF PERSON MAKING STATEMENT (b)(6), (b)(3)	PAGE 1 OF 3 PAGES
---------------------	---	-------------------

ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

USE THIS PAGE IF NEEDED. IF THIS PAGE IS NOT NEEDED, PLEASE PROCEED TO FINAL PAGE OF THIS FORM.

STATEMENT OF

(b)(6), (b)(3)

TAKEN AT

DATED

9. STATEMENT (Continued)

Nothing follows

INITIALS OF PERSON MAKING STATEMENT

(b)(6), (b)(3)

PAGE 2 OF 3 PAGES

STATEMENT OF

(b)(6), (b)(3)

TAKEN AT

DATED 14 Aug 2007

9. STATEMENT (Continued)

Nothing follows

AFFIDAVIT

I, (b)(6), (b)(3), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE OR INDUCEMENT.

(b)(6), (b)(3)

(Making Statement)

(b)(6), (b)(3)

MSG

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August, 2007 at 1500 FOB Falcon

HHC 4-13D FOB FALCON, IRAQ ORGANIZATION OR ADDRESS

(b)(6), (b)(3)

LTC

(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

(Authority To Administer Oaths)

INITIALS OF MAKING STATEMENT

(b)(3), (b)(6)

PAGE 3 OF 3 PAGES

E-32-3 E-35-35-3

STATEMENT OF

(b)(6), (b)(3)

TAKEN AT

DATED 14 Aug 2007

9. STATEMENT (Continued)

Nothing follows

AFFIDAVIT

I, (b)(6), (b)(3), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1 AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(b)(6), (b)(3)

(Signature of Person Making Statement)

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August, 2007 at 1500 FOB Falcon

(b)(6), (b)(3)

MSG

HHC 4-17D FOB FALCON, IRAQ  
ORGANIZATION OR ADDRESS

(b)(6), (b)(3)

LT

(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT

(b)(6), (b)(3)

PAGE 3 OF 3 PAI

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

AUTHORITY: Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).
PRINCIPAL PURPOSE: To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.
ROUTINE USES: Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management.
DISCLOSURE: Disclosure of your SSN and other information is voluntary.

1. LOCATION: COP Ammanche
2. DATE (YYYYMMDD): 20070816
3. TIME: 0120
4. FILE NUMBER:
5. LAST NAME, FIRST NAME, MIDDLE NAME: (b)(6), (b)(3)
6. SSN: (b)(6), (b)(3)
7. GRADE/STATUS: E4

B co 2-23 IN 1st Plt.

9. I, (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

During the mission in question my vehicle never left Senators. I didn't have any friendly or enemy forces in my sight, I could not see either target house, I could only see 1 other vehicle even more west of me. I heard over the radio we had mass casualties and that was all.

Nothing Follows (b)(6), (b)(3)

10. EXHIBIT: E-33
11. INITIALS OF PERSON MAKING STATEMENT: (b)(6), (b)(3)
PAGE 1 OF 2 PAGES

ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF ... TAKEN AT ... DATED ...
THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

STATEMENT OF

(b)(6), (b)(3)

TAKEN AT

COP Ammanche

DATED

16 AUG 07

9. STATEMENT (Continued)

Nothing Follows

(b)(6), (b)(3)

INITIALS \_\_\_\_\_ NG STATEMENT

(b)(6), (b)(3)

PAGE 2 OF 3 PAGES

E-E-33-2

STATEMENT OF (b)(6), (b)(3) TAKEN AT COP Ammanche DATED 16 AUG 07

9. STATEMENT (Continued)

*Nothing Follows*

(b)(6), (b)(3)

AFFIDAVIT

I, (b)(3), (b)(6), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE \_\_\_\_\_. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(b)(6), (b)(3)  
(Signature of Person Making Statement)

(b)(6), (b)(3) MSG  
HHC 4-1 ID FOB FALCON IRAQ  
ORGANIZATION OR ADDRESS

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August, 2007 at 1560 FOB FALCON  
(b)(6), (b)(3)  
(Signature of Person Administering Oath)

(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT

**E-33-3**

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

AUTHORITY: Title 10 USC Section 301; Title 5 USC Section 2951; E.O. 9397 dated November 22, 1943 (SSN).
PRINCIPAL PURPOSE: To provide commanders and law enforcement officials with means by which information may be accurately identified.
ROUTINE USES: Your social security number is used as an additional/alternate means of identification to facilitate filing and retrieval.
DISCLOSURE: Disclosure of your social security number is voluntary.

1. LOCATION: COP AMANCHE
2. DATE (YYYYMMDD): 16 Aug 07
3. TIME: 1115
4. FILE NUMBER:
5. LAST NAME, FIRST NAME, MIDDLE NAME: (b)(6), (b)(3)
6. SSN: (b)(6), (b)(3)
7. GRADE/STATUS: E4
8. ORGANIZATION: B Co 2/23 INF

9. I, (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:
The night my buddy PFC Hensel passed on I was in the driver's seat of vehicle B1-3. The vehicle was positioned on Senators about 500 meters away from the troops on the ground. I spent the entire mission staring at the corner of a building. The radio chatter was turned down in my headset so that I could hear the commands of SSG (b)(6), (b)(3) the vehicle commander, so I couldn't hear anything either.

Nothing Follows

10. EXHIBIT: E-34
11. STATEMENT: (b)(6), (b)(3)
PAGE 1 OF 2

ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT" DATED
THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

STATEMENT OF

(b)(6), (b)(3)

TAKEN AT COP Arroyo

DATED 16 Aug 07

9. STATEMENT (Continued)

*Nothing Follows*

(b)(6)

(b)(6)

INITIALS OF PER

(b)(6), (b)(3)

PAGE 2 OF 3 PAGES

PAGE 2, DA FOR

APD PE v1.01

**E-34-2**

STATEMENT

(b)(6), (b)(3)

TAKEN AT

COP Aronche

DATED

16 Aug 07

9. STATEMENT (Continued)

(b)(6), (b)(3)

*Nothing Follows*

(b)(6), (b)(3)

**AFFIDAVIT**

I, (b)(6), (b)(3), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 5 AND ENDS ON PAGE 5. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY AND WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(b)(6), (b)(3)  
ent)

(b)(6), (b)(3)

MSG

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August, 2007 at 1500 FOB Falcon

HHC 4-1 ID FOB FALCON, IRAQ  
ORGANIZATION OR ADDRESS

(b)(6), (b)(3)

LTC

(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT

PAGE 3 OF 3 PAGES

**E-34-3**

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

AUTHORITY: Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).
PRINCIPAL PURPOSE: To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.
ROUTINE USES: Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management.
DISCLOSURE: Disclosure of your SSN and other information is voluntary.

1. LOCATION: Fob Falcon; 2. DATE: 2007 09 14; 3. TIME: 1442; 4. FILE NUMBER; 5. LAST NAME: (b)(6), (b)(3); 6. SSN: (b)(6), (b)(3); 7. GRADE/STATUS: PFC IE3

9. I, (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:
I left the cop at 0230. We rolled down (b)(2)High to center then we dismounted our centers and started down (b)(3), (b)(6) found an AT mine so we split in 1/2 one went into ash people if they seen anything about the mine. Me and 4 other people trace the wire. The wire lead to nothing but it was by a couple of houses so we raid them. They were empty. As we were in the courtyard (b)(6), (b)(3) 1/2 the guys that split up started to receive heavy fire. So they started to lay down cover and suppressive fire. We didn't have eyes on the enemy. The next thing that came over the radio was we have 3 casualties but we didn't know what the injuries were. So we got to a root top pulled security until they blew the IED's this morning. Found out when we got in the truck 1 KIA, 1 shot in the butt, and the other shot in the foot. (b)(6), (b)(3) The shot that was shot in the foot was by a 50 cal round.
Nothing else follow

10. EXHIBIT: E-35; 11. INITIALS OF PERSON MAKING STATEMENT: (b)(6), (b)(3); PAGE 1 OF 2 PAGES

ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_
THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

STATEMENT OF

(b)(6), (b)(3)

TAKEN AT

FOB Falcon

DATED

2007 08 14

9. STATEMENT (Continued)

(b)(6), (b)(3)

AFFIDAVIT

I, (b)(6), (b)(3), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE . I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE

(b)(6), (b)(3)

(Signature of Person Making Statement)

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August, 2007 at 1500 FOB Falcon

(b)(6), (b)(3)

MSG

HHC 4-17D FOB Falcon, IRAQ ORGANIZATION OR ADDRESS

(b)(6), (b)(3)

LTC

(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT

(b)(6), (b)(3)

PAGE 2 OF 2 PAGES

E-35-2

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

**AUTHORITY:** Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

**PRINCIPAL PURPOSE:** To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.

**ROUTINE USES:** Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.

**DISCLOSURE:** Disclosure of your SSN and other information is voluntary.

1. LOCATION Baghdad, Iraq	2. DATE (YYYYMMDD) 00070814	3. TIME	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b)(6), (b)(3)	6. SSN (b)(6), (b)(3)	7. GRADE/STATUS E-4 SPC	

9. I, (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

During the Entire mission I remained on center's and did not witness any Events. Nothing ELSE follows

10. EXHIBIT E-36	11. INITIALS OF PERSON MAKING STATEMENT (b)(6), (b)(3)	PAGE 1 OF 2 PAGES
---------------------	---	-------------------

ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

9. STATEMENT (Continued)

*No Statement*

AFFIDAVIT

I, (b)(6), (b)(3), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE xx<sup>2</sup>. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE

(b)(6), (b)(3)

(Signature of Person Making Statement)

(b)(6), (b)(3)

MSG

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of AUGUST, 2007 at 15 FOB Falcon

HHC 4-115 FOB Falcon, JRAU  
ORGANIZATION OR ADDRESS

(b)(6), (b)(3)

(Signature of Person Administering Oath)

(Typed Name of Person Administering Oath)

ORGANIZATION OR ADDRESS

(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

AUTHORITY: Title 10 USC Section 301; Title 5 USC Section 2951; E.O. 9397 dated November 22, 1943 (SSN).
PRINCIPAL PURPOSE: To provide commanders and law enforcement officials with means by which information may be accurately identified.
ROUTINE USES: Your social security number is used as an additional/alternate means of identification to facilitate filing and retrieval.
DISCLOSURE: Disclosure of your social security number is voluntary.

1. LOCATION: 836 A (BATES BARON) S. BAGHDAD
2. DATE: 2007 08 14
3. TIME: 1529
4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME: (b)(6), (b)(3)
6. SSN: (b)(6), (b)(3)
7. GRADE/STATUS: CPT / MK
8. ORGANIZATION OR ADDRESS: B COMPANY, 223D INFANTRY BN

I, (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:
I TASKED 1ST PLATOON BRAVO COMPANY WITH A COUNTER-IED MISSION. PURPOSE OF THE MISSION WAS TO LOCATE IED'S AND EXPLOIT THEM (DESTROY THEM W/ IED) OR OVERWATCH IOT KILL THE TRIGGER MAN. THE DECISION I MADE TO SEND 1ST PLT INTO THIS PARTICULAR AO WAS TWO-FOLD. 1) THEY ARE THE 'BATTLESPACE OWNERS' OF (b)(2)High AND 2) I NEEDED (b)(2)High

STARTING 14 0600 AUG 07 THRU 14 1400 AUG 07. I WAS AT FOB FALCON WHEN I MADE THIS DECISION, BASED ON METT-C I CALLED COP AMMANUTE AND TALKED TO MY BATTLE NCO - SGT (b)(6), (b)(3) WITH (b)(6), (b)(3) TASK'S PURPOSE. I CONDUCT C-IED IN (b)(2) high IOT LOCATED AND EXPLOIT IED'S IN SECTOR AND OPEN OR WEAR A PROBABLE ROUTE FOR THE TST MISSION. I SPECIFIED TO THEM THAT THEY WOULD LOA AT THE TEN AND FOCUS EFFORTS ON THE WESTERN SIDE. ADDITIONALLY, I GAVE THEM THE SPECIFIED TASK OF RECON A POSSIBLE VBIED (HUMINT TR) EAST OF BATES BARON # (b)(6), (b)(3) NEAR THE SADDON MOSQUE. SGT (b)(6), (b)(3) READ ME BACK THE MISSION STATEMENT, TASK AND PURPOSE OF THE PATROL ALONG WITH SPECIFIED TASKS.

10. EXHIBIT: E-37
11. INITIALS: (b)(6), (b)(3)
PAGE 1 OF 3 PAGES

ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_
THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

STATEMENT OF

(b)(3),(b)(6)

TAKEN AT

FUB Fincen

DATED

14 AUG 07

9. STATEMENT (Continued)

(b)(6), (b)(3)

(b)(6), (b)(3)

THIS CALL TOOK PLACE AT 1600. AFTER I HUNG UP WITH SGT (b)(6), (b)(3) I WAS AT A MEETING / MGR OVER TIME SENSITIVE TARGET RAIDS. FOLLOWING THE MEETING, I WENT TO CROW AND RETURNED TO COP AMANCHE BY 2030 (I REMEMBER THIS BECAUSE THE SUN WAS SETTING.) AFTER ARRIVING AT THE COP, I FINISHED AN OER AND TALKED WITH THE PL'S ABOUT FUTURE OPERATIONS AND CHANGES/ADJUSTMENTS WE NEED TO MAKE. I ENSURED THE 1ST PL (LT (b)(6), (b)(3) HAD THE MOST UP-TO-DATE PLED'S (HUMINT TIPS). HE GAVE HIS ORDER PRIOR TO ME ARRIVING AT COP AMANCHE. I DID NOT GET A BACKBRIEF BUT I ENSURED I UNDERSTOOD (b)(6), (b)(3) THAT THEY UNDERSTOOD THE INTENT. I LAID DOWN AT 140230 AUG 07, AS I WAS GOING TO BE WOKEN UP AT 140500 AUG 07 TO PREPARE FOR FUTURE MISSION(S). THE PLATOON SP'D AROUND 140230 AUG 07. I FEEL ASKED & WAS WOKEN BETWEEN 140300 AUG 07 AND 140400. I WAS WOKEN B/C 1ST PLATOON WAS IN CONTACT & TOOK CASUALTIES. I WOKE UP THE MCV CREW, MY CREW & THE ISG & HIS CREW. WE WERE ON THE OBJECTIVE W/IN 20 MINUTE OF ALERT. I TOOK UP A SECURITY POSITION NEAR THE F-6 ELEMENT IN (b)(2)High TO GIVE THEM SUPPORT. A/26 ARRIVED W/ RECOVERY ASSETS AND A SECTION. I EMPLOYED LESION 36 INITIALLY TO OUR EAST, HIGHTSIDE OVERWATCHING SOUTH AND EAST OF ALL. THEY WERE RELIEVED BY C/16. WHEN WE GOT TO THE OBJ: ISG, 1-7, AND M.E.V. 812 CROSSMOUNTED CASUALTIES & SP'D FOR THE (b)(6), (b)(3) CSH (28TH) WHEN IT GOT LIGHT AT 0530, EOD ARRIVED AND BEGAN TO EXPLOIT/DESTROY THE IED'S. 1-6 CALLED ME AND SAID THE CASUALTIES WERE POSSIBLY FROM .SOCAL API, WHICH

INITIALS OF PERSON MAKING STATEMENT

(b)(6), (b)(3)

E-37-2

STATEMENT OF

(b)(6), (b)(3)

TAKEN AT

F0B Falcon

DATED

14 AUG 07

9. STATEMENT (Continued)

IMPACTED THE SOUTH WALL OF THE BUILDING 1-6 HAD  
 OCCURRED. T-7, M6, AND I INVESTIGATED THE SCENE WHERE  
 HANSEN, (b)(3), (b)(6) AND (b)(3), (b)(6) WERE INJURED. I FOUND  
 3x .50 API CORES AROUND THE PUDDLE OF BLOOD (SPC  
 (b)(6), (b)(3) I LINED UP THE HOLES AND THE PUDDLE,  
 AND DEDUCED THEY WERE ENGAGED BY .50 API FROM  
 A STRYKER WHICH WAS ISOLATING EASTERN BATES BROW.  
 AFTER I SANITIZED THE SITE, I EXPLAINED OFF THE  
 OBJECTIVE AND CAME BACK TO THE FOB & THEN UP TO  
 28TH CSH. I TOLD LTC (b)(3), (b)(6) THAT I BELIEVED THIS  
 WAS A FEAT EVENT, AMIDST AN ENEMY AMBUSH. WASN'T  
 THERE, BUT THAT WAS THE REPORT I WAS GETTING; FIRE  
 FROM A LEAST 3 SIDES AND A MILLION THINGS HAPPENING  
 IN THE HEAT OF BATTLE.

NOTHING FOLLOWS

I, (b)(6), (b)(3)

AFFIDAVIT

WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE  
 BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE  
 CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT  
 THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE,

(b)(6), (b)(3)

MSG

ORGANIZATION OR ADDRESS  
F0B Falcon, IRAQ

(b)(6), (b)(3)

Subsc  
 administr. oaths, this 14 day of AUGUST, 07  
 at F0B Falcon, IRAQ

(b)(6), (b)(3)

LTC

ORGANIZATION OR ADDRESS

(b)(6), (b)(3)

INITIALS OF PERSON MAKING STAT

(Typed Name of Person Administering Oath)

(Authority To Administer Oaths)

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

AUTHORITY: Title 10 USC Section 301; Title 5 USC Section 2951; E.O. 9397 dated November 22, 1943 (SSN).
PRINCIPAL PURPOSE: To provide commanders and law enforcement officials with means by which information may be accurately identified.
ROUTINE USES: Your social security number is used as an additional/alternate means of identification to facilitate filing and retrieval.
DISCLOSURE: Disclosure of your social security number is voluntary.

1. LOCATION: FOB Falcon, IZ
2. DATE (YYYYMMDD): 20070814
3. TIME: 15:30
4. FILE NUMBER:
5. LAST NAME, FIRST NAME, MIDDLE NAME: (b)(6), (b)(3)
6. SSN: (b)(6), (b)(3)
7. GRADE/STATUS: 1SG

9. I, 1SG (b)(6), (b)(3), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

On the Very Early Morning (0730) of 14 Aug 07, 1st PLT Beo was conducting a routine DED vigilance mission in Northern M836. As this was a routine patrol and we were ~~not~~ (b)(6), (b)(3) tasked with additional missions the following day the platoon conducted internal C2 and the Commander and I attempted to rest.
Approx 0430 I was Awoken by the 2nd PSG, stating that 1st PLT was in contact and had sustained 3 casualties. The Commander, myself, and my MEU quickly begin to spin up and prepare to depart for 1st PLT's Area. 3rd PLT was also alerted to move and Reinforce 1st. Just prior to departing the COP a report came in that the nature of wounds was Urgent Surgical - GSW upper thigh, lower abdomen. Urgent - GSW or large fragment wound to the buttocks and Routine - Broken Ankle. At this time we departed the COP

10. EXHIBIT: E-38
11. INITIAL: (b)(3), (b)(6) MAKING STATEMENT
PAGE 1 OF 2 PAGES

ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF [ ] TAKEN AT [ ] DATED [ ]
THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

STATEMENT OF 186 (b)(6), (b)(3) TAKEN AT FBI Falcon DATED 14 Oct 07

9. STATEMENT (Continued)

Enroute to 1st PLT an additional report came in that SPC HENSEL was not responding and that Resuscitation was beginning. We also learned that 1st PLT had 2x stuck trucks and that all CAX were loaded into one of their ICUs. As we arrived on scene we quickly loaded both responsive CAX into the MEV and left SPC HENSEL in the ICU. My ICU, the MEV, and a 1st PLT truck left the scene for the CSH.

