



DEPARTMENT OF THE ARMY  
HEADQUARTERS, 2ND BATTALION, 12TH INFANTRY REGIMENT  
4TH INFANTRY BRIGADE COMBAT TEAM  
FOB FALCON, IRAQ APO AE 09361

REPLY TO  
ATTENTION OF:

AFZC-B-I-CDR

24 July 2007

MEMORANDUM FOR Commander, 4th Infantry Brigade Combat Team, Multinational  
Division-Baghdad, FOB Falcon, Baghdad, Iraq, APO AE 09361

SUBJECT: Battalion Commanders Recommendation - AR 15-6 Investigation (RE: The  
shootings of 2 Local Nationals – D Co, 2-12 IN.)

The findings and recommendations of the investigating officer should be:

Approved.

Disapproved.

Approved, with the following exceptions/substitutions: \_\_\_\_\_

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(b)(3),(b)(6)

24 2007



DEPARTMENT OF THE ARMY  
BRIGADE OPERATIONAL LAW TEAM  
HEADQUARTERS, 4TH INFANTRY BRIGADE COMBAT TEAM  
FOB FALCON, IRAQ APO AE 09361

REPLY TO  
ATTENTION OF:

AFZN-BC-JA

22 July 07

MEMORANDUM FOR COMMANDER, 4 IBCT, MND-B, FOB Falcon, Iraq APO AE  
09361

SUBJECT: Legal Review of AR 15-6 Investigation Re: US Forces (2-12 IN) Engaging  
Local Nationals Digging at a Tier One Spot on 28 2300 JUN 2007

1. Legal Review. In accordance with AR 15-6, paragraph 2-3(b), I have reviewed the  
AR 15-6 investigation into the facts and circumstances involving the kinetic engagement  
of three digging local nationals on 28 2300 JUN 2007 in (b)(2)High

(b)(5)

2. Appointing Authority Action. In accordance with AR 15-6, paragraph 2-3(a), the  
appointing authority is neither bound nor limited by the findings or recommendations of  
an investigation. Accordingly, the appointing authority may take one of several actions  
in this case:

a. Adopt the findings and recommendations as they are and take action not  
inconsistent with the recommendations.

b. Require the investigating officer to conduct a further investigation and resubmit  
findings of fact and recommendations.

c. Substitute findings or recommendations as the appointing authority believes are  
supported by a preponderance of the evidence.

AFZN-BC-JA

SUBJECT: Legal Review of AR 15-6 Investigation Re: US Forces (2-12 IN) Engaging  
Local Nationals Digging at a Tier One Spot on 28 2300 JUN 2007

3. Recommendation. [redacted] (b)(5)

[redacted] (b)(5)

4. The POC for is the undersigned at s: [redacted] (b)(2) or

[redacted] (b)(3),(b)(6) @us.army.mil. Please call if there are any questions.

[redacted] (b)(3),(b)(6)

CPT, JA  
Operational Law Attorney

**REPORT OF PROCEEDINGS BY INVESTIGATING OFFICER/BOARD OF OFFICERS**

For use of this form, see AR 15-6; the proponent agency is OTJAG.

IF MORE SPACE IS REQUIRED IN FILLING OUT ANY PORTION OF THIS FORM, ATTACH ADDITIONAL SHEETS

**SECTION I - APPOINTMENT**

Appointed by COL (b)(3),(b)(6) (Appointing authority)

on 03 July 2007 (Date) (Attach inclosure 1: Letter of appointment or summary of oral appointment data.) (See para 3-15, AR 15-6.)

**SECTION II - SESSIONS**

The (investigation) (board) commenced at FOB Falcon, Baghdad Iraq at 0930 (Place) (Time)

on 03 July 2007 (Date) (If a formal board met for more than one session, check here . Indicate in an inclosure the time each session began and ended, the place, persons present and absent, and explanation of absences, if any.) The following persons (members, respondents, counsel) were present: (After each name, indicate capacity, e.g., President, Recorder, Member, Legal Advisor.)

The following persons (members, respondents, counsel) were absent: (Include brief explanation of each absence.) (See paras 5-2 and 5-8a, AR 15-6.)

The (investigating officer) (board) finished gathering/hearing evidence at 1000 on 17 July 2007 (Time) (Date) and completed findings and recommendations at 1200 on 17 July 2007 (Time) (Date)

**SECTION III - CHECKLIST FOR PROCEEDINGS**

**A. COMPLETE IN ALL CASES**

		YES	NO <sup>1/</sup>	NA <sup>2/</sup>
1	Inclosures (para 3-15, AR 15-6)			
	Are the following inclosed and numbered consecutively with Roman numerals: (Attached in order listed)			
	a. The letter of appointment or a summary of oral appointment data?	X		
	b. Copy of notice to respondent if any? (See item 9, below)			X
	c. Other correspondence with respondent or counsel, if any?			X
	d. All other written communications to or from the appointing authority?			X
	e. Privacy Act Statements (Certificate, if statement provided orally)?	X		
	f. Explanation by the investigating officer or board of any unusual delays, difficulties, irregularities, or other problems encountered (e.g., absence of material witnesses)?			X
	g. Information as to sessions of a formal board not included on page 1 of this report?			X
	h. Any other significant papers (other than evidence) relating to administrative aspects of the investigation or board?			X

FOOTNOTES: <sup>1/</sup> Explain all negative answers on an attached sheet.

<sup>2/</sup> Use of the NA column constitutes a positive representation that the circumstances described in the question did not occur in this investigation. (b)(3),(b)(6)

		YES	NO <sup>1/</sup>	NA <sup>2/</sup>
2	Exhibits ( <i>para 3-16, AR 15-6</i> )			
	a. Are all items offered ( <i>whether or not received</i> ) or considered as evidence individually numbered or lettered as exhibits and attached to this report?	X		
	b. Is an index of all exhibits offered to or considered by investigating officer or board attached before the first exhibit?	X		
	c. Has the testimony/statement of each witness been recorded verbatim or been reduced to written form and attached as an exhibit?	X		
	d. Are copies, descriptions, or depictions ( <i>if substituted for real or documentary evidence</i> ) properly authenticated and is the location of the original evidence indicated?	X		
	e. Are descriptions or diagrams included of locations visited by the investigating officer or board ( <i>para 3-6b, AR 15-6</i> )?	X		
	f. Is each written stipulation attached as an exhibit and is each oral stipulation either reduced to writing and made an exhibit or recorded in a verbatim record?	X		
	g. If official notice of any matter was taken over the objection of a respondent or counsel, is a statement of the matter of which official notice was taken attached as an exhibit ( <i>para 3-16d, AR 15-6</i> )?			X
3	Was a quorum present when the board voted on findings and recommendations ( <i>paras 4-1 and 5-2b, AR 15-6</i> )?			X
<b>B. COMPLETE ONLY FOR FORMAL BOARD PROCEEDINGS (<i>Chapter 5, AR 15-6</i>)</b>				
4	At the initial session, did the recorder read, or determine that all participants had read, the letter of appointment ( <i>para 5-3b, AR 15-6</i> )?			
5	Was a quorum present at every session of the board ( <i>para 5-2b, AR 15-6</i> )?			
6	Was each absence of any member properly excused ( <i>para 5-2a, AR 15-6</i> )?			
7	Were members, witnesses, reporter, and interpreter sworn, if required ( <i>para 3-1, AR 15-6</i> )?			
8	If any members who voted on findings or recommendations were not present when the board received some evidence, does the inclosure describe how they familiarized themselves with that evidence ( <i>para 5-2d, AR 15-6</i> )?			
<b>C. COMPLETE ONLY IF RESPONDENT WAS DESIGNATED (<i>Section II, Chapter 5, AR 15-6</i>)</b>				
9	Notice to respondents ( <i>para 5-5, AR 15-6</i> ):			
	a. Is the method and date of delivery to the respondent indicated on each letter of notification?			
	b. Was the date of delivery at least five working days prior to the first session of the board?			
	c. Does each letter of notification indicate –			
	(1) the date, hour, and place of the first session of the board concerning that respondent?			
	(2) the matter to be investigated, including specific allegations against the respondent, if any?			
	(3) the respondent's right with regard to counsel?			
	(4) the name and address of each witness expected to be called by the recorder?			
	(5) the respondent's right to be present, present evidence, and call witnesses?			
	d. Was the respondent provided a copy of all unclassified documents in the case file?			
	e. If there were relevant classified materials, were the respondent and his counsel given access and an opportunity to examine them?			
10	If any respondent was designated after the proceedings began ( <i>or otherwise was absent during part of the proceedings</i> ):			
	a. Was he properly notified ( <i>para 5-5, AR 15-6</i> )?			
	b. Was record of proceedings and evidence received in his absence made available for examination by him and his counsel ( <i>para 5-4c, AR 15-6</i> )?			
11	Counsel ( <i>para 5-6, AR 15-6</i> ):			
	a. Was each respondent represented by counsel?			
	Name and business address of counsel:			
	( <i>If counsel is a lawyer, check here <input type="checkbox"/> </i> )			
	b. Was respondent's counsel present at all open sessions of the board relating to that respondent?			
	c. If military counsel was requested but not made available, is a copy ( <i>or, if oral, a summary</i> ) of the request and the action taken on it included in the report ( <i>para 5-6b, AR 15-6</i> )?			
12	If the respondent challenged the legal advisor or any voting member for lack of impartiality ( <i>para 5-7, AR 15-6</i> ):			
	a. Was the challenge properly denied and by the appropriate officer?			
	b. Did each member successfully challenged cease to participate in the proceedings?			
13	Was the respondent given an opportunity to ( <i>para 5-8a, AR 15-6</i> ):			
	a. Be present with his counsel at all open sessions of the board which deal with any matter which concerns that respondent?			
	b. Examine and object to the introduction of real and documentary evidence, including written statements?			
	c. Object to the testimony of witnesses and cross-examine witnesses other than his own?			
	d. Call witnesses and otherwise introduce evidence?			
	e. Testify as a witness?			
	f. Make or have his counsel make a final statement or argument ( <i>para 5-9, AR 15-6</i> )?			
14	If requested, did the recorder assist the respondent in obtaining evidence in possession of the Government and in arranging for the presence of witnesses ( <i>para 5-8b, AR 15-6</i> )?			
15	Are all of the respondent's requests and objections which were denied indicated in the report of proceedings or in an inclosure or exhibit to it ( <i>para 5-11, AR 15-6</i> )?			
FOOTNOTES: <sup>1/</sup> Explain all negative answers on an attached sheet.				
<sup>2/</sup> Use of the N/A column constitutes a positive representation that the circumstances described in the question did not occur in this investigation or board.				