Shortly after arriving and necessary X-Rays and CT scans it was found that in addition to a broken ankle SPC (b)(6), (b)(3) also had a bullet lodged in there as well. An exit wound was found on SPC (b)(6), (b)(3) and the wound was determined to be through and through. At this time SPC HENSEL was also pronounced DoA. Post surgery the recovered bullet looked to be 12.7mm or .50cal on SPC (b)(6), (b)(3). SPC (b)(6), (b)(3) came through surgery without incident. Nothing follows

(b)(6), (b)(3)

INITIALS OF PERSON MAKING STATEMENT (b)(6), (b)(3)

STATEMENT OF 1SG (b)(6), (b)(3)

TAKEN AT FoB Falcon DATED 14 Oct 07

9. STATEMENT (Continued)

NOT USED

(b)(6), (b)(3)

I, 1SG (b)(6), (b)(3)

AFFIDAVIT

WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE ~~2~~ 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(b)(6), (b)(3)

WITNESSES:

(b)(6), (b)(3) MSG

HHC 4-1 ID FoB Falcon #1A0  
ORGANIZATION OR ADDRESS

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 14 day of August, 2007 at 15 FoB Falcon

(b)(6), (b)(3) LTC

(Typed Name of Person Administering Oath)

(Authority To Administer Oaths)

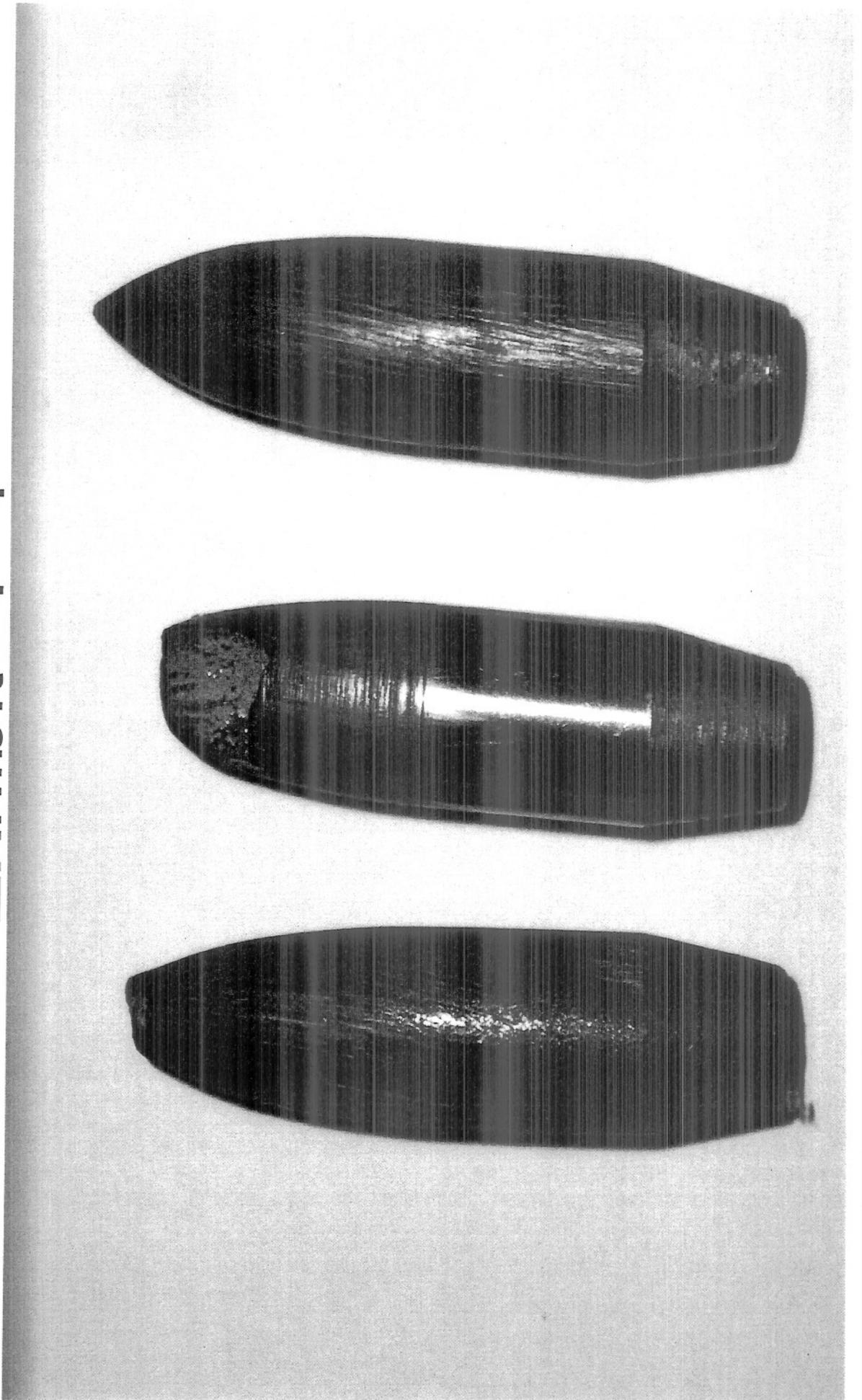
ORGANIZATION OR ADDRESS

INITIALS OF PERSON MAKING STATEMENT

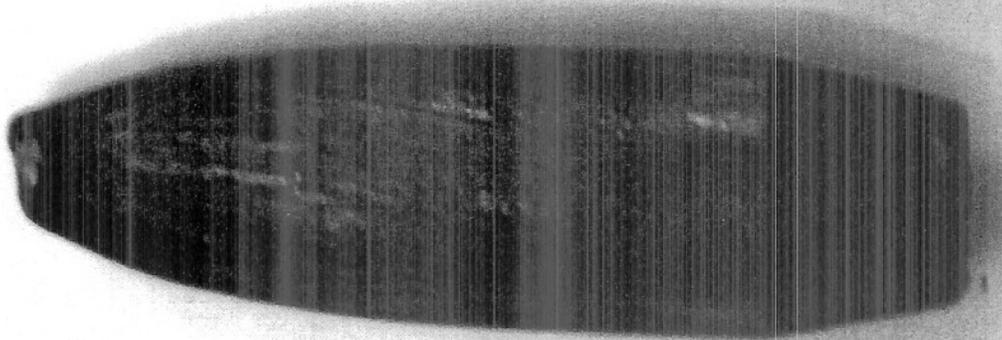
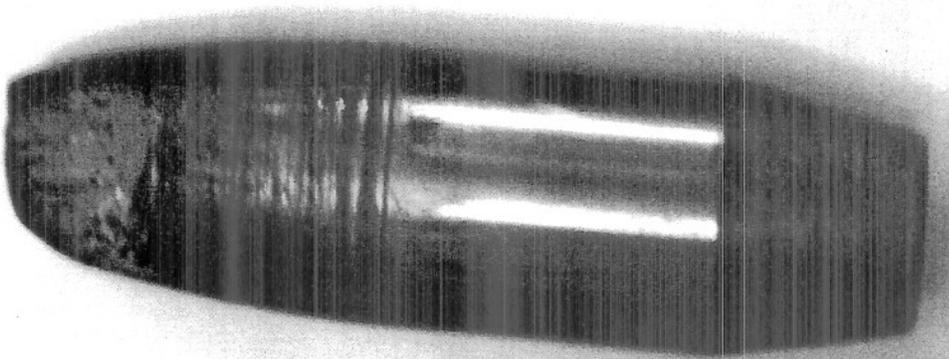
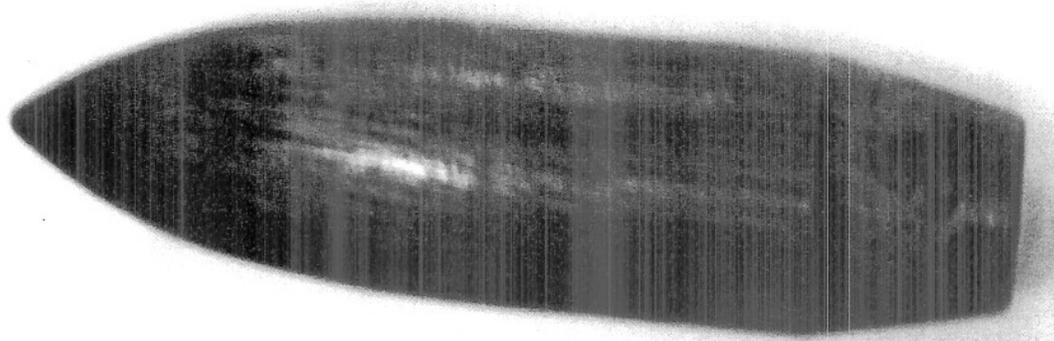
E-38-3

# **EXHIBIT F**

# Exhibit F-1



# Exhibit F-2



# **EXHIBIT G**

Exhibit ■ G

(b)(6), (b)(3)

MAJ HHC 4IBCT 1ID

**From:** (b)(6), (b)(3) LTC, Red Team 4IBCT  
**Sent:** Wednesday, August 22, 2007 9:06 AM  
**To:** (b)(6), (b)(3) MAJ HHC 4IBCT 1ID  
**Subject:** FW: Guntape of 14AGU07 (UNCLASSIFIED)  
**Categories:** ~~SECRET~~  
**Titus Classification::** ~~SECRET~~

**Classification:** ~~SECRET~~

FYI

**From:** (b)(6) GS-11, HHC 4IBCT  
**Sent:** Wednesday, August 15, 2007 12:57 PM  
**To:** (b)(6), (b)(3) Red Team 4IBCT  
**Subject:** FW: Guntape of 14AGU07 (UNCLASSIFIED)

Classification: UNCLASSIFIED

Caveats: NONE

**Classification:** ~~SECRET~~

FYI

**From:** (b)(6), (b)(3) 1LT BN S2 OIC (b)(6), (b)(3)  
**Sent:** Wednesday, August 15, 2007 8:15 AM  
**To:** (b)(6) GS-11, HHC 4IBCT  
**Subject:** Guntape of 14AGU07

**Classification:** ~~SECRET/REL TO USA MCFI~~

(b)(6)

Unfortunately it looks like we don't have any footage of the Fratricide event. I will double check with my night crew to see if they saved it somewhere that I didn't know to check. The pilot debrief only mentions that some of the fire was suspected to be friendly, giving me the impression they arrived on station right after the event. I will look into it with my night crew and the flight crew and see if I can find anything, it isn't looking promising at this point however.

V/R

1LT (b)(3), (b)(6)

4-22/tn ARB S2

(b)(6)

Classification: UNCLASSIFIED

Caveats: NONE

# EXHIBIT H

# Exhibit H



(b)(2)High

# EXHIBIT I

UNCLASSIFIED

FFI (Blue-on-Blue), 2-23 IN 4/1 ID, 14 AUG 07

I-1



Friendly Sit

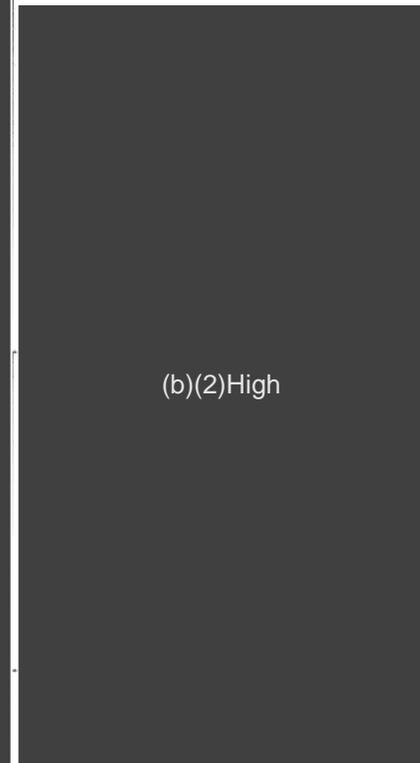


(b)(1)1.4a

~~SECRET//REL TO USA, MCFI//20160322~~

DTG: 14XX00AUG07 (Op Start)

KEY INFORMATION



(b)(2)High

AUG07  
AUG07

on

Exhibit I

Derived from: MNF-I SCG, 31 Mar 07  
Reason: 1.4a  
Declassify on 20160322

Endstate: IEDs DESTROYED, AIF MATERIALS AND PERSONNEL EXPLOITED, SKT ESTABLISHED AND AMBUSH CONDUCTED

Mission

1<sup>st</sup> PLT, B CO (-) CLEARS 49TH & 35TH St. VIC (b)(2)High NLT 140600AUG07 IOT DESTROY PIEDS THEN SET UP AMBUSH SKTs TO DESTROY IED EMPLACERS

APPENDIX 4



(b)(1)1.4a

~~ET//REL TOUSA, MCFI//20160322~~

**ed Enemy Situation:**  
R LOCAL SUNNI POPULATION HAS  
ACED VARIOUS IEDS VIC **(S)(2)HiG**  
EEM APATHETIC TO CF. NO  
CTATION OF REPRISAL ATTACKS  
UR OFFENSIVE ACTIONS,  
VER, ATTACK OF CF WHILE  
RING IS EXPECTED. THESE  
KS WILL BE IN THE FORM OF SAF  
PG ATTACKS ON DISMOUNTED CF

ve to the enemy's operation is to  
t our FOM IOT destroy CF clearing  
n AO.

stroy  
vide AIF FOM

rwatch  
vide early warning to ME forces

rupt  
y CF FOM in sector

s:  
has mortar and rocket capabilities  
se of IDF is to disrupt our FOM in



(b)(1)1.4a

UNCLASSIFIED

~~SECRET//REL TO USA, MCFI//20160322~~

cept of Operations

I: INFIL (OOM 1,mg1,2,MG2, 3)

10230AUG 07

1ST PLT

CLEAR 49<sup>TH</sup> ST & 35<sup>TH</sup> ST.

DESTROY PIEDS

II: SKT POSITIONS

290600JULY 07

1<sup>ST</sup> plt

SECURE OVERWATCH

KILL IED EMPLACERS

VEHICLES

QRF FROM SENATORS

PICK UP SKT IF NECESSARY

I: CONSOLIDATION /REORG

IST):

3) BREAK DOWN SKT

SECURE CF MATERIAL

I: EXFIL

1ST):

EXFIL BACK TO AMANCHE VIA YEMAMMA

Preplan  
AWT- N.  
T: Destr  
P: Neutr  
CAS- (T  
T: XCAS  
P: Neutr

~~SECRET~~

I-3

~~SECRET//REL TO USA, MCFI//20160322~~

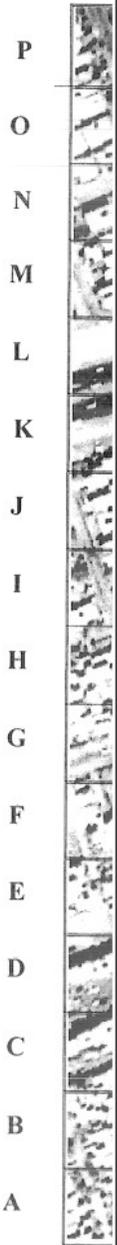


(b)1.4(a)

FFI (Blue-on-Blue), 2-23 IN 4/1 ID, 14 AUG 07

I-4

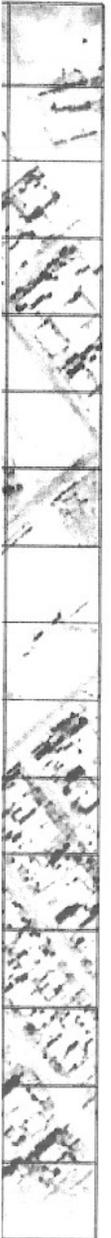
~~SECRET//REL TO USA, MCFI//20160322~~



00

(b)(2)High

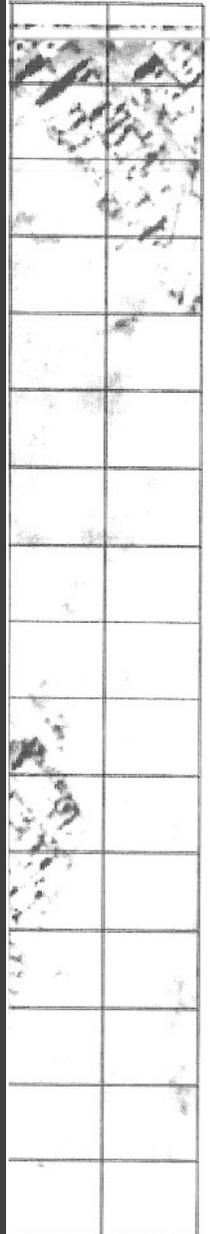
-1



17

I-5

GRG-2



16 17

(b)(2)High

P  
O  
N  
M  
L  
K  
J  
I  
H  
G  
F  
E  
D  
C  
B  
A

(b)(2)High

(b)(2)High

(b)(2)High

# **EXHIBIT J**

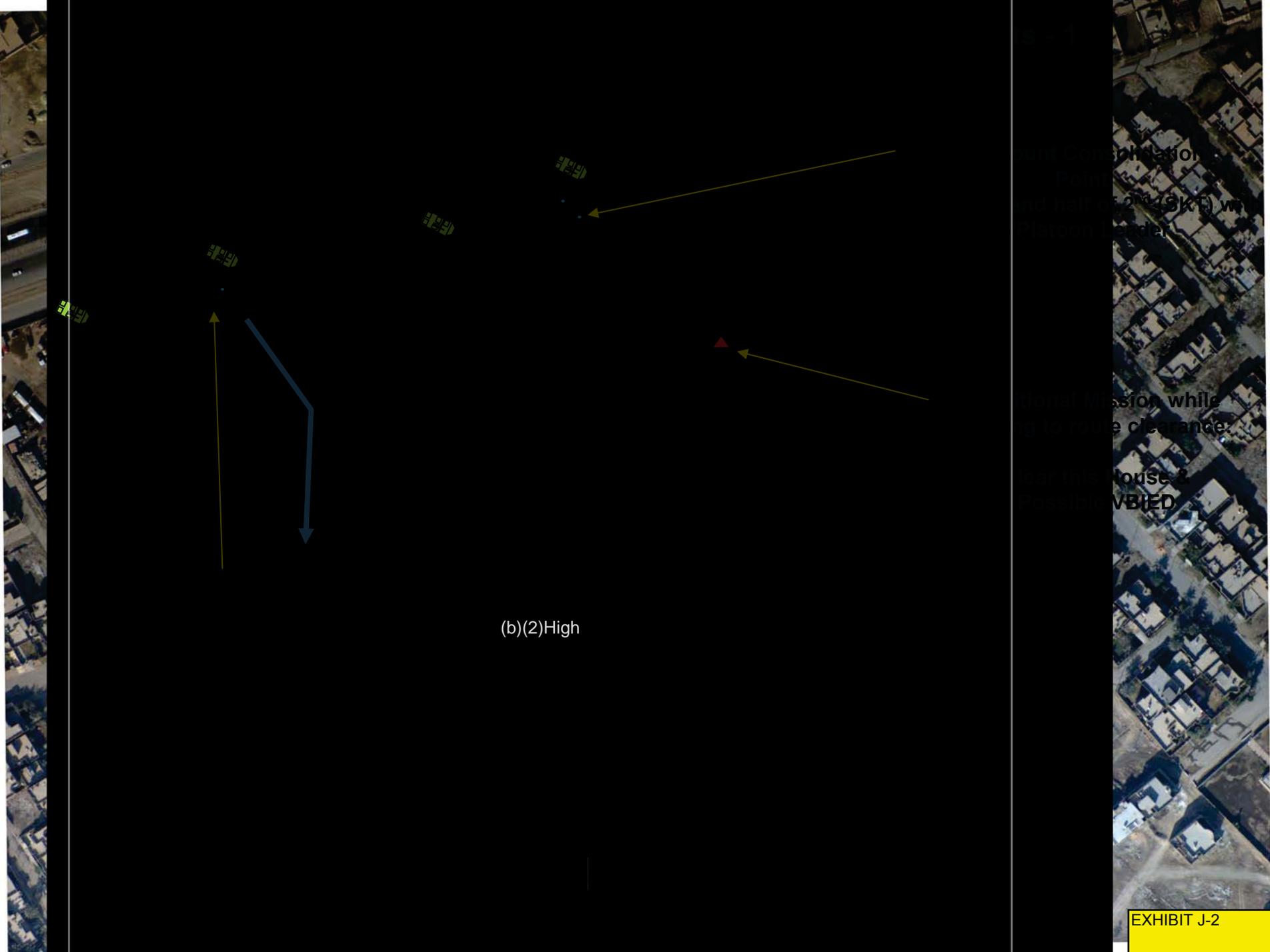


1st

(b)(2)High

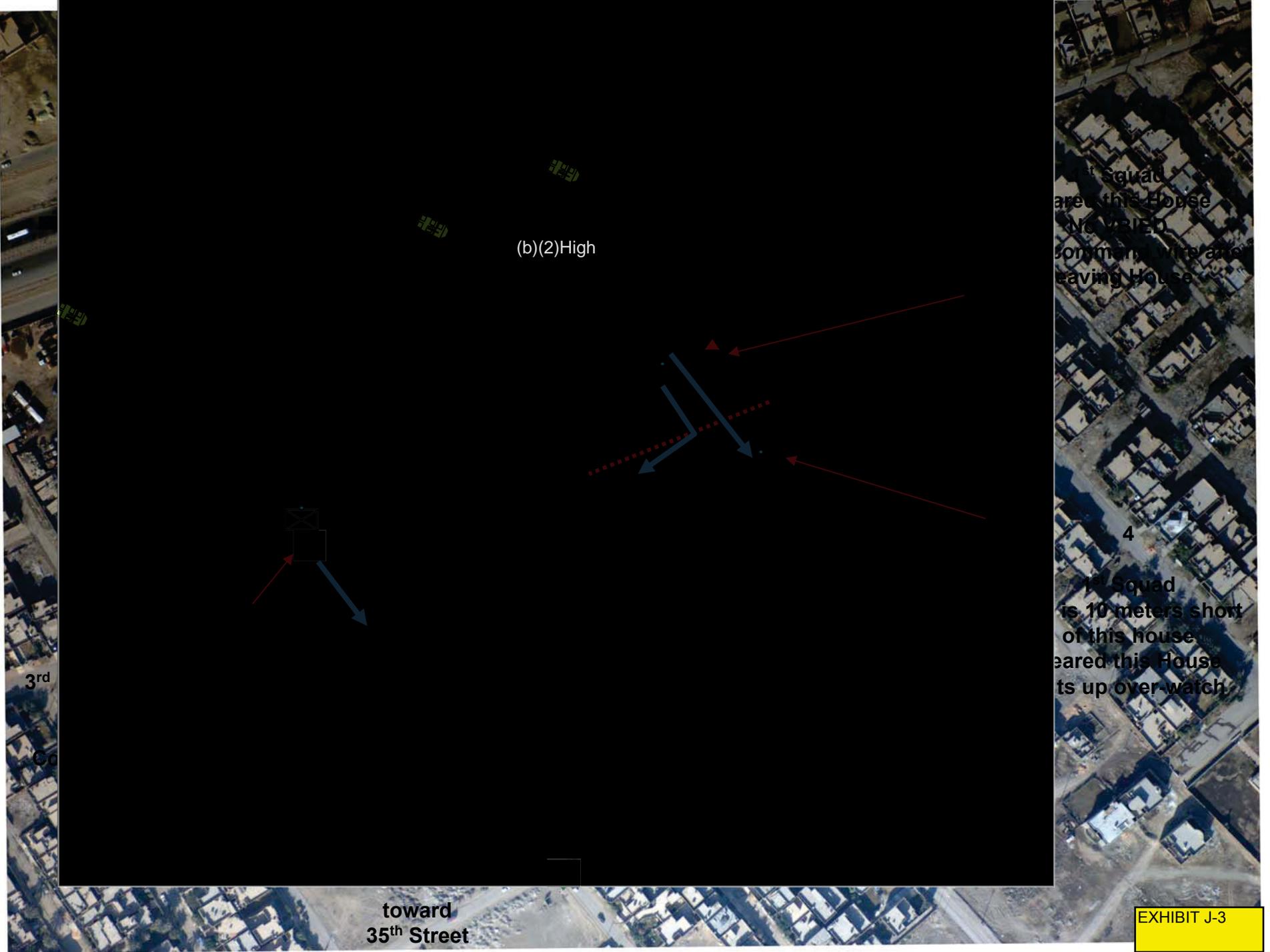


EXHIBIT J-1



(b)(2)High

...ation  
... 20 (OK) w...  
...ion while  
... clearance  
... house &  
... VBIED



(b)(2)High

Squad  
are this House  
NOYBIE  
sommers wire and  
leaving House

4

Squad  
is 10 meters short of this house  
eared this House  
ts up over watch

toward  
35<sup>th</sup> Street



Deep buried IED  
On 49<sup>th</sup> Street

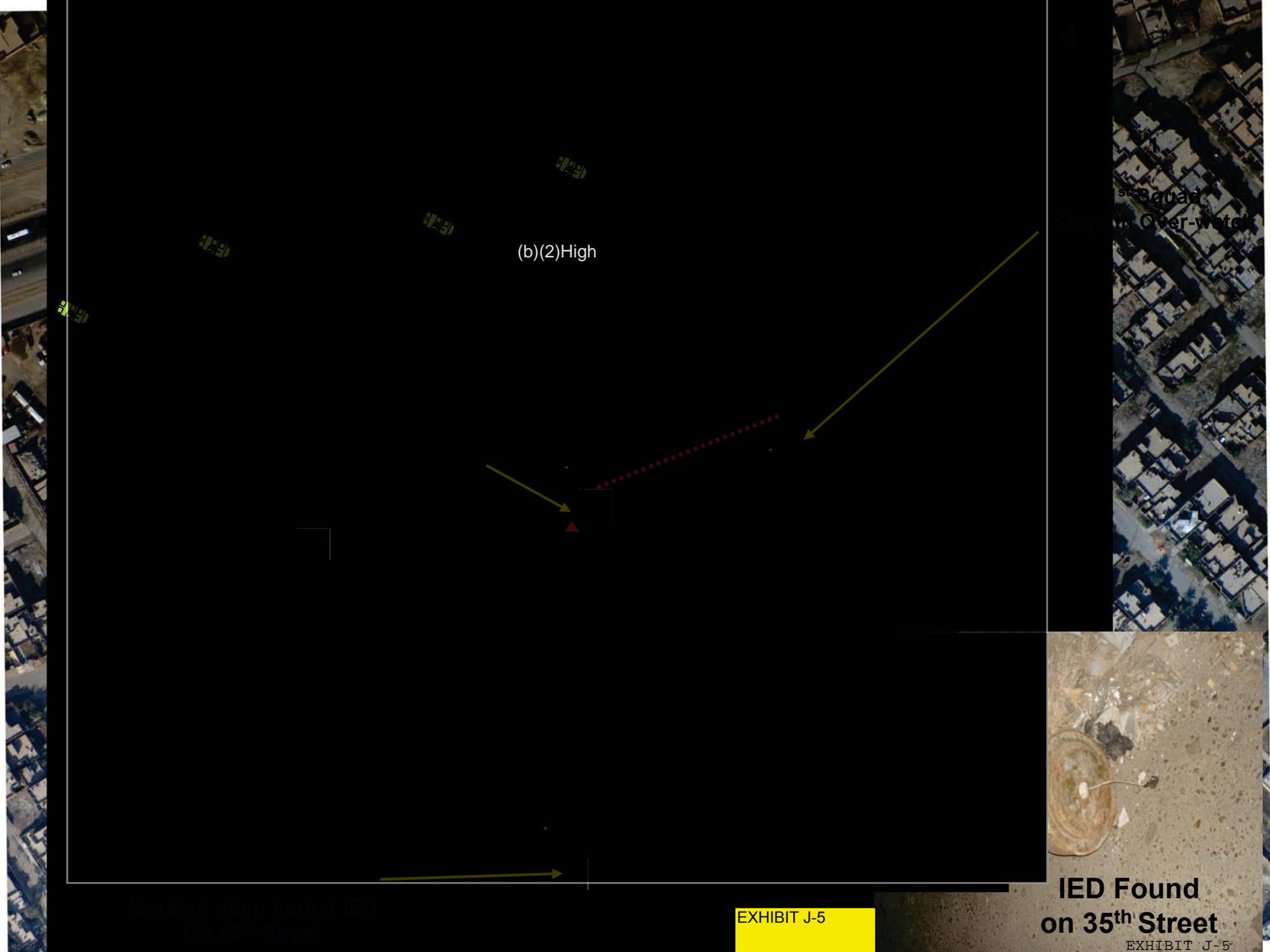
EXHIBIT J-4

IED Found  
on 35<sup>th</sup> Street

1<sup>st</sup> Squad  
Wire is 10 meters short  
of this house  
Cleared this house  
Gets up over watch

2

Platoon Leader  
And SKT team  
Clear suspect  
house linked to IED.  
They Clear  
Through second floor



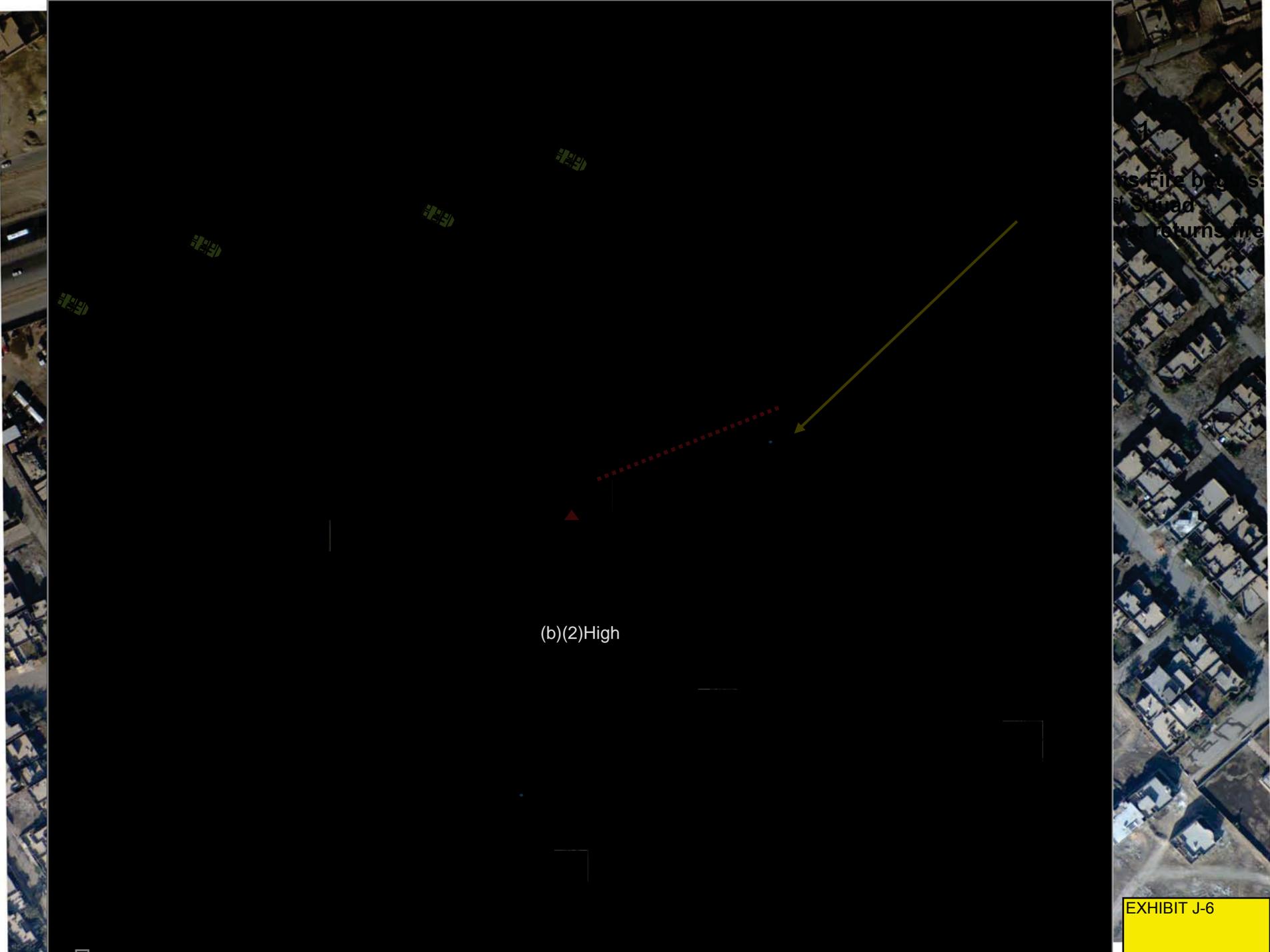
(b)(2)High

Squad  
Over-watch

EXHIBIT J-5

IED Found  
on 35<sup>th</sup> Street

EXHIBIT J-5



(b)(2)High

is Fire begins  
Squad  
returns fire



(b)(2)High

Positions  
Platoon A  
Approximate  
Time 03  
Aug



On  
floor  
pro  
Fir  
SGT  
are du  
leanin  
trying t



er B1-1 fires on what  
they believed to be  
activity on rooftops.  
soldier inside Stryker  
enemy and engage

1<sup>st</sup> Squad  
Stationary

two enemy rooftop  
ring locations



(b)(2)High

Lar

co

Positi

1 - S

2 - S

3 - P

4 - S

5 - S

### North Balcony Interior 50.cal bullet strikes

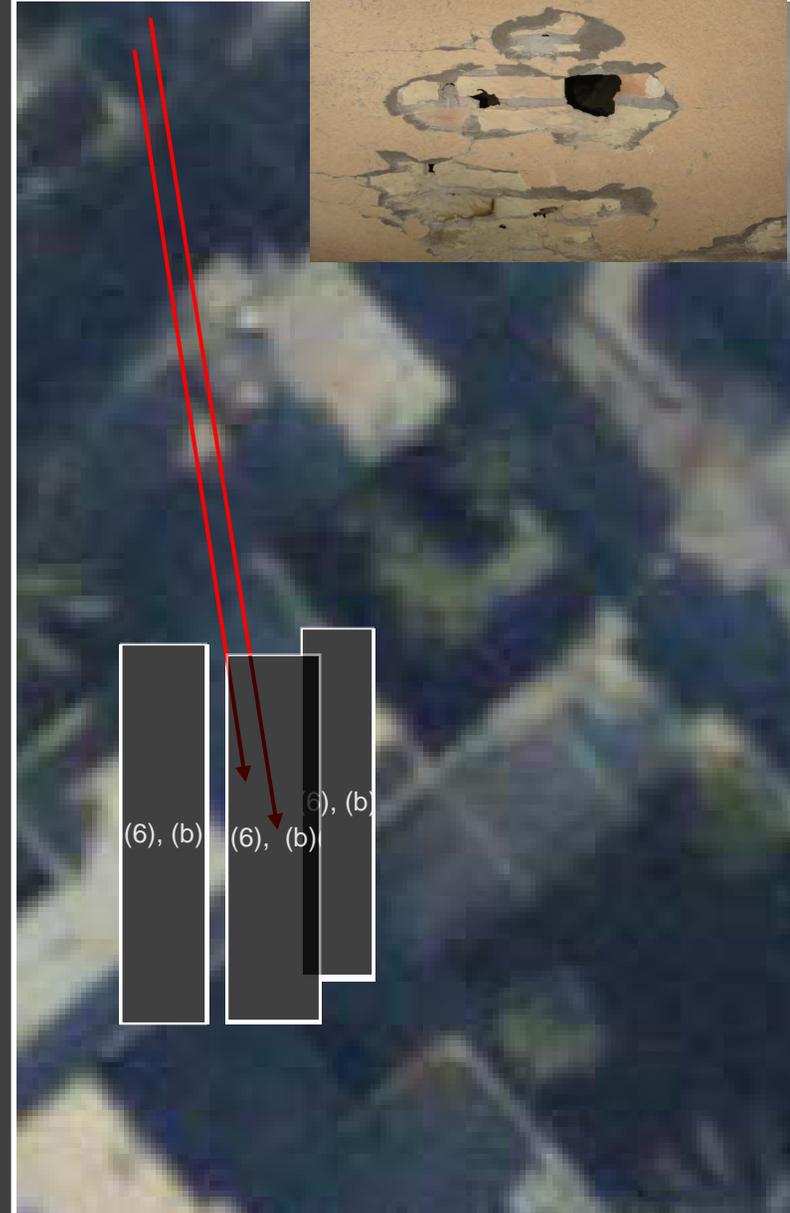


EXHIBIT J-9

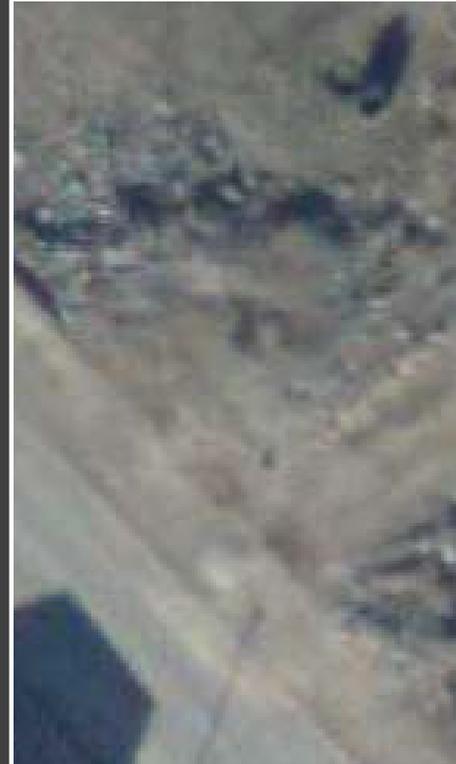
← Direction of Fire



North B



(b)(2)High



own 3<sup>rd</sup> floor

Some obs  
from rout  
Chim  
Vegetatio



SKT te

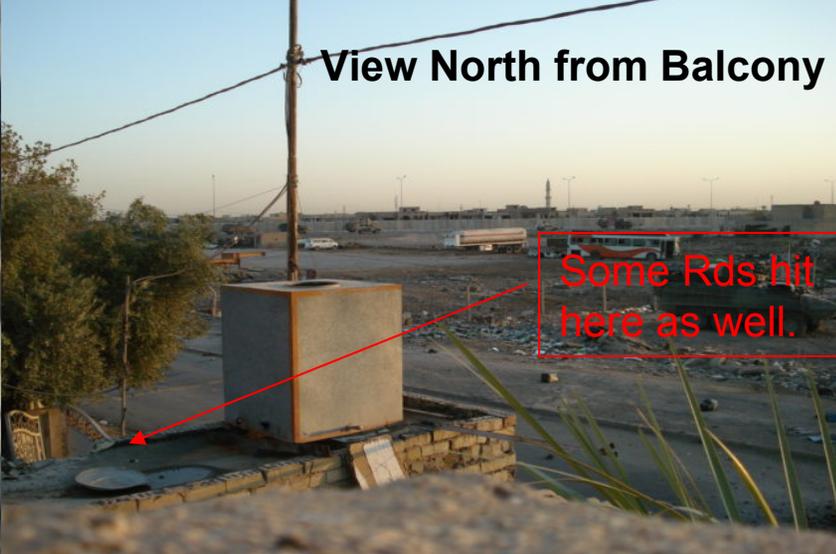
(b)(2)High



rooftop  
ations

EXHIBIT J-11

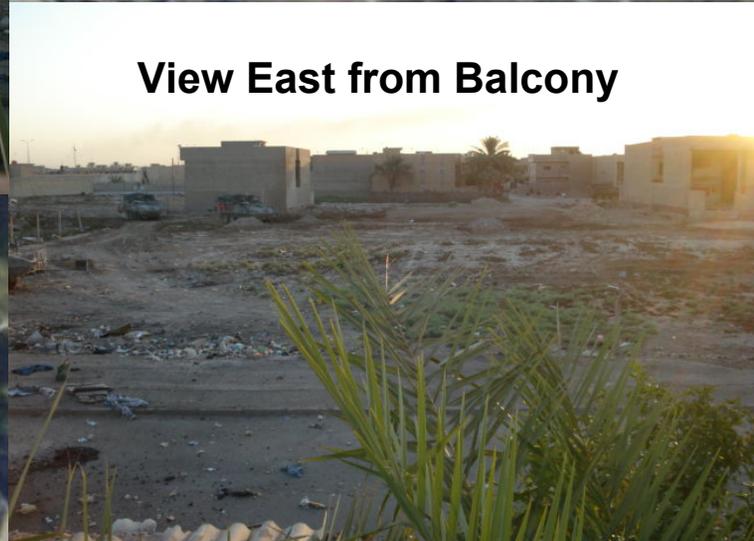
**View North from Balcony**



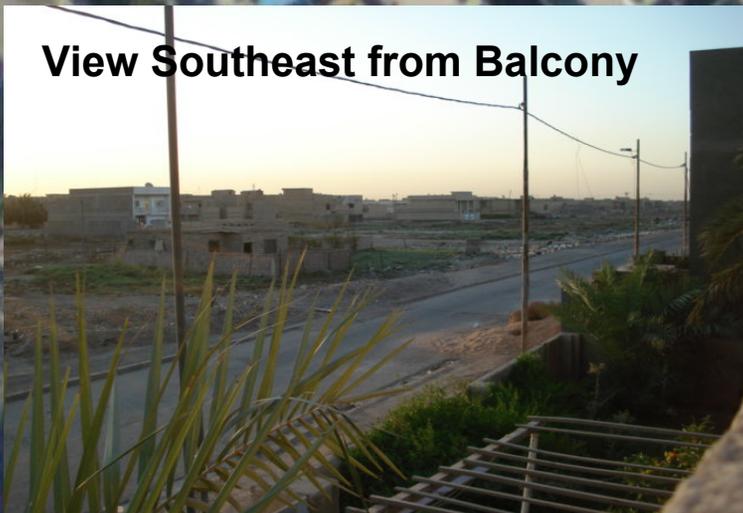
Some Rds hit here as well.