**SECTION IV - FINDINGS** (para 3-10, AR 15-6)

The (investigating officer) (board), having carefully considered the evidence, finds:

SEE ATTACHMENT

**SECTION V - RECOMMENDATIONS** (para 3-11, AR 15-6)

In view of the above findings, the (investigating officer) (board) recommends:

**SECTION VI - AUTHENTICATION** (para 3-17, AR 15-6)

THIS REPORT OF PROCEEDINGS IS COMPLETE AND ACCURATE. (If any voting member or the recorder fails to sign here or in Section VII below, indicate the reason in the space where his signature should appear.)

(b)(3),(b)(6)

(Recorder)

(Member)

(Member)

(Member)

(Member)

**SECTION VII - MINORITY REPORT** (para 3-13, AR 15-6)

To the extent indicated in Inclosure \_\_\_\_\_, the undersigned do(es) not concur in the findings and recommendations of the board. (In the inclosure, identify by number each finding and/or recommendation in which the dissenting member(s) do(es) not concur. State the reasons for disagreement. Additional/substitute findings and/or recommendations may be included in the inclosure.)

(Member)

(Member)

**SECTION VIII - ACTION BY APPOINTING AUTHORITY** (para 2-3, AR 15-6)

The findings and recommendations of the (investigating officer) (board) are (approved) (disapproved) (approved with following exceptions/substitutions). (If the appointing authority returns the proceedings to the investigating officer or board for further proceedings or corrective action, attach that correspondence (or a summary, if oral) as a numbered inclosure.)

**ACTION BY APPROVAL AUTHORITY:**

I ratify the appointment of the investigating officer, CPT (b)(3),(b)(6).

I approve the "Findings."

The recommendation that a condolence payment be made to the decedent's family is (approved) (disapproved) (remanded to the BCT Cdr).

I remand the matter to the BCT Cdr for appropriate action.

JUL 26 2007

JUL 26 2007

(b)(3),(b)(6)

CO

(b)(3), (b)(6)

JOSEPH F. FIL, JR.  
Major General, USA  
Commanding



DEPARTMENT OF THE ARMY  
2<sup>nd</sup> BATTALION, 12<sup>TH</sup> INFANTRY REGIMENT  
2<sup>nd</sup> BRIGADE COMBAT TEAM, 2<sup>nd</sup> INFANTRY DIVISION  
FOB FALCON, IRAQ APO AE 09361

REPLY TO  
ATTENTION OF:

AFZC-B-I-S6

17 July 2007

MEMORANDUM FOR Commander, 4th IBCT, MND-B, FOB Falcon, Iraq APO AE 09361

SUBJECT: Investigative AR 15-6 Report – RE: findings and recommendation for shooting of 3 AIF diggers

1. Purpose. The purpose of this document is to report the investigating officer's findings and recommendations concerning 3/D/2-12 IN shooting of local nationals digging on 11<sup>th</sup> Street in (b)(2)High on approximately 2300hrs 28 June 2007.

2. Summary. On 28 June 2007, 3/D/2-12 IN were over watching a Tier 1 hot spot (b)(2)High (b)(2)High where earlier in the day an IED killed 5 US Troops. At approximately 2300hrs, 3/D/2-12 IN observed just north of their position, (b)(2)High, 1 x LN male digging on the side of the 11th street while two other local nationals provided over watch. The patrol engaged the 3 x AIF. The 3 x AIF retreated north. At 2320hrs the patrol entered (b)(6) on (b)(6), (b)(2)High after receiving a call from a LN female stating 5 wounded LN males entered her home. The patrol found (b)(6), Non-Responsive with one gun shot wound to the neck. The patrol took him to the 28<sup>th</sup> CSH. At 0029hrs 3/D/2-12 received a report from 3/1/3 IA stating one LN wounded male brought to (b)(2)High with a gun shot wound to the head. The IA evacuated the wounded male, whose name was (b)(6) to a local hospital but he died en route.

3. Applicable Laws & Regulations. The following paragraphs list the rules and regulations applicable to rules of engagement and positive identification (PID).

A. MND-B OPORD 06-03 RULES OF ENGAGEMENT

(b)(2)High

4. Investigative Procedures. The investigating procedures were conducted by collecting sworn statements from the individuals who engaged in the 3 x LN, and also collecting data from the 2-12 INF Battalion S-2 Intelligence Officer on the threat level to include IEDs in M826 and 11<sup>th</sup> Street.

5. Witnesses & Evidence. 1LT (b)(3),(b)(6) is the 3<sup>rd</sup> platoon leader of Delta company 2-12 INF Battalion which was attached to B/2-12 IN on the 28<sup>th</sup> of June. CPT (b)(3),(b)(6) is the company commander for Bravo Company and M826 is B/2-12 IN Area of Operation.

6. Findings. 3 x LN males who were digging on the side of 11<sup>th</sup> street in (b)(2)High on the 28<sup>th</sup> of June at approximately 2300hrs. Curfew hours for the Dora neighborhoods ((b)(2)High) are 2200hrs to 0500hrs. The three local nationals were past curfew hours. Furthermore (b)(2)High and 11<sup>th</sup> street are considered to be one of the most dangerous spots in the Dora neighborhood. In (b)(2)High within the last 30 days, there have been 35 IEDs that were either detonated, found, or cleared (Exhibit E). Furthermore, from 15<sup>th</sup> to the 28<sup>th</sup> of June there has been a complex IED attack resulting in 5 coalition forces KIA, Small Arms Fire, a ammunition cache found, and an arrest within a one block radius from where the 3 x LN were engaged by 3/D/2-12 INF (exhibit H). (b)(2)High

(b)(2)High. The 1 x LN male digging in or next to a street while two x LN males provide over watch while curfew was in affect in a tier 1 hot spot is considered to be a hostile act or hostile intent since buried IEDs in (b)(2)High are prevalent.

Furthermore, CPT (b)(3),(b)(6) engaged with the family of the deceased the next morning. The family claims the deceased was not emplacing IEDs, but rather just digging in the garden next to the road.