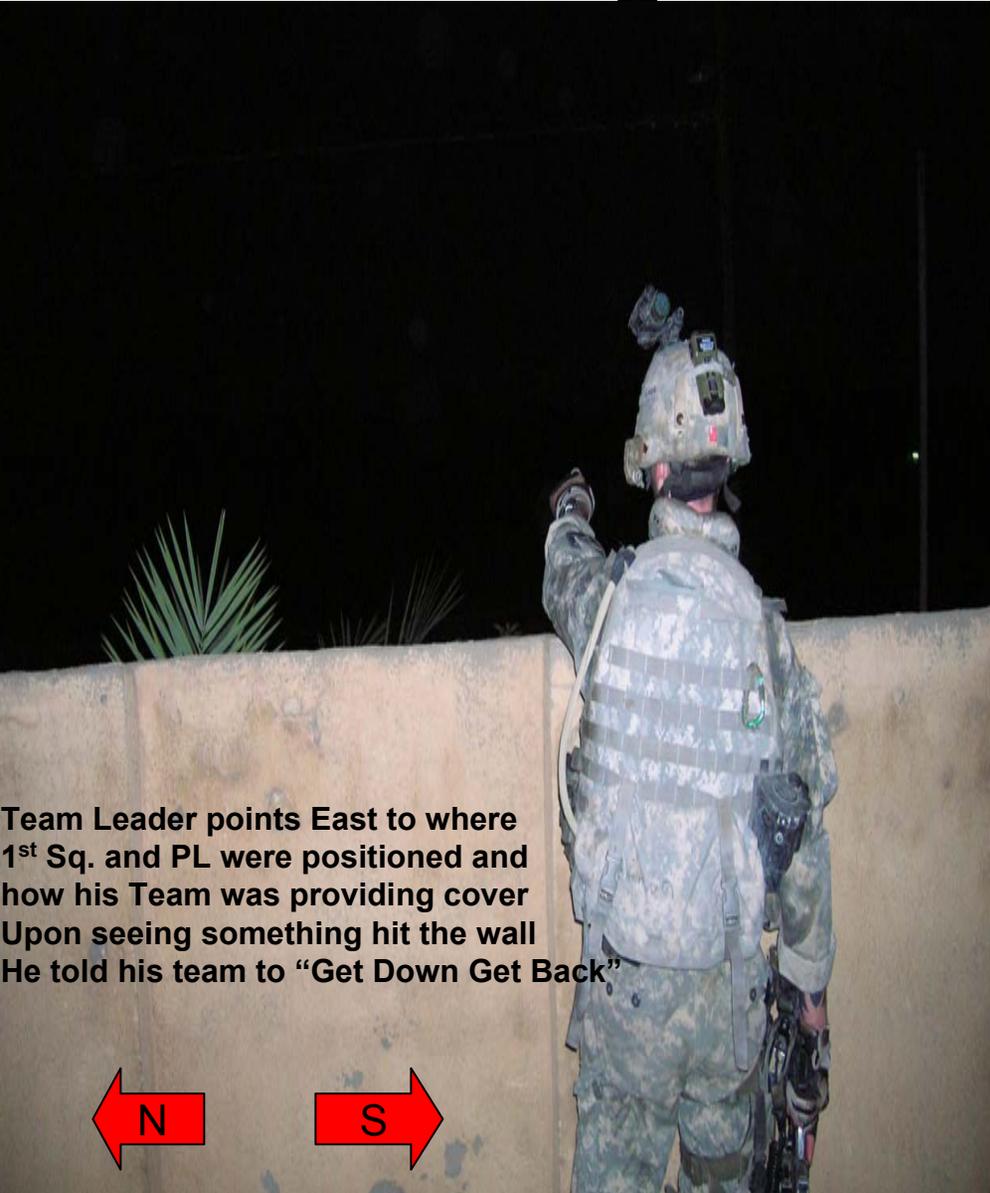
**View East from Balcony**



**View Southeast from Balcony**



# Wall Height and Angle of Rds.

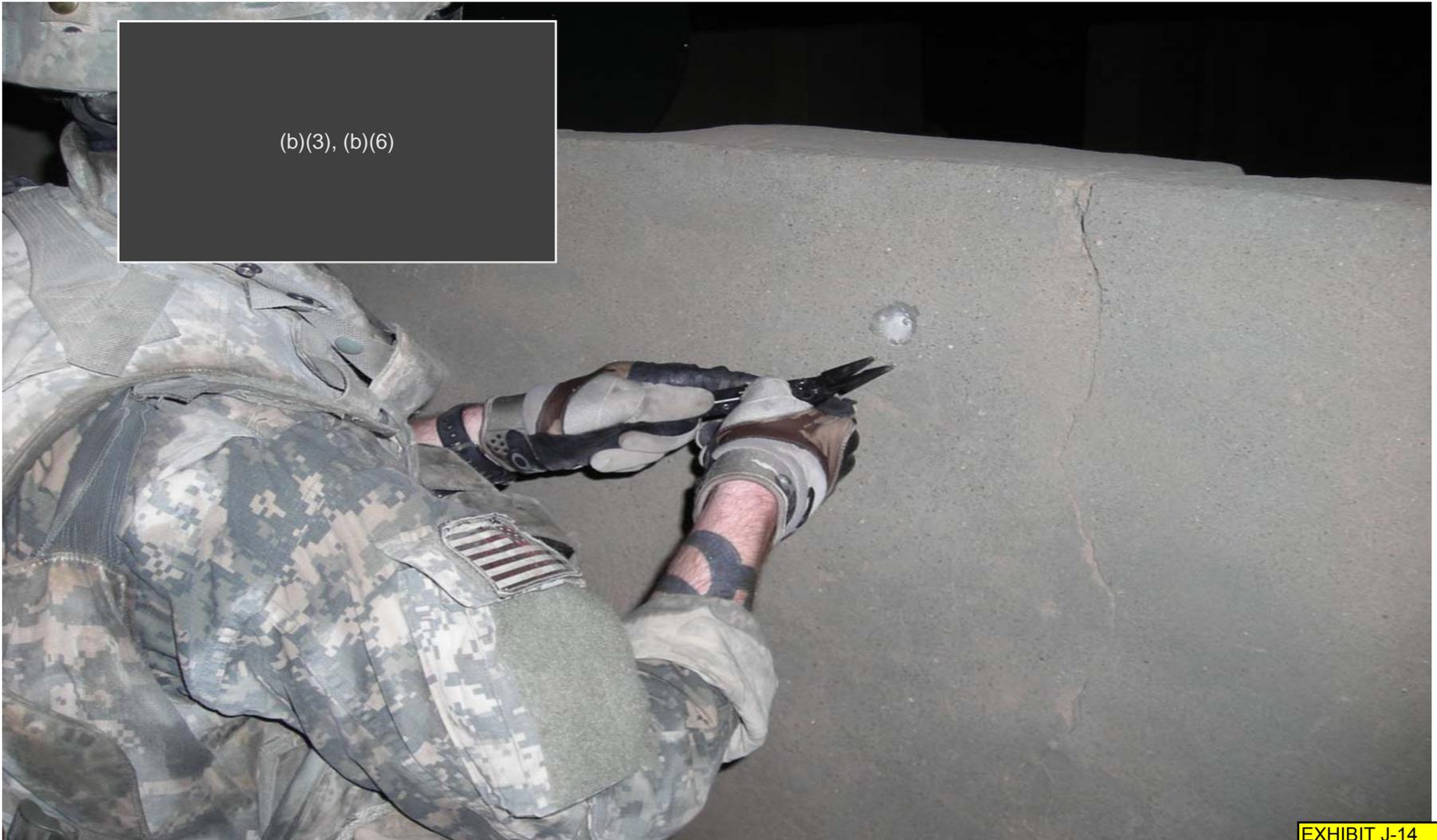


Team Leader points East to where 1<sup>st</sup> Sq. and PL were positioned and how his Team was providing cover Upon seeing something hit the wall He told his team to "Get Down Get Back"



# Bullets Pulled out of walls

(b)(3), (b)(6)



# Early Morning Pictures of Scene



# Additional North Wall Pictures

Outside North Wall

Inside North Wall from 3<sup>rd</sup> story



# **EXHIBIT K**

APPENDIX 1 (RULES OF ENGAGEMENT) as of 11 July 2007

UNCLASSIFIED

MND-B OPOD 07-02 ROE INDEX

Portion (s) Declassified IAW:  
MNF-I SCG, 31 Mar 07  
Declassified on: 16OCT07

References:

- 1. SITUATION.
- 2. MISSION.
- 3. EXECUTION.

**A. GENERAL GUIDANCE.**

- 1. US NATIONAL POLICY.
- 2. MILITARY POLICY.
  - (a) Military Necessity and Proportionality.
  - (b) Distinction.
  - (c) Military Objectives.
  - (d) Positive Identification.
  - (e) Strategically Sensitive Military Operations.
  - (f) Amount of Force.
- 3. ROE POLICY.
- 4. APPLICABILITY.
- 5. FOREIGN ROE.

K-1

**B. TARGETING.**

- 1. LETHAL STRIKE AUTHORIZATION.
  - (a) Troops in Contact (TIC) / Self-Defense.
    - (i) OSC Responsibilities.
    - (ii) Pursuit of Hostile Forces.
    - (iii) Joint Close Air Support for TIC.
    - (iv) Counterfire.
  - (b) Deliberate Targets.
    - (i) Deliberate Target Sets.
    - (ii) Designated Terrorist Organizations (DTOs).
    - (iii) Collateral Damage Categories.
    - (iv) Approval Authorities.
  - (c) Time Sensitive Targets (TST).
    - (i) Approval Authorities.
- 2. OBSERVATION.
- 3. SPECIAL INDIRECT FIRES-PLANNED.
- 4. PROTECTED COLLATERAL OBJECTS.
- 5. MNF-I RESTRICTED INDIVIDUAL AND RESTRICTED LOCATION LISTS.

**C. WEAPONS.**

- 1. MINES.
- 2. CLAYMORE MINES.
- 3. RIOT CONTROL AGENTS (RCA).
- 4. RIOT CONTROL MEANS (RCM).
  - (a) Clothing.
  - (b) Tasers.
  - (c) Stun Grenades.
  - (d) Non-Lethal Rounds.

Derived from: MNF-I SCG, 31 Mar 07  
Reason: 1.4a,  
Declassify on 20170519

UNCLASSIFIED

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## UNCLASSIFIED

(e) Long Range Acoustical Device (LRAD).

### D. INTERNATIONAL BORDERS.

1. BORDER CROSSING.
2. BORDER CROSSING DETENTION.
3. ASSISTING GOI.

### E. CORDON AND SEARCH.

### F. OPERATIONS INVOLVING MOSQUES AND OTHER RELIGIOUS PROPERTY.

1. ISF LEAD.
2. PERMISSIVE ENTRY.
3. NOTIFICATION REQUIREMENT.
4. IO COORDINATION.
5. RETURNING FIRE INTO A MOSQUE OR OTHER RELIGIOUS PROPERTY DURING TIC/SELF-DEFENSE.
6. ENTRY AND SEARCH OF MOSQUES OR OTHER RELIGIOUS PROPERTY DURING TIC/SELF-DEFENSE.
7. PREPLANNED ENTRY INTO MOSQUES OR OTHER RELIGIOUS PROPERTY.
8. PREPLANNED LETHAL STRIKES AGAINST MOSQUES OR OTHER RELIGIOUS PROPERTY.
9. DETENTION OF CLERICS OR IMAMS ON MOSQUE PROPERTY.

### G. USE OF FORCE.

1. ESCALATION OF FORCE.
2. DEADLY FORCE.
3. PROTECTION OF DESIGNATED PERSONS AND FORCES.
4. THIRD PARTY VIOLENCE.
  - (a) Murder Victims.
5. PROTECTION OF DESIGNATED PROPERTY.
6. NON-DESIGNATED PROPERTY.
7. WARNING SHOTS.

### H. DETENTION OF CIVILIANS.

1. DETENTION OF CIVILIANS.
2. DETENTION OF FEMALES.
3. DETENTION OF JUVENILES.

### I. DEFINITIONS.

### J. CANCELLED MEASURES.

### K. ADMINISTRATION AND LOGISTICS.

1. TRAINING.
2. REQUESTS FOR ROE CHANGES.

## 4. SERVICE SUPPORT.

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UNCLASSIFIED

5. COMMAND AND SIGNAL.

UNCLASSIFIED

(U) 1. ~~(S//REL)~~ DETENTION OF CIVILIANS.

(b)(1)1.4a

(b)(1)1.4a

(U) 2. ~~(S//REL)~~ DETENTION OF FEMALES.

(b)(1)1.4a

(b)(1)1.4a

(U) 3. ~~(S//REL)~~ DETENTION OF JUVENILES.

(b)(1)1.4a

(b)(1)1.4a

I. (U) DEFINITIONS.

(U) 1. ~~(S//REL)~~ COALITION FORCES (CF): Those nations who have armed forces assigned in Iraq as part of the Multi-National Force mission.

(U) 2. ~~(S//REL)~~ COLLATERAL DAMAGE (CD): CD is defined as "unintended physical damage to any non-hostile force person(s), property, or environment(s) occurring incidental to

(b)(1)1.4a

(U) 3. ~~(S//REL)~~ CONTACT: Contact, when used within the context of Troops in Contact, means that an MND-B unit is currently encountering a hostile act or demonstration of hostile

(b)(1)1.4a

(U) 4. (S//REL) CONTRABAND

(b)(1)1.4a

(b)(1)1.4a

APPENDIX 1 (RULES OF ENGAGEMENT) as of 11 July 2007

(U) 5. (S//REL) FACILITIES (Associated with Designated Terrorists): (b)(1)1.4a  
(b)(1)1.4a

6. (S//REL) FIELD COLLATERAL DAMAGE ESTIMATION (Field CDE): (b)(1)1.4a  
(b)(1)1.4a

(U) 7. (S//REL) FORMAL CDE: (b)(1)1.4a  
(b)(1)1.4a

8. (S//REL) HIGH COLLATERAL DAMAGE TARGETS: (b)(1)1.4a  
(b)(1)1.4a

(U) 9. (C) HOSTILE ACT: (b) (1) 1.4a  
(b)(1)1.4a

10. (U) HOSTILE FORCE: A hostile force is defined as any civilian, paramilitary, or military force, or terrorist(s), with or without national designation, that has committed a hostile act, exhibited hostile intent, or has been declared hostile by appropriate authority. Hostile force also includes hostile armaments, munitions, and equipment.

(U) 11. (S//REL) HOSTILE INTENT: (b)(1)1.4a  
(b)(1)1.4a

(b)(1)1.4a

14. (S//REL) IRAQI FORCES:

(b)(1)1.4a

(b)(1)1.4a

(U)

15. (S//REL) LINES OF COMMUNICATION:

(b)(1)1.4a

(b)(1)1.4a

16. (U) MILITARY NECESSITY: A Law of Armed Conflict Principle that recognizes valid military objectives as those objects, which, by their nature, use, location, or purpose offer the enemy a military advantage. Military necessity does not authorize acts otherwise prohibited by the law of war.

(U)

17. (S//REL) POSITIVE IDENTIFICATION (PID):

(b)(1)1.4a

(b)(1)1.4a

18. (U) PROPORTIONALITY: Using an amount of force such that the resulting collateral damage may not be excessive in relation to the concrete and direct military advantage to be gained.

19. ~~(S//REL)~~ RIOT CONTROL AGENTS (RCA):

(b)(1)1.4a

(b)(1)1.4a

20. (S//REL) RIOT CONTROL MEANS (RCM):

(b)(1)1.4a

(b)(1)1.4a

(U)

21. (S//REL) TERRORIST AND TERRORIST GROUPS/CELLS:

(b)(1)1.4a

(b)(1)1.4a

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(U) 22. ~~(S//REL)~~ TIME-SENSITIVE TARGETS (TSTs): [redacted] (b)(1)1.4a  
[redacted] (b)(1)1.4a

I. (U) **CANCELLED MEASURES.** All previously issued MND-B FRAGOs regarding Operation Iraqi Freedom ROE are hereby rescinded.

J. (U) **ADMINISTRATION AND LOGISTICS.**

1. (U) **TRAINING.** All commanders will ensure their personnel are trained and familiar with the Law of Armed Conflict and with these ROE.

2. (U) **REQUESTS FOR ROE CHANGES.** Commanders may submit requests for changes to these ROE, thru the MND-B Staff Judge Advocate, to the CDR, MND-B. Commanders will ensure requested changes:

- (a) (U) Remain consistent with the intent of these ROE.
- (b) (U) Result in more definitive guidance to subordinate commanders.
- (c) (U) Do not impair the commander's inherent right of self-defense.

4. (U) **SERVICE SUPPORT.** No change.