7. Recommendations.

(b)(5)

8. If you have any further questions or concerns, please contact the undersigned at

(b)(2) or (b)(3),(b)(6) @ (b)(2)High

(b)(3),(b)(6)

CPT, SC  
Investigating Officer

(b)(3), (b)(6)

TABLE OF CONTENTS  
(LIST OF EXHIBITS)

Exhibit A ..... Sworn Statement of 1LT [ (b)(3),(b)(6) ]

Exhibit B ..... Sworn Statement of CPT [ (b)(3),(b)(6) ]

Exhibit C ..... Sworn Statement of PFC [ (b)(3),(b)(6) ]

Exhibit D ..... Story board of the Blue SAF on 28 June 2007

Exhibit E ..... M826 SIGACTS 4 June to 4 July

Exhibit F ..... Intel rollup 15 June to 28 June 2007

Exhibit G ..... IED Focus 15 June to 28 June 2007

Exhibit H ..... 11<sup>th</sup> Street 15 June to 28 June 2007.

Page 11 redacted for the following reason:

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Previously Released, (b)(3), (b)(6)



REPLY TO  
ATTENTION OF:

**DEPARTMENT OF THE ARMY**  
HEADQUARTERS, 4TH INFANTRY BRIGADE COMBAT TEAM  
MULTINATIONAL DIVISION-BAGHDAD  
FOB FALCON, IRAQ APO AE 09361  
"DUTY FIRST"

AFZN-BC

03 July 2007

MEMORANDUM FOR CPT [REDACTED] HHC, 2nd Battalion, 12th Infantry Regiment, 4th Infantry Brigade Combat Team, Multinational Division-Baghdad, FOB Falcon, Baghdad, Iraq APO AE 09361

SUBJECT: Appointment of 15-6 Investigating Officer (RE: The shootings of 2 Local Nationals - D Co, 2-12 IN.)

1. You are hereby appointed as an investigating officer pursuant to AR 15-6 to conduct an informal investigation into circumstances surrounding the shootings of two local nationals by D Co, 2-12 IN Soldiers at or around 2300 hours, on or about 28 June 2007. The incident occurred in the vicinity of [REDACTED] Baghdad, Iraq.
2. Specifically, I want you to investigate the circumstances surrounding how the shooting took place and whether the Rules of Engagement (ROE) and Positive Identification (PID) were followed. You will need to ascertain the specific dates, places, persons, and events associated with this incident.
3. This duty takes precedence over all other duties until you complete your investigation. Upon receipt of this memorandum, promptly contact the Brigade Judge Advocate, Major [REDACTED] / Room 207, 4th IBCT Headquarters Building, FOB Falcon, to receive advice on how you should conduct the investigation under AR 15-6.
4. In your investigation, you must follow the informal procedures described in AR 15-6. I want you to thoroughly investigate this matter and any matters that arise in the course of your investigation. You will interview all witnesses and parties connected with this incident. Pursuant to AR 15-6, paragraph 3-1, and UCMJ, Article 136, you have the authority to administer oaths to witnesses interviewed. Your report will include sworn statements on DA Forms 2823 taken from all relevant sources with knowledge of the incident and the surrounding circumstances.
5. If, when interviewing any Soldier, you reasonably suspect that the individual has committed an offense in violation of the Uniform Code of Military Justice (UCMJ), you must advise that Soldier of his/her rights under Article 31, UCMJ, before asking any further questions. You will use a DA Form 3881 to advise such suspects of their Article 31(b) rights. If you have questions on this issue, consult with the Brigade Judge Advocate.

AFZN-BC

SUBJECT: Appointment of 15-6 Investigating Officer (RE: The shootings of 2 Local Nationals by, D Co, 2-12 IN.)

6. I have enclosed the incident report which initiated the investigation. You should secure copies of any documents that might substantiate or refute the testimony of the complainant, alleged perpetrator(s), or named witnesses, and include these documents in your final report.
7. When you complete your investigation, you should review the evidence, determine if the investigation adequately addresses the allegations, and make specific findings and recommendations regarding the matters outlined in paragraphs 1 & 2. Your recommendations should include ways, if applicable, to correct systematic, procedural, or training problems contributing to the shootings of the two local nationals. When writing your findings, you will need to cite the evidence that supports your findings. You should also attempt to reconcile any conflicting evidence or conflicting statements and identify whom you believe and why.
8. You must provide a Privacy Act statement to a witness if the report of proceedings will be filed in a system of records from which it can be retrieved by reference to the name or other personal identifier of that witness. AR 15-6, Appendix B provides guidance for preparing Privacy Act statements. If any questions arise regarding the need for a Privacy Act statement, consult with the Brigade Judge Advocate.
9. You will thoroughly investigate the above matter and make every effort to obtain the evidence in a timely fashion. Submit your findings and recommendations on DA Form 1574 through the Brigade Judge Advocate to this office within 7 days of receipt of this memorandum. If you need additional time, I must personally approve the extension.