5. (U) **COMMAND AND SIGNAL.**

1. POC FOR THIS ACTION IS [redacted] (b)(3), (b)(6)  
(b)(6),b(3) OR SIPR EMAIL: [redacted] (b)(6), (b)(3)

**ACKNOWLEDGE:**

FIL  
MG

# EXHIBIT L

Exhibit L-1

DAILY STAFF JOURNAL OR DUTY OFFICER'S LOG

For use of this form, see AR 220-15: the proponent agency is Office of The Deputy Chief of Staff for Operations & Plans

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ORGANIZATION OR INSTALLATION  
B CO 2-23INF

LOCATION  
COP SPARTA

PERIOD COVERED

FROM		TO	
HOUR	DATE	HOUR	DATE
0000	14 AUG 07	0628	14 AUG 07

ITEM NO.	TIME		INCIDENTS, MESSAGES, ORDERS, ETC.	ACTION TAKEN	INL
	IN	OUT			
1	0000		(b)(2)High	DAY CHANGE	
2	0230			LOGGED/REPORTED	
3	0235			LOGGED/REPORTED	
4	0240			LOGGED/REPORTED	
5	0241			LOGGED/REPORTED	
6	0255			LOGGED	
7	0316			LOGGED	
8			B16 REPORTS TROOPS IN HEAVY CONTACT	LOGGED	
9	0405		B17 REPORTS PFC HENSEL KIA. (3), (b) HAS A BROKEN ANKLE AND ONE SPC (3), (b) LITTER URGENT	LOGGED/REPORTED	
10	0422		B07 LINKS UP WITH CASUALTIES AND SPs TO THE CSH	LOGGED/REPORTED	
11	0445		(b)(2)High	LOGGED	
12	0520			LOGGED	
13	0526		T3A REPORTS (6), (b) AND (3), (b) ARE STABLE AT CSH	LOGGED	
14	0549		B37 USED LASER ON SUSPICIOUS VEHICLE OBSERVED BY THE TOWERS MOVING NORTH UP EAST SIDE OF MECHANICS ELBOW. VEHICLE TURNED AWAY	LOGGED	
15	0550		B87 REPORTS 1 VEHICLE RECOVERED	LOGGED/REPORTED	
16	0612		T3B REPORTS (3), (b) AND (3), (b) UPDATED STATUS IS "NOT SERIOUS." ADDITIONALLY, THERE IS A 4TH CASUALTY, SPC (3), (b) 1st PLT MEDIC COMPLAINS OF BACK AND KNEE PAIN	LOGGED	
17	0613		B87 REPORTS VEHICLE RECOVERY COMPLETE.	LOGGED/REPORTED	
18	0615		(b)(2)High	LOGGED/REPORTED	
19	0628			LOGGED/REPORTED	

TYPED NAME AND GRADE OF OFFICER OR OFFICIAL ON DUTY

SIGNATURE

Exhibit L-1a



**DAILY STAFF JOURNAL OR DUTY OFFICER'S LOG**

For use of this form, see AR 220-15; the proponent agency is Office of The Deputy Chief of Staff for Operations & Plans

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ORGANIZATION OR INSTALLATION

B CO 2-23INF

LOCATION

COP SPARTA

PERIOD COVERED

FROM

TO

HOUR

DATE

HOUR

DATE

1644

14 AUG 07

1652

14 AUG 07

ITEM NO.

TIME

IN

OUT

INCIDENTS, MESSAGES, ORDERS, ETC.

ACTION TAKEN

INL

39

1644

(b)(2)High

LOGGED/ INFORMED  
TOMAHAWK X-RAY

40

1652

LOGGED/ INFORMED  
TOMAHAWK X-RAY

TYPED NAME AND GRADE OF OFFICER OR OFFICIAL ON DUTY

SIGNATURE

Exhibit L-1C

Exhibit L-2



(b)(2)High

# **EXHIBIT M**

# THE SBCT INFANTRY RIFLE PLATOON AND SQUAD



**DECEMBER 2002**

HEADQUARTERS  
DEPARTMENT OF THE ARMY

**DISTRIBUTION RESTRICTION:** Approved for public release; distribution is unlimited.

EXHIBIT M-1

# THE SBCT INFANTRY RIFLE PLATOON AND SQUAD

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## CHAPTER 2

# COMMAND, CONTROL, AND THE TROOP-LEADING PROCEDURES

*The purpose of command and control is to implement the commander's will in pursuit of the unit's objective. Command and control is both a system and a process; the essential component for both is leadership.*

*This chapter provides techniques and procedures used by infantry platoons, squads, and sections for command and control and communications. It describes troop-leading procedures (TLP), communications in combat, and operation orders. Technical enhancements in an infantry carrier vehicle-equipped platoon provide leaders with several significant improvements in command and control. Even with the technical enhancements, the platoon and squad leaders must use proven techniques of mission tactics and leadership because the vehicles will not always be in close proximity or in a position to assist in communication.*

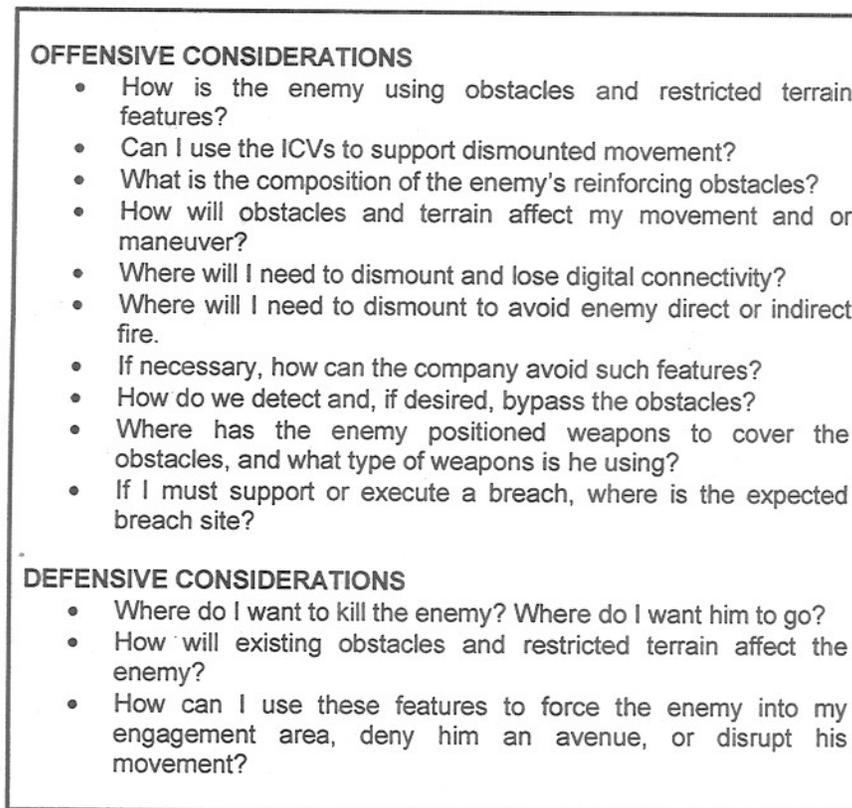
### Section I. COMMAND AND CONTROL

Command and control (C2) refers to the process of directing, coordinating, and controlling a unit to accomplish a mission. Command and control implement the commander's will in pursuit of the unit's objective. The two components of command and control are the *commander* and the *command and control system*. At platoon level, the *commander* is the platoon leader; the *command and control system* consists of the personnel, information management, procedures, and equipment the platoon leader uses to carry out the operational process (plan, prepare, execute, and assess) within his platoon.

#### 2-1. LEADERSHIP

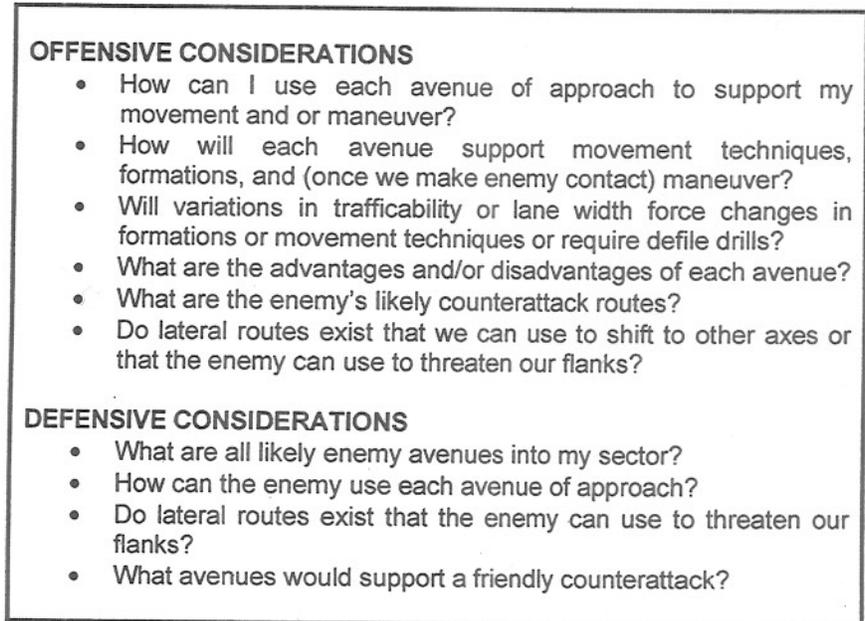
Leadership means influencing people by providing purpose, direction, and motivation to accomplish a mission (Figure 2-1, page 2-2). Leadership is the most vital component of command and control.

- a. **Purpose.** Purpose gives soldiers a *reason* to accomplish the mission.
- b. **Direction.** Direction gives them the *means* to accomplish the mission.
- c. **Motivation.** Motivation gives them the *will* to accomplish the mission.
- d. **Communications.** To command or control, leaders *must* communicate with their subordinates. Digital radios represent a significant technical improvement over previous systems. Leaders and soldiers at every level must ensure they know digital radio procedures and how to link digital systems. Soldiers quickly lose these skills, so leaders must constantly work to maintain them through sustainment training.



**Figure 2-6. Considerations in obstacle and terrain analysis.**

- **Avenues of Approach.** An avenue of approach is an air or ground route of an attacking force leading to its objective or key terrain. For each avenue of approach, the platoon leader determines the type (mounted, dismounted, air, or subterranean), size, and formation and speed of the largest unit that can travel along it; the commander may give him this information. Mounted forces may move on avenues along unrestricted or restricted terrain (or both). Dismounted avenues and avenues used by reconnaissance elements normally include terrain that is restricted and, at times, severely restricted to mounted forces. The terrain analysis also must identify avenues of approach for both friendly and enemy units. Figure 2-7 lists several considerations for avenue of approach analysis.



**Figure 2-7. Considerations in avenue of approach analysis.**

- Key Terrain. Key terrain affords a marked advantage to the combatant who seizes, retains, or controls it. The platoon leader identifies key terrain starting at the objective or main battle area and working backwards to his current position. It is a conclusion rather than an observation. The platoon leader must assess what terrain is key to accomplishing his mission. Key terrain may allow the platoon leader to apply direct fire or achieve observation of the objective (or avenue of approach).
  - An example of key terrain for a platoon could be a tree line on a hillside that provides overwatch of a high-speed avenue of approach. Controlling this tree line may be critical in passing follow-on forces (main effort) to their objective. High ground is not necessarily key terrain. A prominent hilltop that overlooks an avenue of approach and offers clear observation and fields of fire, if it is easily bypassed, is not key terrain.
  - Although unlikely, the platoon leader may identify decisive terrain--key terrain that holds such importance that the seizure, retention, and control of it will be **necessary** for mission accomplishment and may decide the outcome of the battle. A technique for evaluating key terrain is to analyze the following two military aspects of terrain (observation and fields of fire, and cover and concealment) to each piece of key terrain. Figure 2-8, page 2-14, depicts operational considerations to use when analyzing key terrain.

**OPERATIONAL CONSIDERATIONS**

- What terrain is key to the company and to the battalion and why?
- Is the enemy controlling this key terrain?
- What terrain is key to the enemy and why?
- How do I gain or maintain control of key terrain?
- What terrain is key for friendly observation, both for command and control and for calling for fires?

**Figure 2-8. Considerations in key terrain analysis.**

- **Observation and Fields of Fire.** The platoon leader analyzes areas surrounding key terrain, objectives, avenues of approach, and obstacles to determine if they provide clear observation and fields of fire for both friendly and enemy forces. He locates inter-visibility (IV) lines (terrain that inhibits observation from one point to another) that have not been identified by the commander and determines where visual contact between the two forces occurs. When analyzing fields of fire, the platoon leader focuses on both friendly and enemy direct fire capabilities. Additionally, he identifies positions that enable artillery observers to call for indirect fires and permit snipers to engage targets. Figure 2-9 provides considerations for analysis of observation and fields of fire. Whenever possible, the platoon leader conducts a ground reconnaissance from both the friendly and enemy perspective.

**OFFENSIVE CONSIDERATIONS**

- Are clear observation and fields of fire available on or near the objective for enemy observers and weapon systems?
- Where can the enemy concentrate fires?
- Where is he vulnerable?
- Where are possible SBF or ABF positions for friendly forces?
- Where are the natural TRPs?
- Where do I position indirect fire observers?

**DEFENSIVE CONSIDERATIONS**

- What locations afford clear observation and fields of fire along enemy avenues of approach?
- Where will the enemy set firing lines and or antitank weapons?
- Where will I be unable to mass fires?
- Where is the dead space in my sector? Where am I vulnerable?
- Where are the natural TRPs?
- Where do I position indirect fire observers?

**Figure 2-9. Considerations in analysis of observation and fields of fire.**

- **Cover and Concealment.** Cover is protection from the effects of fires. Concealment is protection from observation but not direct fire or indirect fires. Figure 2-10 provides considerations for analysis of cover and concealment. Consideration of these elements leads the platoon leader to identify areas that

soon as possible. For example, the platoon may discover that the ICVs and the weapons squad cannot suppress the enemy from the north side of the objective as originally planned because of terrain limitations. Therefore, the platoon leader moves the support-by-fire positions to the south side of the objective, adjusts the tentative plan's graphics, and sends the modified graphics to his commander for approval. The graphics are subsequently disseminated throughout the company and to adjacent units as needed.

b. **Movement to the Objective.** The attacking force advances to within assault distance of the enemy position under supporting fires using a combination of traveling, traveling overwatch, and or bounding overwatch. Platoons advance to successive positions using available cover and concealment. The company commander may designate support-by-fire positions to protect friendly forces with suppressive direct fires. As the company maneuvers in zone, it employs fires to suppress, neutralize, and obscure the enemy positions. The platoon conducts mounted movement to covered and concealed positions and then dismounts. If the platoon is dismounted and moving separately from the ICVs, the platoon concentrates direct and indirect fires, establishes a base of fire, and maneuvers to regain the initiative.

(1) **Assembly Area to the Line of Departure.** The LD is normally a phase line (or checkpoint) where elements of the attacking force transition to secure movement techniques in preparation for contact with the enemy. Platoons may maneuver from the LD to designated support-by-fire positions, assault positions, or breach or bypass sites. Before leaving the assembly area, the platoon leader should receive a COP update (digital) showing the location of forward and adjacent friendly elements. He should also receive updated enemy locations. The platoon leader then disseminates these reports and digital overlays to each squad leader and VC. The platoon moves forward from the assembly area to the line of departure, usually as part of a company formation, along a planned route. The platoon leader should have reconnoitered the route to the LD and specifically to the crossing point. During the planning stage, he plots a waypoint on the line of departure at the point he intends to cross. The platoon navigates to the waypoint during movement. The move from the assembly area is timed during the reconnaissance so the lead section crosses the LD at the time of attack without halting in the attack position. If the platoon must halt in the attack position, it uses a coil or herringbone formation, dismounts infantry, and takes care of last minute coordination.

(2) **Line of Departure to Assault Position.** The platoon's assault element moves from the LD to the assault position. The platoon leader plots waypoints to coincide with checkpoints along the route. During movement, he ensures the platoon navigates from checkpoint to checkpoint or phase line by using basic land navigation skills supplemented by precision navigation. The platoon leader verifies his vehicles are in the correct formation for movement.

(a) By relying on the CTD's position updates at night, the platoon leader does not have to restrict his platoon's dispersion as much, which increases his overall security posture. During movement, the platoon communicates primarily by FM radio and signals (embedded digital reports) because these are faster for the receiving station to understand and faster for the sending station to prepare.

(b) The platoon dismounts the squads at the dismount point. The vehicles move to a support position. The platoon dismounts in an area providing cover and concealment from enemy observation and direct fire as it assembles and orients itself.

#### 4-25. SEARCH AND ATTACK TECHNIQUE

The search and attack is a technique conducted when the enemy is operating as small, dispersed elements, or when the task is to deny the enemy the ability to move within a given AO. The platoon will participate as part of company or battalion search and attack. A unit conducts a search and attack for one or more of the following reasons:

- Render the enemy in the AO combat-ineffective.
- Prevent the enemy from operating unhindered in a given AO.
- Prevent the enemy from massing to disrupt or destroy friendly military or civilian operations, equipment, or facilities.
- Gain information about the enemy and the terrain.

a. **Organization of Forces.** The higher commander will task organize the subordinate units into reconnaissance, fixing, and finishing forces. He will assign specific tasks and purposes to his search and attack forces. Planning considerations for organizing include:

- The factors of METT-TC.
- The requirement for decentralized execution.
- The requirement for mutual support. (The platoon leader must be able to respond to contact with his rifle squads or his mounted sections not in contact, or to mutually support another platoon within the company.)
- Mounted or dismounted.
- The soldier's load.
- Resupply and casualty evacuation (CASEVAC).
- The employment of key weapons.
- The requirement for patrol bases.

(1) **Reconnaissance Force.** The size and composition of the reconnaissance force is based on the available information on the size and activity of the enemy operating in the designate AO. The reconnaissance force typically consists of the battalion reconnaissance platoon. However, an infantry platoon also may comprise all or part of the reconnaissance force. The platoon will reconnoiter identified named areas of interest. The platoon leader may also identify fixing and finishing elements within the platoon.

(2) **Fixing Force.** The fixing force must have sufficient combat power to isolate the enemy and develop the situation once the reconnaissance force finds him. When developing the situation, the fixing force either continues to maintain visual contact with the enemy until the finishing force arrives or conducts an attack to physically fix the enemy until the finishing force arrives. The platoon leader also may identify a finishing element within the platoon.

(a) The platoon maintains visual contact to allow the reconnaissance force to continue to other named areas of interest, and it isolates the immediate area. The fixing force makes physical contact only if the enemy attempts to leave the area or other enemy elements enter the area.

(b) The platoon attacks the enemy if that action meets the commander's intent and if he has sufficient combat power to destroy the enemy.

(3) **Finishing Force.** The finishing force must have sufficient combat power to destroy enemy forces located within the AO. The finishing force must be mobile and responsive enough to engage the enemy before he can break contact with the

reconnaissance or fixing forces. A platoon, as the finishing force, may be tasked to conduct the following:

- Destroy the enemy with an attack.
- Block enemy escape routes while another company conducts the attack.
- Destroy the enemy with an ambush while the reconnaissance or fixing forces drive the enemy toward the ambush location.

b. **Control Measures.** The higher commander will establish control measures that allow for decentralized execution and platoon leader initiative to the greatest extent possible. The minimum control measures for a search and attack are:

- Areas of operation.
- Target reference points.
- Objectives.
- Checkpoints.
- Contact points.

An AO defines the location in which the subordinate units will conduct their searches. A target reference point (TRP) facilitates the responsiveness of the fixing and finishing forces once the reconnaissance force detects the enemy. A TRP also assists in avoiding fratricide in what may be a confusing, noncontiguous environment. Objectives and checkpoints guide the movement of subordinates and help leaders control their organizations. Contact points aid coordination among the units operating within the same AO. The advance guard operates forward of the main body to ensure its uninterrupted advance. It protects the main body from surprise attack and fixes the enemy to protect the deployment of the main body. As the advance guard, the platoon finds the enemy and locates gaps, flanks, and weaknesses in his defense. The advance guard attempts to make contact on ground of its own choosing, to gain the advantage of surprise, and to develop the situation (either fight through or support the assault of all or part of the main body). The advance guard operates within the range of indirect fire support weapons. The platoon uses appropriate formations and movement techniques based on the factors of METT-TC.

#### **4-26. EXPLOITATION**

A platoon normally takes part in exploitations as part of a larger force; however, the platoon should exploit tactical success at the local level within the higher commanders' concept of the operation.

#### **4-27. PURSUIT**

The objective of the pursuit phase of an operation is the total destruction of the enemy force. The SBCT infantry platoon may take part in a pursuit as part of a larger force or, because of its organic transportation, may participate as part of a task-organized company acting as a pursuit force that can close with and destroy the remnants of the enemy force.

#### **4-28. ATTACKS DURING LIMITED VISIBILITY**

Effective use of digitized and OTN equipment during limited visibility attacks enhances squad and platoon ability to achieve surprise and cause panic in a lesser-equipped enemy. OTN enhancements allow the infantry soldier to see farther and with greater clarity. The

OTN enhancements and increased friendly and enemy information afford a marked advantage over the enemy.

a. Leaders have an increased ability to control fires during limited visibility. The platoon has three types of enhancements for use in fire control--target designators consisting of the GCP-1 and AIM-1; aiming lights consisting of the AIM-1 and AN/PAQ-4B/C; and target illuminators consisting of infrared parachute flares, infrared trip flares, infrared 40-mm rounds, infrared mortar rounds, infrared bike lights, and remote black lights. These assets greatly aid in target acquisition and fire control.

b. Soldiers with OTN enhancements have greater accuracy of fires during limited visibility. Each soldier in the SBCT platoon is equipped with an AN/PAQ-4B/C aiming light for his individual weapon. The AN/PAQ-4B/C enables the rifleman to put infrared light on the target at the point of aim.

(1) Leaders can designate targets with greater precision using the GCP-1 and AIM-1. The GCP-1 and AIM-1 are infrared laser pointers that place an infrared light to designate targets and sectors of fire and concentrate fire. The leader lases a target and directs his soldiers to place their fires on the target. The soldiers then use the aiming lights on their AN/PAQ-4B/Cs to engage the target.

(2) Leaders also can designate larger targets using target illuminators. Target illuminators are essentially infrared light sources that light the target, making it easier to acquire effectively. Target illuminators consist of infrared illumination rounds, infrared M203 40-mm rounds, infrared trip flares, and infrared parachute flares. Leaders and soldiers use the infrared devices to identify enemy or friendly personnel and then engage targets using their aiming lights.

c. The platoon leader, squad leaders, and VCs must develop SOPs and sound courses of action to synchronize the employment of infrared illumination devices, target designators, and aiming lights during their assault on the objective.

(1) Leaders use luminous tape or chemical lights to mark assault personnel to prevent fratricide. The enemy must not be able to see the marking. Two techniques are to place tape on the back of the helmet or to use small infrared chemical lights (if the enemy has no night vision devices [NVDs]). The supporting elements must know where the lead assault element is.

(2) To reduce the risk to the assault element, the platoon leader may assign weapons control restrictions. For example, the squad on the right in the assault might be given "weapons free" to the right flank because no friendly soldiers are there. However, "weapons tight" or "weapons hold" on the left means that another friendly unit is located there.

(3) The platoon leader may use the following techniques to increase control during the assault:

- Using no flares, grenades, or smoke on the objective.
- Only certain personnel with NVDs to engage targets on the objective.
- A magnetic azimuth for maintaining direction.
- Mortar or artillery rounds to orient attacking units.
- A base squad or fire team to pace and guide others.
- Reduced intervals between soldiers and squads.

d. Mortar, artillery, and antiarmor fires are planned as in a daylight attack. However, they are not fired unless the platoon is detected or is ready to assault. Some weapons may

fire before the attack and maintain a pattern to deceive the enemy or to help cover noise made by the platoon's movement. This is not done if it will disclose the attack.

(1) Indirect fire is hard to adjust when visibility is poor. If the exact location of friendly units is not clearly known, indirect fire is directed first at enemy positions beyond the objective and then moved onto the objective.

(2) Illuminating rounds that are fired to burn on the ground can be used to mark objectives. This helps the platoon orient on the objective but also may adversely affect NVDs.

e. Smoke is planned to further reduce the enemy's visibility, particularly if he has NVDs. The smoke is laid close to or on enemy positions so it does not restrict friendly movement or hinder the breaching of obstacles. Employing smoke on the objective during the assault may make it hard for assaulting soldiers to find enemy fighting positions. If enough thermal sights are available, smoke on the objective may provide a decisive advantage for a well-trained platoon.

f. Illumination is always planned for limited visibility attacks, giving the leader the option of calling for it. Battalion commanders normally control the use of conventional illumination but may authorize the company commander to do so. If the commander decides to use conventional illumination, he should not call for it until the assault is initiated or the attack is detected. It should be placed on several locations over a wide area to confuse the enemy as to the exact place of the attack. Also, it should be placed beyond the objective to help assaulting soldiers see and fire at withdrawing or counterattacking enemy soldiers

**NOTE:** If the enemy is equipped with NVDs, leaders must evaluate the risk of using each technique and ensure the mission is not compromised because the enemy can detect infrared light sources.

### **Section VII. SPECIAL PURPOSE ATTACKS**

The platoon will conduct a special attack at the direction of the company commander. The commander will base his decision on the factors of METT-TC. Special purpose attacks are subordinate forms of an attack and they include--

- Ambush.
- Raid.
- Counterattack.
- Spoiling attack.
- Feint.
- Demonstration.

As forms of the attack, they share many of the same planning, preparation, and execution considerations of the offense. Feints and demonstrations are also associated with military deception operations.

#### **4-29. AMBUSH**

An ambush is a form of attack by fire or other destructive means from concealed positions on a moving or temporarily halted enemy. It may take the form of an assault to close with and destroy the enemy, or it may be an attack-by-fire only, executed from concealed positions. An ambush does not require that ground be seized or held.

#### 4-35. SEIZE

Seizing an objective is complex and entails closure with the enemy, under fire of his weapons, to the point that the assaulting force gains positional advantage over or destroys the enemy.

a. A platoon may seize prepared or unprepared enemy positions from either an offensive or defensive posture. Examples include:

- A platoon seizes the far side of an obstacle as part of a company breach.
- A platoon seizes a portion of an enemy defense as part of a company deliberate attack.
- A platoon seizes key terrain to prevent its use by the enemy.

b. There are many inherent dangers in seizing an objective: deadly enemy fires; a rapidly changing operational environment; the requirement to execute a dismounted assault; and the possibility of fratricide when friendly forces converge. Taken together, these factors dictate that the platoon leader and subordinate leaders understand the following planning considerations.

(1) Developing a clear and current picture of the enemy situation is very important. The platoon may seize an objective in a variety of situations, and the platoon leader will often face unique challenges in collecting and disseminating information on the situation. For example, if the platoon is the seizing force during a company deliberate attack, the platoon leader may be able to develop an accurate picture of the enemy situation during the planning and preparation for the operation. He can concentrate on developing thorough FRAGOs to issue new information to the platoon as needed.

(2) In another instance, the platoon leader may have to develop his picture of the enemy situation during execution. He must rely more heavily on reports from units in contact and on his own development of the situation. In this type of situation, such as when the platoon is seizing an enemy combat security outpost during a movement to contact, the platoon leader must plan on relaying information as it develops. He uses clear, concise FRAGOs to explain the enemy situation and give directives to subordinates. He must know how to develop and issue these orders quickly under the pressures of the battlefield environment.

(3) In either type situation, the platoon leader and his subordinate leaders must be adaptive and make necessary adjustments to the scheme of maneuver based on the available information. This will help to ensure they overcome the enemy they will actually face on the ground and not merely a templated enemy force.

(4) Seizing an objective entails closure with the enemy to gain positional advantage over him, controlling the terrain, and the removal of all enemy forces or the elimination of organized resistance. The platoon may have to use both mounted and dismounted maneuver to gain the advantage and seize the objective. Factors influencing the platoon leader's decision to combine mounted and dismounted elements to seize the objective include the following.

(a) *Mission Analysis.* The company commander's intent and concept likely will dictate how the platoon maneuvers to the objective.

(b) *Enemy Antitank Capabilities.* The presence of antitank assets on or around the objective will put the ICVs at risk. The preferred COA is to destroy or suppress the enemy's antitank assets and allow the ICVs to support the assault.

c. The platoon leader must plan for and implement indirect fire support in his plan.



(1) The company or platoon uses smoke to isolate the targeted enemy force and to hinder the enemy as he attempts to reposition or reinforce his forces.

(2) The company or platoon uses suppressive indirect fires to prevent adjacent or reserve enemy elements from engaging the assaulting force.

(3) To protect the approaching assault force, the company or platoon uses indirect fires to suppress or destroy the enemy on the objective area.

d. While serving as the assault force in a company deliberate attack, the platoon may have to conduct an assault breach of the enemy's protective obstacles to gain access to the objective area. Protective obstacles normally are integrated with existing obstacles and restricted terrain.

e. In most circumstances, the company sets the conditions for the platoon to seize the objective. The purpose of this effort is to achieve an acceptable superior force ratio for the assaulting platoon. If the platoon is seizing an objective as part of a company attack, other platoons in the company normally will be responsible for suppressing the enemy on the objective area from designated support-by-fire positions. These platoons may be the same support forces that protected the breach force. Terrain factors may require them to reposition to provide effective support for the assault force. If the platoon is seizing an objective that is not part of a company deliberate attack it may have to establish its own support-by-fire positions to suppress the enemy and protect its assault force. Regardless of who provides support by fire (another platoon or internal elements), the platoon must always integrate the principles of fire and movement (maneuver) when executing the assault.

f. The platoon normally uses an ASLT PSN, the last covered and concealed position short of the objective, when the platoon is the assault force in a company deliberate attack. It can use an ASLT PSN along with a PLD, or it may use a PLD in lieu of an assault position. Actions at the assault position or the PLD could include these critical functions:

- Verify current friendly and enemy situations using tactical reports from platoon or company support-by-fire forces.
- Issue FRAGOs and disseminate information to the lowest level.
- Confirm TRPs and direct-fire responsibilities.
- Position field artillery observers.
- Conduct final prepare-to-fire checks.
- Reorganize to compensate for combat losses.

#### 4-36. CLEAR

The platoon may be tasked with clearing an objective area during an attack to facilitate the movement of the remainder of the company, or the platoon may be assigned clearance of a specific part of a larger objective area. Infantry platoons normally are best suited to conduct clearance operations, which in many cases will involve working in restricted terrain. Situations in which the platoon may conduct the clearance tactical task include the following (refer to FM 90-10 and FM 3-06.11 [FM 90-10-1] for a detailed discussion of urban combat):

- Clearing a defile, including choke points in the defile and high ground surrounding it.
- Clearing a heavily wooded area.

- Clearing a built-up or strip area.
- Clearing a road, trail, or other narrow corridor, which may include obstacles or other obstructions on the actual roadway as well as in the surrounding wooded and built-up areas.

a. **General Terrain Considerations.** The platoon leader must consider several important terrain factors in planning and executing the clearance task.

(1) Observation and fields of fire may favor the enemy. To be successful, the attacking force must neutralize this advantage by identifying dead spaces where the enemy cannot see or engage friendly forces. It should also identify multiple support-by-fire positions that are necessary to support a complex scheme of maneuver covering the platoon's approach, the actual clearance task, and maneuver beyond the restricted terrain.

(2) Cover and concealment normally are abundant for infantry elements, but are scarce for trail-bound vehicles. Lack of cover leaves vehicles vulnerable to ATGM fires.

(3) Obstacles influence the maneuver of any vehicle entering the objective area. The narrow corridors, trails, or roads associated with restricted terrain can be easily obstructed with wire, mines, and log cribs.

(4) Key terrain may include areas dominating the objective area, approaches, or exits, as well as any terrain dominating the area inside the defile, wooded area, or built-up area.

(5) Avenues of approach will be limited. The platoon must consider the impact of canalization and estimate how much time will be required to clear the objective area.

b. **Restricted Terrain Considerations.** Conducting clearance in restricted terrain is both time consuming and resource intensive. During the planning process, the platoon leader evaluates the tactical requirements, resources, and other considerations for each operation.

(1) During the approach, the platoon leader focuses on moving combat power into the restricted terrain and posturing it to start clearing the terrain. The approach ends when the rifle squads complete their preparations to conduct an attack.

(a) The platoon leader establishes support-by-fire positions.

(b) He destroys or suppresses any known enemy positions to allow forces to approach the restricted terrain.

(c) He provides more security by incorporating suppressive indirect fires and obscuring or screening smoke.

(d) The platoon leader provides support by fire for the rifle squads. He prepares to support the rifle squads from their dismount points to where they enter the restricted terrain using--

- High ground on either side of a defile.
- Wooded areas on either side of a trail or road.
- Buildings on either side of a road in a built-up area.
- Movement of rifle squads along axes to provide cover and concealment.

(2) Clearance begins as the rifle squads begin their attack in and around the restricted terrain. Examples of where this maneuver may take place include:

- Both sides of a defile, either along the ridgelines or high along the walls of the defile.
- Along the wood lines parallel to a road or trail.
- Around and between buildings on either side of the roadway in a built-up area.

\* (3) The following apply during clearance:

(a) The squads provide a base of fire to allow the weapons squad to bound to a new support-by-fire position. This cycle continues until the entire area is cleared.

(b) Direct-fire plans should cover responsibility for both horizontal and vertical observation and direct fire.

(c) Squads should clear a defile from the top down and should be oriented on objectives on the far side of the defile.

(d) Dismounted engineers with manual breaching capability should move with the rifle squads. Engineers also should move with the overwatching element to reduce obstacles.

(4) The platoon must secure the far side of the defile, built-up area, or wooded area until the company moves forward to pick up the fight beyond the restricted terrain. If the restricted area is large, the platoon may be directed to assist the passage of another element forward to continue the clearance operation. The platoon must be prepared to--

- Destroy enemy forces.
- Secure the far side of the restricted terrain.
- Maneuver mounted elements to establish support-by-fire positions on the far side of the restricted terrain.
- Support by fire to protect the deployment of the follow-on force assuming the fight.
- Suppress any enemy elements that threaten the company while it exits the restricted terrain.
- Disrupt enemy counterattacks.
- Protect the obstacle reduction effort.
- Maintain observation beyond the restricted terrain.
- Integrate indirect fires as necessary.

c. **Enemy Analysis.** Careful analysis of the enemy situation is necessary to ensure the success of clearing. The enemy evaluation should include the following:

- Enemy vehicle location, key weapons, and infantry elements in the area of operations.
- Type and locations of enemy reserve forces.
- Type and locations of enemy OPs
- The impact of the enemy's NBC and or artillery capabilities.

d. **Belowground Operations.** Belowground operations entail clearing enemy trenches, tunnels, basements, and bunker complexes. The platoon's base-of-fire element and the maneuvering squads must maintain close coordination. The weapons squad focuses on protecting the squads as they clear the trench line or maneuver to destroy individual or vehicle positions. The base-of-fire element normally concentrates on destroying key surface structures (especially command posts and crew-served weapons bunkers) and the suppression and destruction of enemy vehicles. As noted previously, the direct-fire plan (refer to Appendix F) must be thoroughly developed and rehearsed to ensure it will facilitate effective mutual support while preventing fratricide.

(1) The platoon must establish a base of fire to allow the rifle squads to dismount and then maneuver or enter the trench line, tunnel, basement, or bunker. The direct-fire plan must be thoroughly developed and rehearsed to ensure it will facilitate effective protection for the infantry while preventing fratricide.

(2) The platoon leader also must consider specific hazards associated with the platoon or supporting weapon systems. An example is the downrange hazard for the dismounted rifle squads created by the Javelins or MGS.

(2) The platoon should consider using restrictive fire measures to protect converging forces and other direct-fire control measures, such as visual signals, to trigger the requirement to lift, shift, or cease direct fires. Techniques for controlling direct fires during trench, tunnel, basement, and bunker clearance may include attaching a flag to a pole carried by the soldier who follows immediately behind the lead clearing team; using panels to mark cleared bunkers, tunnels and basements; and using visual signals to indicate when to lift or shift fires.

(3) Once the rifle squads enter the belowground area, the combined effects of the platoon's assets place the enemy in a dilemma. Every action the enemy takes to avoid direct fire from the support-by-fire element, such as maintaining defiled positions or abandoning bunker complexes, leaves him vulnerable to attack from the rifle squads maneuvering down the trench. Conversely, when enemy vehicles move to avoid the attacking squads or when the enemy's infantry elements stay in bunkers or command posts, they expose themselves to support fires.

(4) Consolidation consists of actions taken to secure the objective and defend against an enemy counterattack. The platoon leader must plan and prepare for consolidation. He ensures the platoon is ready to--

- Eliminate enemy resistance on the objective.
- Establish security beyond the objective by securing areas that may be the source of enemy direct fires or enemy artillery observation.
- Establish additional security measures such as OPs and patrols.
- Prepare for and assist the passage of follow-on forces (if required).
- Continue to improve security by conducting other necessary defensive actions. (These steps, which are outlined in Chapter 5 of this manual, include engagement area development, direct-fire planning, and battle position (BP) preparation.)
- Adjust the established FPF (if required).
- Protect the obstacle reduction effort.
- Secure EPWs.

(5) Reorganization, normally conducted concurrently with consolidation, consists of actions taken to prepare for follow-on operations. As with consolidation, the platoon leader must plan and prepare for reorganization as he conducts his TLP. He ensures the platoon is prepared to--

- Provide essential medical treatment and evacuate casualties as necessary.
- Cross-level personnel and adjust task organization as required.
- Conduct resupply operations, including rearming and refueling.
- Redistribute ammunition.
- Conduct required maintenance.

#### **4-37. SUPPRESS**

The platoon maneuvers to a position on the battlefield from which it can observe the enemy and engage him with direct and indirect fires. The purpose of suppressing is to prevent the enemy from effectively engaging friendly forces with direct fires or observed

Leadership: Influencing people to accomplish a mission by providing—	PURPOSE	The <i>reason</i> to accomplish the mission.
	DIRECTION	The <i>means</i> to accomplish the mission.
	MOTIVATION	The <i>will</i> to accomplish the mission.

Figure 2-1. Elements of leadership.