(b)(3),(b)(6)

COL, IN  
Commanding

**SWORN STATEMENT**

For use of this form, see AR 190-45; the proponent agency is PMG.

**PRIVACY ACT STATEMENT**

**AUTHORITY:** Title 10 USC Section 301; Title 5 USC Section 2951; E.O. 9397 dated November 22, 1943 (SSN) .  
**PRINCIPAL PURPOSE:** To provide commanders and law enforcement officials with means by which information may be accurately identified.  
**ROUTINE USES:** Your social security number is used as an additional/alternate means of identification to facilitate filing and retrieval.  
**DISCLOSURE:** Disclosure of your social security number is voluntary.

1. LOCATION FOB Falcon, Baghdad, Iraq	2. DATE (YYYYMMDD) 2007/07/05	3. TIME 1845	4. FILE NUMBER
5. LAST NAME, FIRST NAME MIDDLE NAME (b)(3),(b)(6)	6. SSN (b)(3),(b)(6)	7. GRADE/STATUS O2	

8. ORGANIZATION OR ADDRESS  
D Company, 2-12 INF REGT

9. I, (b)(3),(b)(6), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

On the evening of 28 JUN 2007, my platoon and I were on a patrol in (b)(2)High Baghdad, Iraq. Our task and purpose was to conduct combat patrols in order to deny AIF activity and prevent sectarian violence. Earlier that day, a very large deep buried IED detonated on a patrol from B Company 2-12 INF at the intersection of (b)(2)High killing five American Soldiers and wounding numerous local nationals. The IED attack was accompanied by an enemy ambush with small arms fire, RPG fire, and grenades. During our evening patrol we stopped about 100 meters south of that intersection to talk to local nationals about the attack and to both gather information and see if everyone was doing ok.

While staged on (b)(2)High at 2245 hours, our trucks had their lights off and I was dismounted talking to a local national in his courtyard. After talking to the LN for only a couple of minutes the gunner of my lead truck, PFC (b)(3),(b)(6) reported seeing three local nationals doing something along the side of the road (b)(2)High north of our position. We pulled the lead vehicle forward about 200 meters or so and PFC (b)(3),(b)(6) was watching the suspected AIF (b)(2)High. Several of my Soldiers and myself moved forward dismounted with the truck and also observed the suspected AIF. I saw three military aged males standing on the side of the road. One male was digging on the side of the road while the other two were acting as look-outs. One male moved back and forth across the road several times. Because of the large numbers of buried IEDs found in (b)(2)High on a daily basis and the fact that there were three military aged males digging in the road almost an hour after curfew I determined that we had PID on AIF and ordered my lead two vehicles to engage. Many IEDs are planted during the evening after curfew and under the cover of darkness. The AIF activity was observed by the gunner and driver of my lead vehicle as well as myself and several dismounts that I had with me. We were located at (b)(2)High and the AIF were at (b)(2)High.

After engaging the AIF we maneuvered to their position. There were anywhere from 10-15 IEDs templated in various intersections throughout (b)(2)High so we could not simply drive north on (b)(2)High. It took us about 10 minutes to maneuver throughout the muhalla to the spot where we engaged the AIF. When we arrived, the family of one of the males had already moved the injured AIF inside their home. They then carried him out to the street where our medic treated him for a gunshot wound to his neck. People in the area reported that there was another injured male who was taken to the Iraqi Army checkpoint. When we evacuated the injured AIF to the CSH, we stopped at (b)(2)High to see if the other injured AIF was there. The IA told us that the wounded man had come there and that he had a gunshot wound to the jaw. They said that he appeared to be fine and was already on the way to the hospital. We later found out that he died enroute to the hospital. After dropping off the AIF casualty at the CSH and filling out detainee paperwork we returned to (b)(2)High to finish our patrol.

NOTHING ELSE FOLLOWS

(b)(3),(b)(6)

(b)(3),(b)(6)

(b)(3),(b)(6)

(b)(3),(b)(6)

10. EXHIBIT A	11. INITIALS OF PERSON MAKING STATEMENT (b)(3),(b)(6)	PAGE 1 OF 2 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF \_\_\_\_\_ TAKEN AT \_\_\_\_\_ DATED \_\_\_\_\_"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE BE INDICATED.