## 2-2. MISSION-ORIENTED COMMAND AND CONTROL

The mission-oriented command and control method of directing military operations both encourages and helps subordinates to act within the intent and concept of both the battalion and company commanders. Mission-oriented C2 requires that subordinate elements clearly understand the purpose and commander's intent (two levels up). This allows them the freedom to respond, with disciplined initiative, to the changing situation without further guidance. With mission-oriented C2, the platoon leader must--

a. **Expect Uncertainty.** The platoon leader must understand the impact of and the manner in which capability impacts on the environment of combat. Dynamic battle conditions, an (obviously) uncooperative enemy, and the chaos--the noise and confusion--of battle challenge the platoon leader's ability to know what is happening in his immediate area of operations (AO). Through the collection of data and information, he must try to understand and envision the evolving battle beyond his personal knowledge and senses. Using all of his personal, technical, and tactical resources helps him to develop the situation and reduce the "fog of battle." Information alone cannot develop the entire situation. The situation the leader anticipates during the planning phase many times will change, which requires flexible, dynamic leadership during the execution of current operations.

b. **Reduce Leader Intervention.** Control stifles initiative. When soldiers expect the platoon or squad leader to make every decision or initiate every action, they may become reluctant to act. To counter this tendency, the platoon leader must plan and direct operations in a manner that requires a minimum of intervention. The platoon leader must operate on the principle that trained subordinates with a clear understanding of the mission will accomplish the task.

c. **Optimize Planning Time for Subordinates.** The platoon leader must ensure that the timelines he develops for mission planning and preparation provide adequate troop-leading time for the subordinate elements.

d. **Allow Maximum Freedom of Action for Subordinates.** Given the expected battlefield conditions, leaders at every level must avoid unnecessary limits on their soldiers' freedom of action. The leader at the decisive point must have the knowledge, training, and freedom to make the correct choice in support of both the battalion and company commanders' intent. This concept must be emphasized at every opportunity and at every level of leadership. Soldiers win battles. Their leaders can only place them in a position where they can seize the opportunity to do so.

e. **Encourage Cross Talk.** Squad and team leaders sometimes need no guidance from the platoon leader in order to address a change in the situation. In some instances, because of their position on the battlefield, two or more subordinates working together may have on-site information that enhances the platoon leader's understanding of the situation, thus providing the clearest view of what is happening. This becomes critical to

the platoon leader as he develops the tactical solution. This type of problem solving involving direct coordination between subordinate elements is critical to mission-oriented C2.

f. **Lead Well Forward.** The platoon leader positions himself where he can best employ his platoon and make critical decisions to influence the outcome of the fight. He normally chooses a position with the main effort. This way, he can control his elements and, at the same time, support or draw resources from the main effort as needed. From his far forward position, he can use all of the available technology and personal resources to "see" the battlefield. In addition to visual observation, intelligence resources also include radio reports and, if available, information provided via the FBCB2 system. The platoon sergeant is positioned where he can best accomplish his tasks and be able to assume command of the platoon rapidly, if needed.

g. **Maintain the Common Operational Picture.** The commander structures the battlefield based on his intent and on the factors of METT-TC. How he does this affects the platoon leader's mission planning and his ability to assess the situation and make tactical decisions. The framework of the battlefield can vary from one extreme to the other and with many variations. At one extreme, the battlefield could have obvious front and rear boundaries and closely tied adjacent units. At the other, it could consist of a dispersed, decentralized structure with few secure areas and unit boundaries and no definable front or rear boundary. Maintaining the COP becomes more difficult as the battlefield loses structure. Modern, highly mobile operations involving small forces lend themselves to a less rigid framework. To "see" the battlefield accurately, the platoon leader must know the friendly situation one level higher. Whenever possible, he shares what he knows with the section and squad leaders. The platoon leader also must know the terrain and weather and the enemy situation. He must picture enemy and friendly elements through time as well as picture how the terrain will affect their actions. Analyzing the situation (gaining and understanding the situation)--

- Includes having an understanding of relevant terrain, an understanding of the relationship between friendly and enemy forces, and the ability to correlate battlefield events as they develop.
- Helps leaders form logical conclusions, make decisions that anticipate future events and information, and, if time is short, conduct TLP as fast as possible.
- Provides a basis for platoon leaders, platoon sergeants, section leaders, and squad leaders to make sound, quick, tactical decisions.
- Reduces fratricide.

**NOTE:** The platoon leader must understand the situation and commander's intent two levels higher than his own. However, he must know the real-time battlefield situation in detail for his immediate higher level (company).

## **Section II. PLANS AND ORDERS**

Plans are the basis for any mission. To develop his plan (concept of the operation), the platoon leader summarizes how best to accomplish his mission within the scope of the commander's intent two levels up. The platoon leader uses TLP to turn the concept into a fully developed plan and to prepare a concise, accurate OPORD. He assigns additional

tasks (and outlines their purpose) for subordinate elements, allocates available resources, and establishes priorities to make the concept work.

The following discussion covers important aspects of orders development and serves as an introduction to the discussion of the troop-leading procedures. This section focuses on the mission statement and the commander's intent, which provide the doctrinal foundation for the OPORD. It also includes a basic discussion of the three types of orders (warning orders [WARNOs], OPORDs, and FRAGOs) used by the platoon leader. The platoon leader and his subordinates must have a thorough understanding of the building blocks for everything else that he does.

### 2-3. MISSION STATEMENT

The platoon leader uses the mission statement to summarize the upcoming operation. This brief paragraph (sometimes a single sentence) describes the type of operation, the unit's tactical task and purpose, the actions to be taken, and the reasons for these actions. It is written based on the five "Ws:" who (unit), what (tasks), when (date-time group), where (grid location or geographical reference for the area of operations or objective), and why (purpose). The platoon leader must ensure that the mission is thoroughly understood by all leaders and soldiers two echelons down. The following considerations apply in development of the mission statement:

a. **Operations.** Operations are groupings of related activities in four broad categories: offense, defense, stability, and support.

b. **Tasks.** Tactical tasks are specific activities performed by the unit while it is conducting a form of tactical operation or a choice of maneuver. (The title of each task can also be used as an action verb in the unit's mission statement to describe actions during the operation.) Tasks should be definable, attainable, and measurable. Tactical tasks that require specific tactics, techniques, and procedures (TTP) for the platoon are covered in detail throughout this manual. Figure 2-2 gives examples of tactical tasks the platoon and its subordinate elements may be called upon to conduct. Refer to FM 1-02 (FM 101-5-1) for definition of the tactical task listed in Figure 2-2.

Destroy
Disrupt
Fix
Suppress
Block
Support by fire
Attack by fire
Interdict
Canalize
Seize
Secure
Clear
Isolate
Breach
Follow and support
Follow and assume
Retain
Reduce

Figure 2-2. Examples of tactical tasks.

c. **Purpose.** A simple, clearly stated purpose tells subordinates why the platoon is conducting the mission and how the platoon will operate with or provide support for other units.

d. **Placement in OPORD.** The platoon leader has several options as to where in the OPORD he outlines his subordinates' tasks and purpose. His main concern is that placement of the mission statement should assist subordinate leaders in understanding the task and purpose and each of the five "W" elements exactly. Figure 2-3 shows an example of a mission statement the platoon leader might include in his order:

<b>EXAMPLE:</b>	
3rd Platoon (Who performs the task?) attacks to seize (What is the task?) the bridge at (NX330159) (Where do they perform the task?) at 040600Z FEB 01 (When do they perform the task) to pass the 1st Platoon (company main effort) on to OBJ BOB (Why must they perform the task?).	
<i>Or, broken out into the five W format:</i>	
Who	3rd Platoon.
What	Seize.
Where	The bridge at (NX330159).
When	At 040600Z FEB 01.
Why	To pass the 1st Platoon (company main effort) on to OBJ BOB.

**Figure 2-3. Example mission statement.**

**2-4. COMBAT ORDERS**

Combat orders are the means by which the platoon leader receives and transmits information from the earliest notification that an operation will occur through the final steps of execution. Warning orders, operations orders, and fragmentary orders are absolutely critical to mission success. In a tactical situation, the platoon leader and subordinate leaders work with combat orders on a daily basis, and they must have precise knowledge of the correct format for each type of order. At the same time, they must ensure that every soldier in the platoon understands how to receive and respond to the various types of orders. The skills associated with orders are highly perishable; therefore, the platoon leader must take every opportunity to train the platoon in the use of combat orders with realistic practice.

a. **Warning Order.** Platoon leaders alert their platoons by using a WARNO during the planning for an operation. Warning orders also initiate the platoon leader's most valuable time management tool--the parallel planning process. The platoon leader may issue a series of warning orders to his subordinate leaders to help them prepare for new missions. The directions and guidelines in the WARNO allow subordinates to begin their own planning and preparation activities.

(1) The content of warning orders is based on two major variables: information available about the upcoming operation and special instructions. The information usually comes from the company commander. The platoon leader wants his subordinates to take appropriate action, so he normally issues his warning orders either as he receives additional orders from the company or as he completes his own analysis of the situation.

(2) In addition to alerting the unit to the upcoming operation, warning orders allow the platoon leader to issue tactical information incrementally and, ultimately, to shorten the length of the actual OPORD. Warning orders do not have a specific format, but one technique to follow is the five-paragraph OPORD format. Table 2-1 shows an example of how the platoon leader might use warning orders to alert the platoon and provide initial planning guidance.

PLATOON LEADER'S ACTION	POSSIBLE CONTENT OF WARNING ORDER	PLATOON LEADER'S PURPOSE
Receive the company warning order	Warning order #1 covers: Security plan. Movement plan. Tentative timeline. Standard drills to be rehearsed.	Prepare squads and vehicles for movement to the tactical assembly area. Obtain map sheets.
Conduct METT-TC analysis	Warning order #2 covers: Friendly situation. Enemy situation. Terrain analysis. Platoon mission.	Initiate squad-level mission analysis. Initiate generic rehearsals (drill- and task-related). Prepare for combat.
Develop a plan	Warning order #3 covers: Concept of the operation. Concept of fires. Subordinate unit tasks and purposes. Updated graphics.	Identify platoon-level reconnaissance requirements. Direct leader's reconnaissance. Prepare for combat.

Table 2-1. Example of multiple warning orders.

b. **Operations Order.** The OPORD is the five-paragraph directive issued by a leader to subordinates for the purpose of effecting the coordinated execution of an operation. When time and information are available, the platoon leader will normally issue a complete OPORD as part of his troop-leading procedures; however, after issuing a series of WARNOs, he does not need to repeat information previously covered. He can simply review previously issued information or brief the changes or earlier omissions. He then will have more time to concentrate on visualizing his concept of the fight for his subordinates. As noted in his warning orders, the platoon leader also may issue an execution matrix either to supplement the OPORD or as a tool to aid in the execution of the mission; however, the matrix order technique does not replace a five-paragraph OPORD.

c. **Fragmentary Order.** A FRAGO is a brief oral or written order. A written FRAGO can follow the five-paragraph OPORD structure; however, it includes only the information required for subordinates to accomplish their mission. To enhance understanding of oral FRAGOs, digitally equipped units can quickly develop hasty graphics and transmit digital overlays. The platoon leader uses a FRAGO to--

- Communicate changes in the enemy or friendly situation.
- Task subordinate elements based on changes in the situation.
- Implement timely changes to existing orders.
- Provide pertinent extracts from more detailed orders.

- Provide interim instructions until he can develop a detailed order.
- Specify instructions for subordinates who do not need a complete order.

### Section III. TROOP-LEADING PROCEDURES

The troop-leading procedures begin when the platoon leader receives the first indication of an upcoming mission; they continue throughout the operational process (plan, prepare, execute, and assess). The TLP comprise a sequence of actions (Figure 2-4) that help platoon leaders use available time effectively and efficiently to issue orders and execute tactical operations. TLPs are not a hard and fast set of rules. They are a guide that must be applied consistent with the situation and the experience of the platoon leader and his subordinate leaders. The tasks involved in some actions (such as initiate movement, issue the WARNO, and conduct reconnaissance) may recur several times during the process. The last action (activities associated with supervising and refining the plan) occurs continuously throughout TLP. The following information concerning the TLP assumes that the platoon leader will plan in a time-constrained environment. As such, the suggested techniques are oriented to help a platoon leader quickly develop and issue a combat order.

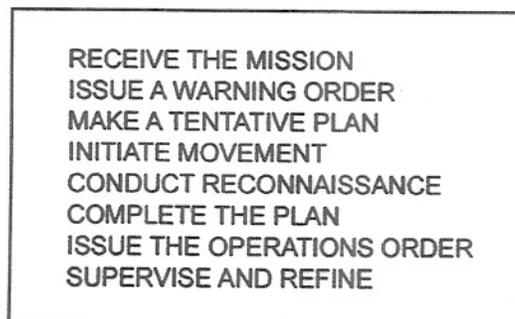


Figure 2-4. Troop-leading procedures.

#### 2-5. RECEIVE THE MISSION

This step begins with the receipt of an initial WARNO from the company. It may begin when the platoon leader receives the commander's OPORD, or it may result from a change in the overall situation. Receipt of mission initiates the planning and preparation process so that the platoon leader can prepare an initial WARNO as quickly as possible. At this stage of the TLP, mission analysis should focus on determining the unit's mission and the amount of available time. For the platoon leader, mission analysis is essentially the analysis of the factors of METT-TC, but he must not become involved in a detailed METT-TC analysis. This will occur after issuing the initial WARNO.

#### 2-6. ISSUE A WARNING ORDER

After the platoon leader determines the platoon's mission and gauges the time available for planning, preparation, and execution, he immediately issues an oral WARNO to his subordinates. In addition to telling his subordinates of the platoon's new mission, the WARNO also gives them the platoon leader's planning timeline. The platoon leader relays all other instructions or information that he thinks will assist the platoon in preparing for the new mission. Such information includes information about the enemy,

the nature of the overall plan, and specific instructions for preparation. Most importantly, by issuing the initial WARNO as quickly as possible, the platoon leader enables his subordinates to begin their own planning and preparation while he begins to develop the platoon operation order. This is called parallel planning.

## 2-7. MAKE A TENTATIVE PLAN

After receiving the company OPORD (or FRAGO), the platoon leader develops a tentative plan. The process of developing this plan in a time-constrained environment usually has four steps: mission analysis, course of action development, course of action analysis, and course of action selection. The platoon leader relies heavily on the company commander's METT-TC analysis. This allows the platoon leader to save time by focusing his analysis effort on areas that effect his plan. Typically, a platoon leader will develop one course of action (COA). If more time is available, he may develop more than one. If he develops more than one COA, he will need to compare these COAs and select the best one.

a. **Mission Analysis.** This is a continuous process during the course of the operation. It requires the platoon leader to analyze all the factors of METT-TC in as much depth as time and quality of information will allow. The factors of METT-TC are not always analyzed sequentially. How and when the platoon leader analyzes each factor depends on when information is made available to him. One technique for the analysis is based on the sequence of products that the company commander receives and produces: *mission, terrain and weather, enemy, troops, time, and civil considerations*. The platoon leader can streamline his analysis effort by using his digital capability to access products, produced at the battalion or brigade, to fill in gaps he identifies. As a result of this analysis, the platoon leader must develop significant conclusions about how each element will affect mission accomplishment.

(1) **Analysis of Mission.** Leaders at every echelon must have a clear understanding of the mission, intent, and concept of the operation of the commanders one and two levels higher. Without this understanding, it would be difficult to exercise disciplined initiative. One technique to quickly understand the operation is to draw a simple sketch of the battalion and company's concepts of the operation (if not provided by the commander). The platoon leader now can understand how his platoon is nested into the overall plan, and can capture this understanding in his restated mission statement. The platoon leader will write a restated mission statement using his analyses of these areas: the battalion mission, intent, and concept; the company mission, intent, and concept; identification of specified, implied, and essential tasks; identification of risks; and any constraints.

(a) **Battalion Mission, Intent, and Concept.** The platoon leader must understand the battalion commander's concept of the operation. He identifies the battalion's task and purpose, and how his company is contributing to the battalion's fight. The platoon leader also must understand the battalion commander's intent found in the friendly forces paragraph (paragraph 1b) of the company order.

(b) **Company Mission, Intent, and Concept.** The platoon leader must understand the company's concept of the operation. He identifies the company's task and purpose, as well as his contribution to the company's fight. The platoon leader must clearly understand the commander's intent from the order (paragraphs 2 and 3a). Additionally,

the platoon leader identifies the task, purpose, and disposition for all adjacent maneuver elements under company control.

(c) *Platoon Mission.* The platoon leader finds his platoon's mission in the company's concept of the operation paragraph. The purpose of the main effort platoon usually matches the purpose of the company. Similarly, supporting effort platoons' purposes must relate directly to the purpose of the main effort platoon. The platoon leader must understand how his purpose relates to the other platoons in the company. He determines the platoon's essential tactical task to successfully accomplish his given purpose. Finally, he must understand why the commander gave his platoon a particular tactical task and how it fits into the company's concept of the operation.

(d) *Constraints.* Constraints are restrictions placed on the platoon leader by the commander to dictate action or inaction, thus restricting the freedom of action the platoon leader has for planning by stating the things that must or must not be done. The platoon leader identifies all of the constraints the commander places on the unit's ability to execute its mission. The two types of constraints are: requirements for action (for example, maintain a squad in reserve) and prohibitions of action (for example, do not cross PL BULL until authorized).

(e) *Identification of Tasks.* The platoon leader must identify and understand the tasks required to accomplish the mission. There are three types of tasks: specified, implied and essential.

- **Specified Tasks.** These are tasks specifically assigned to a platoon by the commander. Paragraphs 2 and 3 from the company OPORD state specified tasks. Specified tasks may also be found in annexes and overlays.
- **Implied Tasks.** These are tasks that must be performed to accomplish a specified task, but which are not stated in the OPORD. Implied tasks are derived from a detailed analysis of the OPORD, the enemy situation, the courses of action, and the terrain. Analysis of the platoon's current location in relation to future areas of operation as well as the doctrinal requirements for each specified task also might provide implied tasks. SOP tasks are not considered implied tasks.
- **Essential Tasks.** An essential task is one that must be executed to accomplish the mission derived from a review of the specified and implied tasks.

(f) *Identification of Risks.* Risk is the chance of injury or death for individuals and damage to or loss of vehicles and equipment. Risk, or the potential for risk, is always present in every combat and training situation the platoon faces. Risk management must take place at all levels of the chain of command during every operation; it is an integral part of tactical planning. The platoon leader, his NCOs, and all other platoon soldiers must know how to use risk management, coupled with fratricide avoidance measures, to ensure that the mission is executed in the safest possible environment within mission constraints. (Refer to Appendix C for a detailed discussion of risk management and Appendix D for a discussion of fratricide avoidance.)

(g) *Restated Platoon Mission Statement.* The platoon leader restates his mission statement using the five W's: who, what, when, where, and why. The "who" is the platoon. The "what" is the type of operation and the platoon's essential tactical task. The "when" is given in the OPORD. The "where" is the objective or location taken from the

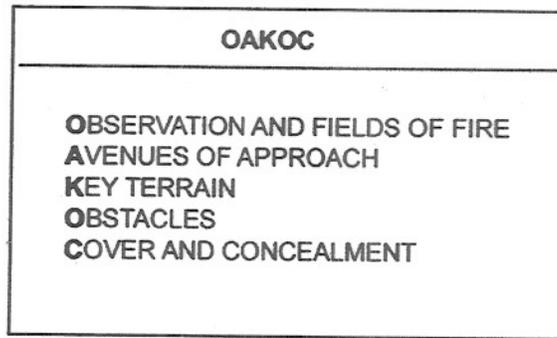
OPORD. The “why” is the purpose for the platoon’s essential tactical task taken from the commander’s concept of the operations paragraph.

(2) *Analysis of Terrain and Weather*. The platoon leader must conduct a detailed analysis of the terrain to determine how it will uniquely affect his unit and the enemy he anticipates fighting. The platoon leader must gain an appreciation of the terrain before attempting to develop either enemy or friendly COA. He must exceed merely making observations (for example, this is high ground, this is an avenue of approach); he must arrive at significant conclusions about how the ground will affect the enemy as well as his unit. Because of limited planning time, the platoon leader normally prioritizes his terrain analysis. For example, in the conduct of an assault, his priority may be the area around the objective followed by the platoon’s specific axis leading to the objective.

(a) Terrain mobility is classified in one of three categories.

- **Unrestricted.** This is terrain free of any movement restrictions; no actions are required to enhance mobility. For mechanized forces, unrestricted terrain is typically flat or moderately sloped, with scattered or widely spaced obstacles such as trees or rocks. Unrestricted terrain generally allows wide maneuver and offers unlimited travel over well-developed road networks. Unrestricted terrain is an advantage in situations requiring rapid movement.
- **Restricted.** This terrain hinders movement to some degree, and units may need to detour frequently. Restricted terrain may cause difficulty in maintaining optimal speed, moving in some types of combat formations, or transitioning from one formation to another. This terrain typically encompasses moderate to steep slopes or moderate to dense spacing of obstacles such as trees, rocks, or buildings. The terrain may not require additional assets or time to traverse, but it may hinder movement to some degree due to increased security requirements. In instances when security is the paramount concern, both friendly and enemy elements may move in more restricted terrain that may provide more cover and concealment.
- **Severely Restricted.** This terrain severely hinders or slows movement in combat formations unless some effort is made to enhance mobility. It may require a commitment of engineer forces to improve mobility or a deviation from doctrinal tactics, such as using a column rather than a wedge formation or moving at speeds much slower than otherwise preferred. Severely restricted terrain includes any terrain that requires equipment not organic to the unit to cross (for example, a large body of water and slopes requiring mountaineering equipment).

(b) The military aspects of terrain (OAKOC), Figure 2-5, are used to analyze the ground. The sequence used to analyze the military aspects of terrain can vary. The platoon leader may prefer to determine *Obstacles* first, *Avenues of Approach* second, *Key Terrain* third, *Observation and Fields of Fire* fourth, and *Cover and Concealment* last. For each aspect of terrain, the platoon leader determines its effect on both friendly and enemy forces. These effects translate directly into conclusions that can be applied to either friendly or enemy courses of action.



**Figure 2-5. Military aspects of terrain.**

- **Obstacles.** The platoon leader first identifies existing and reinforcing obstacles in his AO that limit his mobility with regards to the mission. Existing obstacles are typically natural terrain features present on the battlefield. These may include ravines, gaps or ditches over 3 meters wide, tree stumps and large rocks over 18 inches high, forests with trees 8 inches or greater in diameter and with less than 4 meters between tree, and man-made obstacles such as towns or cities. Reinforcing obstacles are typically man-made obstacles that augment existing obstacles. These may include minefields, antitank ditches, road craters, abatis and log cribs, wire obstacles, and infantry strongpoints. Figure 2-6, page 2-12, lists several offensive and defensive considerations the platoon leader can include in his analysis of obstacles and restricted terrain.

# EXHIBIT N

# Vehicle Manning, Order of Movement and Screening Position Order along Route

Senators

0235 14 Aug. 2007

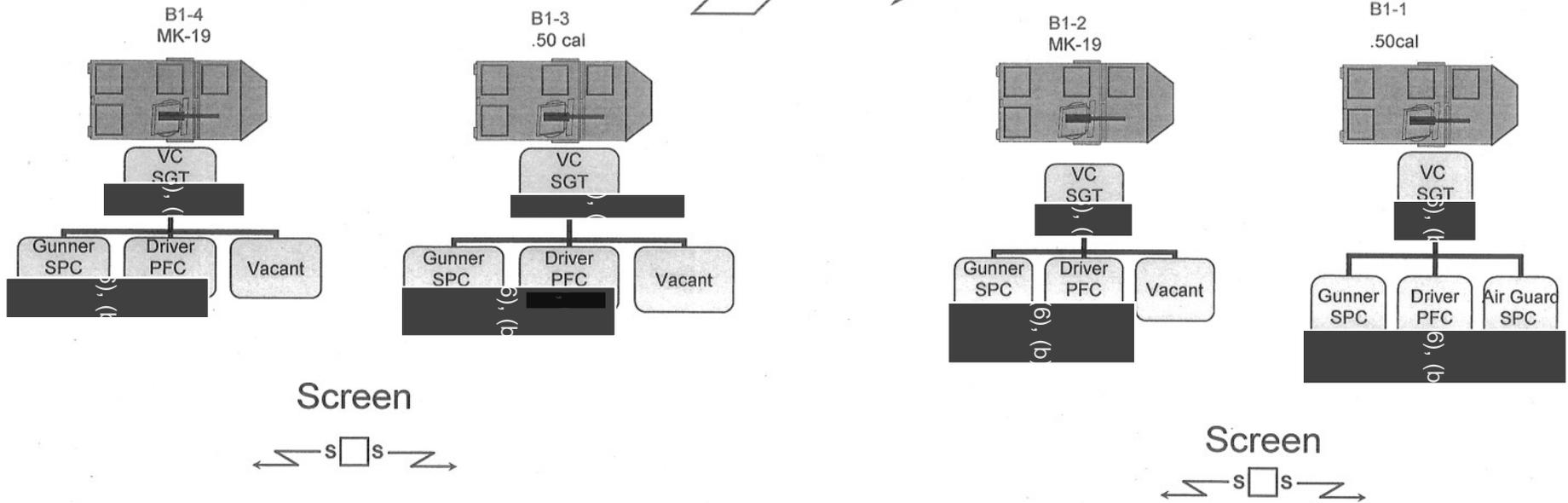
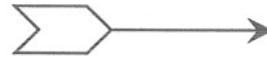


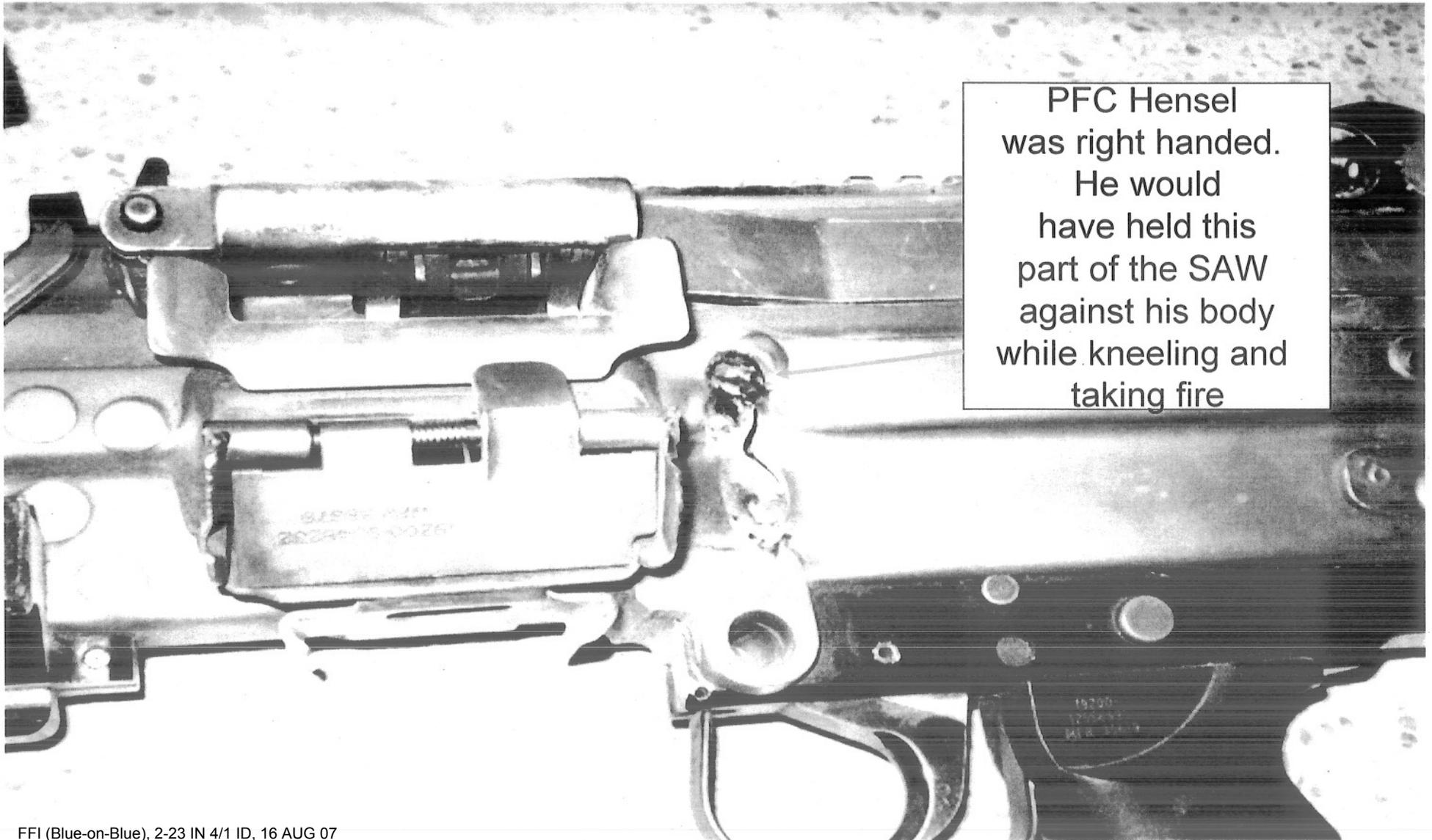
Exhibit N

# EXHIBIT O

# PFC Shawn Hensel's SAW

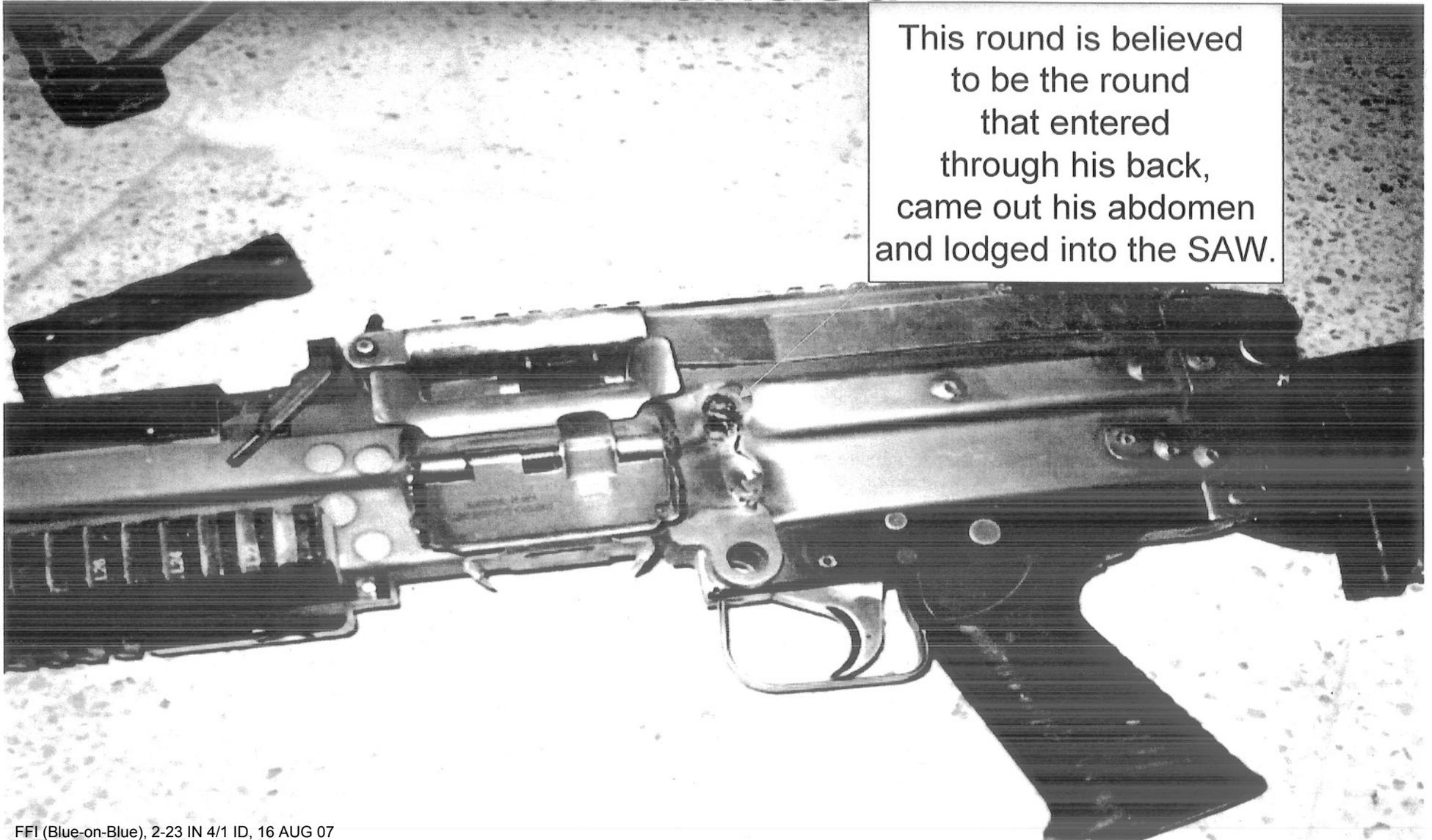


# PFC Hensel's SAW continued



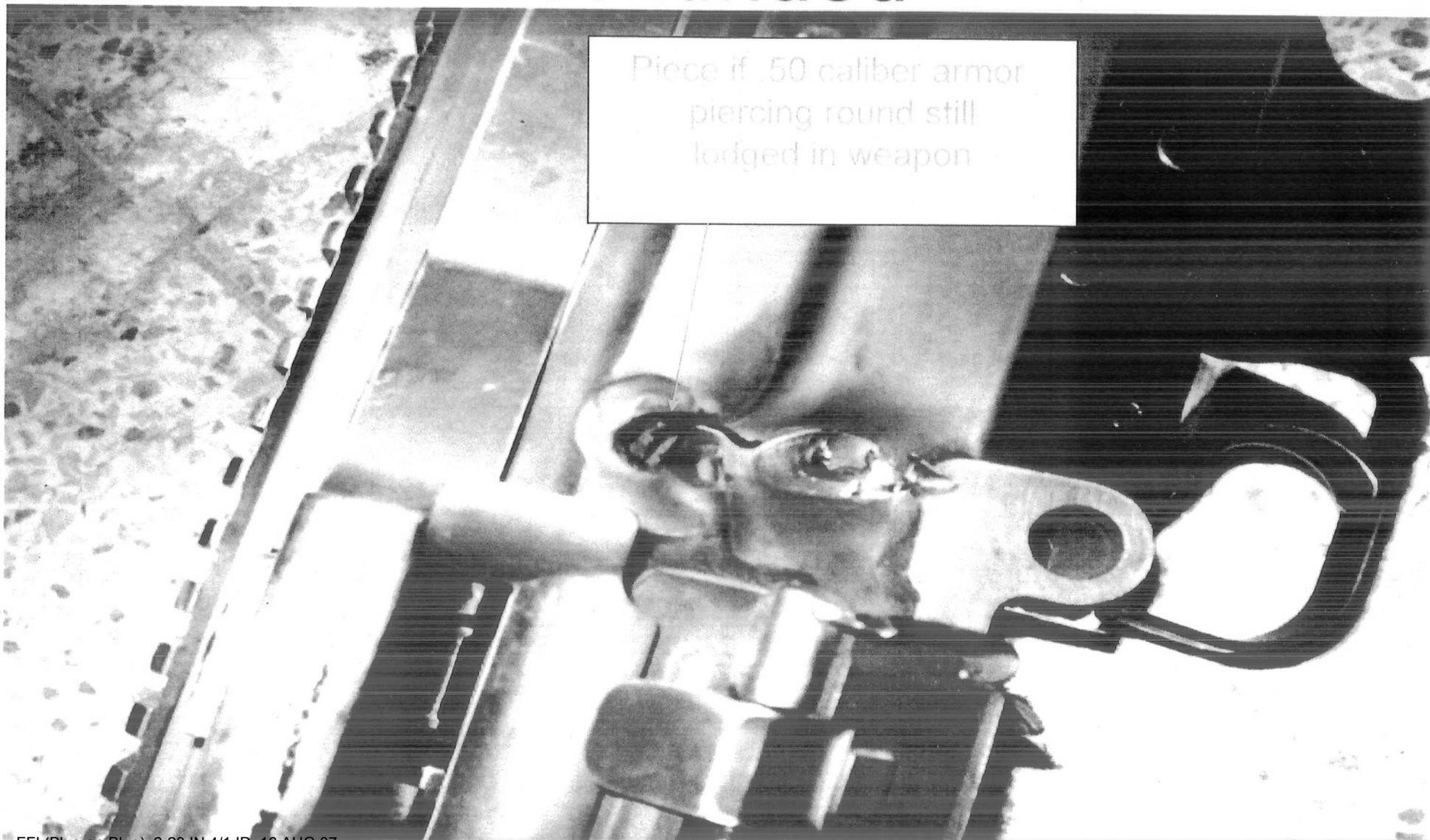
PFC Hensel was right handed. He would have held this part of the SAW against his body while kneeling and taking fire

# PFC Shawn Hensel's SAW continued



This round is believed to be the round that entered through his back, came out his abdomen and lodged into the SAW.

# PFC Shawn Hensel's SAW continued



# EXHIBIT P



DEPARTMENT OF THE ARMY  
HEADQUARTERS AND HEADQUARTERS COMPANY  
4TH INFANTRY BRIGADE COMBAT TEAM (IBCT)  
MND-B, FOB FALCON, IRAQ APO AE 09361

REPLY TO  
ATTENTION OF:

AFZN-BC-RED TEAM

25 August 2007

MEMORANDUM FOR RECORD

SUBJECT: Interviews of Squad Leaders for 1st Platoon, B Company, 2-23 Infantry about Platoon Pre-Combat Checks and Pre-Combat Inspections Prior to Platoon Mission on 14 August 2007

1. Purpose. The purpose of this memorandum is to clarify the pre-combat checks (PCCs) and pre-combat inspections (PCIs) referenced in my investigative report concerning the friendly fire incident involving 1st Platoon, B Company, 2-23 Infantry. This memorandum is based upon my personal conversations with the squad leaders of 1st Platoon, B Company, 2-23 Infantry.

2. Discussion.

a. Based on my conversations with squad leaders in 1<sup>st</sup> Platoon, Bravo Company, the squad leaders stated that they performed pre-combat inspections after the squad order on 13 August 2007. They stated that some of the items they checked were ID Tags/cards, ammo, sapi plates, night vision devices function check, water in camel pack, weapons function check, squad radio checks, and IR Strobe check. They also asked their subordinates about the last time batteries were changed in their equipment – the subordinates had to show the squad leaders their spare batteries. The squad leaders also checked the red warning light on their Soldier's Night Vision goggles – a red light indicates that the batteries are weak. The Squad Leaders asked the Soldiers about the squad mission and the specific tasks each squad needed to perform.

b. The Soldiers also preformed their own pre-combat checks and equipment checks prior to them coming out of their rooms and into the hallway. The Team Leaders also checked their teams about 10 minutes prior to the squad leaders' inspections.

c. The Platoon Leader and Platoon Sergeant did spot checks on Soldiers prior to the mission. The Platoon Sergeant specifically examined Individual First Aid Kits and the medic A-Bag.

d. The vehicle commanders did a check on sensitive items and other critical equipment prior to the movement. They also conducted one final check of Radio communications prior to movement to the start point.

AFZN-BC-RED TEAM

SUBJECT: Interviews of Squad Leaders for 1st Platoon, B Company, 2-23 Infantry about Platoon Pre-Combat Checks and Pre-Combat Inspections Prior to Platoon Mission on 14 August 2007

d. While out with the platoon, I viewed the Stryker Load plan contents and the location of certain items and equipment. The Load plan did not change much from vehicle to vehicle. The Mission Essential Equipment was maintained and orderly.

### 3. Sleep Plan

a. On 12 August 2007 and 24 hours prior to the mission the Platoon suffered 4 heat casualties from a prior mission. It was recommended by the medic that the Platoon get at least eight hours of sleep before they receive the next mission. The Chain of Command agreed with the recommendation and all soldiers were instructed to go to bed and not get up until they had at least 8 hours sleep. Some soldiers got ten or nine hours of sleep; one soldier even said he was able to sleep for twelve hours, but most slept for eight. Some soldiers said they could only get five hours of sleep only because they were pre-conditioned to getting only a few hours sleep a night. Most of the platoon was awake by 1100 13 Aug 2007. They ate some lunch and were told of the upcoming mission. The Soldiers also talked about naps between 1100 in the morning till 2300 that night. The naps lasted about an hour on average. When the mission start time had been pushed back from 0030 to 0230, many Soldiers also took some "cat naps" prior to the mission.

4. If you have any further questions or concerns, please contact the undersigned at (b)(6)

(b)(6), (b)(3)

LTC, IN  
4-1 ID Red Team